

NOTICE/AGENDA

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COUNCIL MEETING NOTICE/AGENDA

POSTED AT: www.scdd.ca.gov

DATE: July 31, 2018

TIME: 10:00 AM – 5:00 PMⁱⁱ

MEETING LOCATION:

Crowne Plaza Sacramento Northeast 5321 Date Avenue Sacramento, CA 95841

COUNCIL CHAIR: Sandra Smith

Item 1. CALL TO ORDER

Item 2. ESTABLISH QUORUM

Item 3. WELCOME AND INTRODUCTIONS

Item 4. PUBLIC COMMENTS

This item is for members of the public only to provide comments and/or present information to the Council on matters not on the agenda. Each person will be afforded up to three minutes to speak. Written requests if any, will be read aloud.

Item 5.	A. Approval Of May 2018 Minutes (page 7) B. Conflict Of Interest Waiver Requests (page 21) a. Far Northern Regional Center (FNRC) i. Board Member William Battles ii. Board Member Adam Beals iii. Board Member Roger Hatton iv. Board Member Susan Hess v. Board Member Colleen Ryberg vi. Board Member Suzette Serrano b. Inland Regional Center (IRC) i. Board Member Sheela Stark C. Selection Of Nominating Committee (page 95) D. Approval Of Non-Substantive Change Of The Formatting To The 2017-18 State Plan (page 99)	Page 5
	E. Approval Of Increased Funding For The 2017-18 Budget (page 103)	
Item 6.	CHAIR REPORT	
item 7.	EXECUTIVE DIRECTOR REPORT A. 2018 Federal Programs and Landscape	Page 105
	STAFF REPORTS A. Deputy Director of Administration Report	1 age 100
	B. Deputy Director of Policy Report C. Deputy Director Regional Office Operations Report D. CRA/VAS Update Report E. Developmental Center Closure Update F. QA Project Update Report	

Item 8. CYCLE 41 GRANT PROPOSAL FUNDING APPROVAL: SAN BERNARDINO AND ORANGE COUNTY PROPOSALS VITE Presented by: S. Smith and V. Smith

Item 9. EMPLOYMENT FIRST ANNUAL REPORT APPROVALVMTE

Page 131

Presented by: W. Witherspoon & C. Smith

Item 10.	EXECUTIVE DIRECTOR EVALUATION TOOL APPROVAL VMTE Presented by: S. Smith	Page 149
Item 11.	CLOSED SESSION – LITIGATION Pursuant to Government Code 11126 (e)(1), the Council will have a closed session to consider litigation (Lopez v. Carruthers; State Council on Developmental Disabilities) Presented by: Alberto Gonzalez, Attorney General's Office	
Item 12	RECONVENE OPEN SESSION Pursuant to Government Code Section 11126.3, there will be an announcement of any action(s) taken during closed session Presented by: Alberto Gonzalez, Attorney General's Office	
Item 13.	A. Explanation & Emerging Research Presented by: Robin Hansen, UC Davis MIND Institute B. Self-Advocate Perspective Presented by: Council Member Wesley Witherspoon & Statewide Self Advocacy Network member Daniel Fauste C. Autism Advocacy: History to Present Presented by: Connie Lapin, Autism Society of Los Angeles	
Item 14.	FOCUS TOPIC: EMPLOYMENT	Page 169
Item 15.	STATEWIDE SELF-ADVOCACY NETWORK REPORT	Page 177
Item 16.	COMMITTEE REPORTS A. Executive Committee B. Administrative Committee C. Legislative & Public Policy Committee D. State Plan Committee E. Employment First Committee F. Statewide Self-Determination Advisory Committee G. Self-Advocates Advisory Committee	Page 181
Item 17.	NEXT MEETING DATE & ADJOURNMENT	

Accessibility:

Pursuant to Government Code Sections 11123.1 and 11125(f), individuals with disabilities who require accessible alternative formats of the agenda and related meeting materials and/or auxiliary aids/services to participate in this meeting should contact (916) 322-8481. Requests must be received by 5 business days prior to the meeting.

Materials:

Meeting documents and presentations for an agenda item must be submitted to SCDD no later than 2 business days prior to the meeting.

A call-in phone line will be available so that members of the public can call in and listen to this meeting, provided there are no unforeseen technical difficulties or other limitations. The meeting will not be cancelled if the call-in phone line is not available. If you wish to participate or to have a guaranteed opportunity to observe and participate, please plan to attend at a physical location.

The time and order of agenda items are subject to change at the discretion of the Council and may be taken out of order to facilitate the effective transaction of business.

Items shown on the Consent Calendar will be taken up and voted on as a group in one of the first items of business of each Council meeting. Items may be removed from the Consent Calendar at the request of any Council Member.

CONSENT

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APPROVAL OF MAY 2018 MINUTES



DRAFT

Council Meeting Minutes May 31, 2018

Members Present

Alfredo Rubalcava (FA)

Andrea Vergne (FA)

Barbara Boyd

Charles Nutt (SA)

David Pegos (FA)

Diane Ambrose (FA)

Eric Gelber

Francis Lau (FA)

Jacqueline Nguyen (FA)

Janelle Lewis (FA)

Jeana Eriksen (SA)

Jonathan Lee

Julie Austin (FA)

Julie Souliere

Kilolo Brodie (FA)

Larry Yin

Maria Marquez (SA)

Matthew Lagrand (SA)

Olivia Raynor

Pete Sanchez (FA)

Peter Harsch

Robin Hansen

Sandra Aldana (SA)

Sandra Smith (FA)

Stephen Bell (FA)

Wesley Witherspoon (SA)

Members Absent

Catherine Blakemore Robert Taylor (SA) Sarah Eberhardt-Rios

Others Attending

Aaron Carruthers

Angela Lewis

Chris Snyder

Dena Hernandez

Doug Sale

John Marble (Autism

Advantage)

Karen Mulvany

Leinani Walter (ARCA)

Lisa Hooks

Lynn Cach

Mary Ellen Stives

Milt Wright

Riana Hardin

Sarah May

Sarah Wasiak

Scarlett VanThenen

Sheli Wright

Sonya Bingaman

Vicki Smith

Yolanda Cruz

1. CALL TO ORDER

Councilmember Janelle Lewis (FA) called the meeting to order at 10:10 AM.

2. ESTABLISHMENT OF QUORUM

Councilmember Janelle Lewis established a quorum.

Action 1:

It was moved/seconded (Lewis [FA]/Sanchez [FA]) and carried to name Councilmember Sandra Smith as Chair Pro Tem to preside over the Council meeting until the Interim and Vice Chair are decided under Agenda item 5. (see page 10 for the voting record of members present)

3. SWEARING IN OF NEW COUNCILMEMBERS

Newly appointed Councilmembers Ambrose, Bell, Lagrand, Pegos, and Witherspoon were sworn in by Executive Director Aaron Carruthers.

4. WELCOME AND INTRODUCTIONS

Councilmembers and others in attendance introduced themselves.

5. CHAIR AND VICE CHAIR OFFICES

Executive Director Aaron Carruthers presented two options for Councilmembers to choose from in establishing the process for electing a Chair and Vice Chair. Details explaining both options including advantages and disadvantages to each option were included in the Council packet. A handout was provided, which outlined the options visually for Councilmembers.

Action 2:

It was moved/seconded (Nutt [SA]/Eriksen [FA]) to recommend Council to adopt Option One for electing a Chair and Vice Chair and change bylaws accordingly. MOTION FAILED. (see page 10 for the voting record of members present)

Action 3:

It was moved/seconded (Pegos [FA]Vergne [FA]) to recommend Council to adopt Option Two for electing a Chair and Vice Chair and change bylaws accordingly. MOTION FAILED. (see page 10 for the voting record of members present)

Action 4:

It was moved/seconded (Sanchez [FA]/Vergne [FA]) and carried for Council to adopt Option Two as the process for electing a Chair and Vice Chair and change bylaws accordingly. (see page 10 for the voting record of members present)

Per the process selected by Councilmembers which states, ". . . Appoint by Majority Vote an Interim Chair and Vice Chair at the May meeting," candidates were considered as follows (in alphabetical order):

Interim Chair
Matthew Lagrand
Charles Nutt
Sandra Smith
Andrea Vergne

Interim Vice Chair Maria Marquez Charles Nutt Andrea Vergne

An election was held separately for each office. The election for Interim Chair was held first. Following member statements by the candidates for Interim Chair, a public vote was taken. Councilmember Sandra Smith won the majority vote for Interim Chairperson with fifteen (15) votes, Councilmember Charles Nutt followed with six (6) votes, followed by Councilmember Andrea Vergne with two (2) votes, and then Councilmember Matthew Lagrand with one (1) vote. (See page 13 for voting record of members present)

Following member statements by the candidates for Interim Vice Chair, Councilmember Charles Nutt won the majority vote for Interim Vice Chairperson with thirteen (13) votes, and Councilmembers' Maria Marquez and Andrea Vergne each received five (5) votes. (See page 13 for voting record of members present)

6. PUBLIC COMMENT

Public member Karen Mulvany suggested the Council consider revising the Bylaws to avoid challenges in leadership by staggering the terms of the Council Chair and Vice Chair. Ms. Mulvany also raised some concerns regarding Self-Determination that she has heard from service providers. Her suggestion is for the Council to educate vendors on how they can continue to provide their services under Self-Determination and provide non-vendor services as appropriate.

Public member John Marble from San Francisco introduced himself and shared his experience in working for Autism Advantage. Mr. Marble requested that when thinking about employment to consider the unique needs that people with developmental disabilities have in sustaining the first and second years of employment. He expressed concern that the 90 days of support that DOR provides, is not sufficient.

7. APPROVAL OF MAY 2018 MEETING MINUTES

Corrections to page 1, "Members Present" section: Jacqueline Nguyen should have (FA) after her name.

Action 5

It was moved/seconded (Smith [FA]/Witherspoon [SA]) and carried to approve the May 31, 2018 Council meeting minutes with corrections. (See page 10 for the voting record of members present.)

8. SCDD 2018-19 BUDGET

Executive Director Carruthers, Deputy Director Doug Sale, and Budget Officer Lynn Cach presented the proposed SCDD 2018-19 Budget to the Council for consideration. Executive Director Carruthers shared good news with the Council regarding the increase in Federal funding of \$972,000. This increase will not only eliminate our deficit, but also leave us with a bit of a surplus. SCDD will continue with some of the plans to reduce costs as outlined in the Structural Deficit recommendations in November of 2017.

Action 6

It was moved/seconded (Sanchez [FA]/Nutt [SA]) and carried to adopt the 2018-19 SCDD Budget as presented. (See page 11 for the voting record of members present.)

9. CHAIR REPORT

Interim Council Chair Sandra Smith announced new committee assignments for all standing committees.

10. CYCLE 41 GRANT

Interim Council Chair Sandra Smith and Deputy Director Vicki Smith presented eleven (11) Cycle 41 Grant proposals for ten (10) of the Council's 12 regional offices. Grant Cycle 41 received 76 Grant Proposals, of those 28 did not pass the administrative review process; the remaining 48 went on to the scoring team. The State Plan Committee then met on April 10, 2018 to review the recommendations of the scoring team. The proposal applications with the highest scores are listed below.

1) Regional Office: North Coast

Organization: Get Safe

Amount: \$19,943

Goal Area: Health and Safety

2) Regional Office: North State

Organization: Get Safe

Amount: \$19,943

Goal Area: Health and Safety

3) Regional Office: Sacramento

Organization: Get Safe

Amount: \$19,943

Goal Area: Health and Safety

4) Regional Office: North Bay

Organization: Matrix Parent Network

Amount: \$10,492

Goal Area: Health and Safety

5) Regional Office: North Bay Organization: Get Safe

Amount: \$9,500

Goal Area: Health and Safety

6) Regional Office: Bay Area Organization: Get Safe

Amount: \$19,943

Goal Area: Health and Safety

7) Regional Office: North Valley Hills

Organization: Get Safe

Amount: \$19,943

Goal Area: Health and Safety

8) Regional Office: Central Coast

Organization: Parents Helping Parents

Amount: \$20,000

Goal Area: Early Intervention

9) **Regional Office**: Sequoia **Organization**: Get Safe

Amount: \$19,943

Goal Area: Health and Safety

10) Regional Office: Los Angeles

Organization: Learning Rights Law Center

Amount: \$10,760

Goal Area: Self-Advocacy

11) Regional Office: San Diego - Imperial

Organization: Get Safe

Amount: \$19,943

Goal Area: Health and Safety

Action 7

It was moved/seconded (Bell [FA]/ Vergne [FA]) and carried to approve State Plan Committee funding recommendations for Grant Cycle 41 and to repost the RFP for Orange County and San Bernardino regional offices. (See page 11 for the voting record of members present.)

11. LPPC RECOMMENDATIONS ON INTRODUCED BILLS

LPPC Chair Janelle Lewis presented updates on the two Council sponsored bills (AB 2171 and SB 1274) in this Legislative cycle. Both bills

have to do with advancing employment opportunities for individuals with intellectual and developmental disabilities through effective implementation of competitive integrated employment.

LPPC Chair Lewis then presented four bills with recommendations from LPPC and the Self-Advocates Advisory Committee (SAAC).

LPPC's and SAAC's recommendations on the following bills:

State Plan	Bill Number	Author	Position	SAAC Recommendation
Goal 4	SB 1190	Skinner	Support	Support
Goal 4	AB 1985	Ting	Support	Support
Goal 5	AB 2704	O'Donnell	Support	Support
Goal 6	AB 3002	Grayson	Support	Support

Action 8

It was moved/seconded (Smith [FA]/Sanchez [FA]) and carried to adopt the LPPC and SAAC recommendations to support SB 1190, AB 1985, AB 2704, and AB 3002. (See page 11 for the voting record of members present.)

12. CONFLICT OF INTEREST WAIVER REQUEST

Redwood Coast Regional Center (RCRC)

Board Member Jolanda Ingram-Obie

Ms. Jolanda Ingram-Obie is a member of the Redwood Coast Developmental Services Corporation (RCDSC) board, which serves as the governing board of the Redwood Coast Regional Center (RCRC). She is employed by Harrington House, which is not a vendor of RCRC. However, its umbrella organization, Rural Human Services, is an RCRC vendor. In addition, Ms. Ingram-Obie's spouse is employed by Rural Human Services. Therefore Ms. Ingram-Obie must comply with Regulation 54523.

Action 9

It was first/seconded (Nutt [SA]/Witherspoon [SA]) and carried to adopt staff's recommendation to approve the waiver request provided she also complies with Regulation 54523. (See page 11 for the voting record of members present.)

13. SPONSORSHIP REQUEST

The Council reviewed and acted upon the following sponsorship request after considering staff and SAAC recommendations.

University Center for Excellence in Developmental Disabilities at the MIND Institutes is requesting \$999 in sponsorship funding for their upcoming MIND Summer Institute on Neurodevelopmental Disabilities to be held on August 3, 2018 in Davis, California. The conference will provide tools, resources and assistance in order to help individuals advocate for themselves and/or family member.

Council funds would be used to offset costs of facilities and audio-visual equipment.

Action 10

It was moved/seconded (Nutt [SA]/Aldana [SA]) and carried to adopt staff's recommendation to award funding in the amount of \$999 to Exceptional Family Center. (See page 11 for the voting record of members present.)

14. SCDD TRAVEL POLICY

Executive Director Carruthers presented the SCDD Travel Policy, which has been recommended by the Executive Committee for Council's consideration. The intent of the policy is to ensure Councilmember understanding of the State required travel request and reimbursement guidelines. This policy consolidates details that can be found in various State documents.

Action 11

It was moved/seconded (Pegos [FA]/Vergne [FA]) and carried to approve the recommended SCDD Travel Policy. (See page 12 for the voting record)

15. EXECUTIVE DIRECTOR. EVALUATION TIMELINE

Deputy Director Doug Sale and Legal Counsel Natalie Bocanegra presented the proposed 2018 Executive Director Evaluation Timeline. The timeline was developed based on the Executive Committee's recommendations.

Action 12

It was moved/seconded (Eriksen [SA]/Bell [FA]) and carried to approve the proposed Executive Director Evaluation Timeline. (See page 12 for the voting record of members present)

16. RECOGNITION OF COUNCILMEMBERS

Executive Director Carruthers expressed gratitude to the past Councilmembers whose terms have recently expired, and shared some of their accomplishments. Councilmembers shared their memories and kind words.

17. EXECUTIVE DIRECTOR REPORT

The Executive Director and Staff reports were included in the Council packet or provided as a handout.

18. GOVERNOR'S MAY REVISE UPDATE

Councilmember Eric Gelber provided an overview of the relevant portion of the 2018-2019 Governors' May Revise budget document starting on page 60 of the Council packet.

19. STATEWIDE SELF-ADVOCACY NETWORK

Councilmember Wesley Witherspoon provided a brief summary of the March SSAN meeting.

20. **COMMITTEE REPORTS**

Councilmembers were directed to review Committee meeting minutes provided for the following committees:

- A. Executive Committee
- B. Membership Committee
- C. Legislative & Public Policy Committee
- D. State Plan Committee
- E. Employment First Committee
- F. Self-Advocates Advisory Committee

21. ADJOURNMENT

The next meeting is on July 31, 2018 at the Crowne Plaza Hotel in Sacramento. The meeting was adjourned at 4:45PM.

Name	Action 1	Action 2	Action 3	Action 4	Action 5
Aldana, Sandra	For	Oppose	For	For	For
Ambrose, Diane	For	Oppose	For	For	Abstain
Austin, Julie	For	For	For	For	For
Bell, Stephen	For	For	Oppose	For	For
Boyd, Barbara	For	Oppose	For	For	Abstain
Eriksen, Jeana	For	For	Abstain	For	For
Gelber, Eric	For	Oppose	For	For	For
Hansen, Robin	For	For	For	For	DNP
Harsch, Peter	Abstain	Abstain	Abstain	For	Abstain
Lagrand, Matthew	For	For	For	For	DNP
Lau, Francis	For	For	Abstain	Abstain	Abstain
Lee, Jonathan	For	Abstain	Abstain	Abstain	For
Lewis, Janelle	For	Oppose	For	For	For
Marquez, Maria	For	Oppose	For	For	For
Nguyen, Jacqueline	For	Oppose	For	For	For
Nutt, Charles	For	For	Oppose	Oppose	For
Pegos, David	For	Oppose	For	For	Abstain
Raynor, Olivia	For	Oppose	For	For	Abstain
Rubalcava, Alfredo	For	Oppose	For	For	For
Sanchez, Pete	For	Oppose	For	For	Abstain
Smith, Sandra	For	For	Oppose	Oppose	For
Souliere, Julie	For	Abstain	Abstain	For	For
Vergne, Andrea	For	Oppose	For	For	For
Witherspoon, Wesley	For	For	For	For	For
Yin, Larry	For	Oppose	For	For	Abstain

Name	Action 6	Action 7	Action 8	Action 9	Action 10
Aldana, Sandra	For	For	For	For	For
Ambrose, Diane	For	For	For	For	For
Austin, Julie	For	For	For	For	For
Bell, Stephen	For	For	For	Abstain	For
Boyd, Barbara	For	For	Abstain	Abstain	Abstain
Eriksen, Jeana	For	For	For	For	For
Gelber, Eric	For	For	Abstain	Abstain	For
Hansen, Robin	For	For	For	For	For
Harsch, Peter	For	For	Abstain	Abstain	Abstain
Lagrand, Matthew	For	DNP	For	DNP	DNP
Lau, Francis	For	For	For	For	For
Lee, Jonathan	For	For	Abstain	Abstain	For
Lewis, Janelle	For	Abstain	For	For	For
Marquez, Maria	For	For	For	For	For
Nguyen, Jacqueline	For	For	For	For	For
Nutt, Charles	For	For	For	For	For
Pegos, David	For	For	Abstain	Abstain	Abstain
Raynor, Olivia	For	For	For	Oppose	Abstain
Rubalcava, Alfredo	For	For	For	For	For
Sanchez, Pete	For	For	For	For	For
Smith, Sandra	For	For	For	For	For
Souliere, Julie	For	For	For	Absent	Absent
Vergne, Andrea	For	For	For	For	For
Witherspoon, Wesley	For	For	For	For	For
Yin, Larry	For	For	For	For	For

Name	Action 11	Action 12
Aldana, Sandra	For	For
Ambrose, Diane	For	For
Austin, Julie	For	For
Bell, Stephen	DNP	For
Boyd, Barbara	For	For
Eriksen, Jeana	For	For
Gelber, Eric	For	For
Hansen, Robin	For	Oppose
Harsch, Peter	For	For
Lagrand, Matthew	DNP	For
Lau, Francis	For	For
Lee, Jonathan	For	For
Lewis, Janelle	For	For
Marquez, Maria	For	For
Nguyen, Jacqueline	For	DNP
Nutt, Charles	For	For
Pegos, David	For	For
Raynor, Olivia	For	For
Rubalcava, Alfredo	For	For
Sanchez, Pete	DNP	DNP
Smith, Sandra	For	For
Souliere, Julie	DNP	DNP
Vergne, Andrea	For	For
Witherspoon, Wesley	For	For
Yin, Larry	For	For

Name	Interim	Interim
	Chair	Vice Chair
Aldana, Sandra	Smith	Marquez
Ambrose, Diane	Smith	Nutt
Austin, Julie	Smith	Nutt
Bell, Stephen	Smith	Vergne
Boyd, Barbara	Smith	Vergne
Eriksen, Jeana	Nutt	Nutt
Gelber, Eric	Smith	Marquez
Hansen, Robin	Nutt	Nutt
Harsch, Peter	Smith	Nutt
Lagrand, Matthew	Lagrand	DNP
Lau, Francis	Smith	Vergne
Lee, Jonathan	Abstain	Abstain
Lewis, Janelle	Smith	Nutt
Marquez, Maria	Smith	Marquez
Nguyen, Jacqueline	Smith	Marquez
Nutt, Charles	Nutt	Nutt
Pegos, David	Nutt	Nutt
Raynor, Olivia	Nutt	Nutt
Rubalcava, Alfredo	Vergne	Vergne
Sanchez, Pete	Smith	Nutt
Smith, Sandra	Smith	Nutt
Souliere, Julie	Smith	Nutt
Vergne, Andrea	Vergne	Vergne
Witherspoon, Wesley	Nutt	Marquez
Yin, Larry	Smith	Nutt

CONFLICT OF INTEREST WAIVER REQUESTS

COUNCIL AGENDA ITEM DETAIL SHEET

ISSUE: Regional Center Conflict of Interest (COI)

SUMMARY: Amendments to the Lanterman Act made by AB 1595 resulted in redefining the Area Boards into Regional Advisory Committees, changing their appointment authority and scope. Under its current process, the State Council on Developmental Disabilities (Council) is presented with a recommendation on the below conflict of interest resolution plan.

BACKGROUND: The Lanterman Act requires regional centers to submit conflict of interest statements and proposed resolution plans to the Department of Developmental Services (DDS) and the Council. (W&I Code 4626(I).) Under the Act, as amended by AB 1595, the Director of DDS may waive conflict of interest requirements of a contracting agency (i.e., a regional center) with approval of the State Council. (See W&I Code 4628.)

The Council is being presented with information reviewed under the existing SCDD criteria and process approved by the Council. This approach provides for staff analysis of regional center conflict of interest issues.

FACTS: The catchment area of FNRC is predominantly rural, and it is difficult to recruit qualified members for the board. FNRC has a public member recruitment and appointment process which is subject to public review and engagement. FNRC recruits prospective board members by advertising their recruitment efforts within the agency, with their community partners, and using public forums, websites, and e-blast notifications. In addition, to ensure that the regional center has the necessary number of people with developmental disabilities required by law, the regional center developed a number of "member at large" board positions which are announced through the regional self-advocacy groups and interested persons apply to the board.

Depending on the county, County Coordinating Councils and/or other community partners may assist with recruitment.

FNRC Boardmember Waiver Requests July 2018 Page **2** of **9**

See attached pages for facts on each of the following FNRC Board Members:

- William Battles
- Adam Beals
- Roger Hatton

- Susan Hess
- Colleen Ryberg
- Suzette Serrano

LAW: DDS Regulation 54520 provides, in part, that a conflict of interest exists when the board member, or a family member of a regional center board member, holds any of the below positions for a provider:

- (1) a governing board member;
- (2) a board committee member;
- (3) a director;
- (4) an officer;
- (5) an owner;
- (6) a partner;
- (7) a shareholder;
- (8) a trustee;
- (9) an agent;
- (10) an employee;
- (11) a contractor;
- (12) a consultant;
- (13) a person who holds any position of management; or
- (14) a person who has decision or policy making authority.

(See 17 Cal. Code § 54520(a).)

Consumer Employment Services

However, Welfare and Institutions Code Section 4626(c) contains an exception for certain consumers who work for an employment services provides. This section provides:

A person with a developmental disability who receives employment services through a regional center provider shall not be precluded from serving on the governing board of a regional center based solely upon receipt of these employment services.

(W&I Code Section 4626(c).)

FNRC Boardmember Waiver Requests July 2018 Page 3 of 9

Regional Center Provider Advisory Committee

Welfare and Institutions Code Section 4622(i) requires a regional center board to appoint an advisory committee made up of various categories of regional center providers. Under this section, one advisory committee member is required to serve on the regional center board.

<u>Additional Restrictions on Provider Employees</u>

In addition to the requirements of Regulation 54520, Welfare and Institutions Code Section 4622(k) says that member of a board who is also an employee of a regional center provider shall not:

- (1) Serve as an officer of the board;
- (2) Vote on any fiscal matter affecting the purchase of services from any regional center provider;
- (3) Vote on any issue in which the member has a financial interest under Government Code Section 87103 and determined by the regional center board.

STAFF RECOMMENDATION(S): See attached pages for recommendations on each FNRC Board Member.

COUNCIL STRATEGIC PLAN OBJECTIVE: None

PRIOR COUNCIL ACTIVITY: In August 2017, the Council approved waiver requests submitted by: Battles, Beals, Hatton, Hess, and Ryberg. In January 2018, the Council approved a waiver request for Serrano.

ATTACHMENT: COI reporting statements and resolution plans submitted by: William Battles, Adam Beals, Roger Hatton, Susan Hess, Colleen Ryberg and Suzette Serrano.

PREPARED BY: Legal Counsel Natalie Bocanegra, July 9, 2018.

FNRC Boardmember Waiver Requests July 2018 Page **4** of **9**

FNRC Board Member William Battles

FACTS (Battles): William Battles is a member of the governing board of the Far Northern Regional Center (FNRC) as a consumer representative of People First. Mr. Battles is a regional center consumer who works 20 - 29 hours per week as a peer instructor for We Care A Lot, a regional center provider of employment services. Mr. Battles' employment is part of the employment services he receives through the regional center.

Mr. Battles was previously granted a conflict of interest waiver in 2016 and 2017.

DISCUSSION (Battles): With regard to his employment with We Care A Lot, Mr. Battles identifies a conflict of interest under Regulation 54520(a)(10). Therefore, he submits a Conflict of Interest Resolution Plan for approval. (See attached plan.)

Since Mr. Battles is an employee of an FNRC provider, his conflict resolution plan must follow the rules of W&I Section 4622(k).

After reviewing the submitted proposal and assuming that Mr. Battles does not serve as an officer of the FNRC board, the staff recommendation is to approve Mr. Battles' waiver request since Section 4626(c) permits him to serve, FNRC engages in the public board member recruitment process, and his proposed plan mitigates the opportunity for a resulting conflict of interest.

STAFF RECOMMENDATION (Battles): The staff recommendation is to approve Mr. Battles' mitigation plan provided he does not participate in any board decision that impacts his employer and also complies with Section 4622(k) requirements.

FNRC Boardmember Waiver Requests July 2018 Page **5** of **9**

FNRC Board Member Adam Beals

FACTS (Beals): Adam Beals serves as the consumer representative on the governing board of the Far Northern Regional Center (FNRC). Mr. Beals works approximately 12 hours per week in the mail center for the Siskiyou County Opportunity Center (SCOC), a regional center provider of employment services. Mr. Beals' employment is part of the employment services he receives through the regional center.

Mr. Beals was previously been granted a conflict of interest waiver in 2016 and 2017.

DISCUSSION (Beals): With regard to his employment with SCOC, Mr. Beals identifies a conflict of interest under Regulation 54520(a)(10). Therefore, he submits a Conflict of Interest Resolution Plan for approval. (See attached plan.)

Since Mr. Beals is an employee of an FNRC provider, his conflict resolution plan must follow the rules of W&I Section 4622(k).

After reviewing the submitted proposal and assuming that Mr. Beals does not serve as an officer of the FNRC board, the staff recommendation is to approve Mr. Beals' waiver request since Section 4626(c) permits him to serve, FNRC engages in the public board member recruitment process, and his proposed plan mitigates the opportunity for a resulting conflict of interest.

STAFF RECOMMENDATION (Beals): The staff recommendation is to approve Mr. Beals' mitigation plan provided he does not participate in any board decision that impacts his employer and also complies with Section 4622(k) requirements.

FNRC Board Member Roger Hatton

FACTS (Hatton): Roger Hatton serves on the governing board of the Far Northern Regional Center (FNRC) as a consumer representative. Mr. Hatton works approximately 30 hours per week for CPE Food Pantry driving a delivery vehicle. Mr. Hatton's employment is part of the employment services he receives through the regional center.

Mr. Hatton was previously granted a conflict of interest waiver in 2016 and 2017.

DISCUSSION (Hatton): With regard to his employment with these two businesses, Mr. Hatton identifies a conflict of interest under Regulation 54520(a)(10). Therefore, he submits a Conflict of Interest Resolution Plan for approval. (See attached plan.)

Since Mr. Hatton is an employee of an FNRC provider, his conflict resolution plan must follow the rules of W&I Section 4622(k).

After reviewing the submitted proposal and assuming that Mr. Hatton does not serve as an officer of the FNRC board, the staff recommendation is to approve Mr. Hatton's waiver request since Section 4626(c) permits him to serve, FNRC engages in the public board member recruitment process, and his proposed plan mitigates the opportunity for a resulting conflict of interest.

STAFF RECOMMENDATION (Hatton): The staff recommendation is to approve Mr. Hatton's mitigation plan provided he does not participate in any board decision that impacts his employer and also complies with Section 4622(k) requirements.

FNRC Board Member Susan Hess

FACTS (Hess): Susan Hess serves on the governing board of the Far Northern Regional Center (FNRC) as a consumer representative. Ms. Hess works approximately 4 hours per week at Little Red Hen, a regional center provider. Her husband also works about 4 hours per week at Little Red Hen. Ms. Hess' employment is part of the employment services she receives through the regional center.

Ms. Hess was previously granted conflict of interest waivers by the Council in 2013, 2014, 2016 and 2017.

DISCUSSION (Hess): With regard to her and her husband's employment with Little Red Hen, Ms. Hess identifies a conflict of interest under Regulation 54520(a)(10). Therefore, she submits a Conflict of Interest Resolution Plan for approval. (See attached plan.)

Since Ms. Hess is an employee of an FNRC provider, her conflict resolution plan must follow the rules of W&I Section 4622(k).

After reviewing the submitted proposal and assuming that Ms. Hess does not serve as an officer of the FNRC board, the staff recommendation is to approve Ms. Hess' waiver request since Section 4626(c) permits her to serve (and it is also reasonable to apply this rationale to her husband's employment), FNRC engages in the public board member recruitment process, and her proposed plan mitigates the opportunity for a resulting conflict of interest.

STAFF RECOMMENDATION (Hess): The staff recommendation is to approve Ms. Hess' mitigation plan provided she does not participate in any board decision that impacts her employer and also complies with Section 4622(k) requirements.

FNRC Boardmember Waiver Requests July 2018 Page 8 of 9

FNRC Board Member Colleen Ryberg

FACTS (Ryberg): Colleen Ryberg is a member of the governing board of the Far Northern Regional Center (FNRC). Ms. Ryberg's daughter-in-law is a provider of occupational therapy services to regional center consumers. Her daughter-in-law does not live with Ms. Ryberg, and Ms. Ryberg does not receive any financial benefit from her daughter-in-law's employment.

Ms. Ryberg was previously granted conflict of interest waivers by the Council in 2013, 2014, 2015, 2016 and 2017.

DISCUSSION (Ryberg): With regard to her daughter-in-law's work as service provider, Ms. Ryberg identifies a conflict of interest. Specifically, depending on the daughter-in-law's business and her position with the business, a conflict of interest may be identified under one of a number of regulatory sections. (See Regulations 54520 (a)(5), (a)(10), (a)(11), and/or (a)(13).) Therefore, Ms. Ryberg submits a Conflict of Interest Resolution Plan for approval. (See attached plan.)

After reviewing the submitted proposal, the staff recommendation is to approve Ms. Ryberg's waiver request since she receives no financial benefit from her daughter-in-law, FNRC engages in the public board member recruitment process, and the proposed plan mitigates the opportunity for a resulting conflict of interest.

STAFF RECOMMENDATION (Ryberg): The staff recommendation is to approve Ms. Ryberg's waiver request.

FNRC Boardmember Waiver Requests July 2018 Page **9** of **9**

FNRC Board Member Suzette Serrano

FACTS (Serrano): Suzette Serrano is a member of the governing board of the Far Northern Regional Center (FNRC). She works part-time for We Care A Lot Foundation and ARC of Butte County, both regional center employment providers. Ms. Serrano is a FNRC consumer, and her employment with these organizations is part of the employment services she receives through FNRC.

Ms. Serrano was previously granted a conflict of interest waiver in 2017.

DISCUSSION (Serrano): With regard to her employment with We Care A Lot Foundation and ARC of Butte County, Ms. Serrano has a conflict of interest. (See Regulation 54520(a)(10).) Therefore, she submits a Conflict of Interest Resolution Plan for approval. (See attached plan.)

Since Ms. Serrano is an employee of an FNRC provider, her conflict resolution plan must follow the rules of W&I Section 4622(k).

After reviewing the submitted proposal and assuming that Ms. Serrano does not serve as an officer of the FNRC board, the staff recommendation is to approve Ms. Serrano's waiver request since Section 4626(c) permits her to serve, FNRC engages in the public board member recruitment process and the proposed plan mitigates the opportunity for a resulting conflict of interest.

STAFF RECOMMENDATION (Serrano): The staff recommendation is to approve Ms. Serrano's mitigation plan provided she does not participate in any board decision that impacts her employers and also complies with Section 4622(k) requirements.

FNRC BOARDIMEMBER	FACTS SUMMAN	>
William Battles	Consumer representative	
	Works 20 - 29 hours per week as a Peer Instructor for provider We Care A Lot	or provider We Care A Lot
	Previously granted a conflict of interest waiver in 2016 and 2017	16 and 2017
Adam Beals	Consumer representative	
	Works 12 hours per week for provider Siskiyou County Opportunity Center	nty Opportunity Center
	 Previously granted a conflict of interest waiver in 2016 and 2017 	16 and 2017
Roger Hatton	Consumer representative	
	• Works 30 hours per week for provider CPE Food Pantry as delivery driver	itry as delivery driver
	 Previously granted a conflict of interest waiver in 2016 and 2017 	16 and 2017
Susan Hess	Consumer representative	
	 Works 4 hours per week at provider Little Red Hen 	
	Ms. Hess' husband also works about 4 hours per week at Little Red Hen.	ek at Little Red Hen.
	 Previously granted conflict of interest waivers in 2013, 2014, 2016 and 2017 	13, 2014, 2016 and 2017
Colleen Ryberg	Ms. Ryberg's daughter-in-law is a provider of occupational therapy services to regional center	ational therapy services to regional center
	consumers	
	 Daughter-in-law does not live with Ms. Ryberg, and 	es not live with Ms. Ryberg, and Ms. Ryberg does not receive any financial
	benefit from her daughter-in-law's employment.	
	 Previously granted conflict of interest waivers by th 	conflict of interest waivers by the Council in 2013, 2014, 2015, 2016 and
	2017	
Suzette Serrano	Consumer representative	
	 Works 4 times per week (varying hours) at provider We Care A Lot 	We Care A Lot
	 Works for approximately 6 hours per week for provider ARC of Butte County 	der ARC of Butte County
	 Previously granted a conflict of waiver in 2017 	



Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives.



May 29, 2018

State Council on Developmental Disabilities 1507 21st Street, Suite 210 Sacramento, CA 95811

Attention: Aaron Carruthers, Executive Director

Re: Conflict of Interest, FNCC Board Member, William Battles

Dear Mr. Carruthers:

Enclosed, please find the following documents regarding the potential Conflict of Interest of FNRC/FNCC Board Member, William Battles:

- Request for Waiver of Potential Conflict of Interest
- Proposed Conflict of Interest Resolution Plan
- Conflict of Interest Reporting Statement

These documents have been sent to the Department of Developmental Services for review.

Thank you for your consideration in this matter.

Sincerely,

Onthia Presidio
Executive Assistant

Enclosures

cc: Allan Smith, Department of Developmental Services

FNCC Executive Committee

William Battles

www.farnorthernrc.org

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

Reset Form

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

Name: William Battles		Regional Center:	Far Northern
Regional Center Position/Title:	☐ Governing Board ☐ Vendor Advisory ☐ Contractor	Member Committee sitting on Board ☐ Agent	☐ Executive Director☐ Employee☐ Consultant
Reporting Status:	■ Annual □ Change of Status ¹	New Appointment (date)	

1. Please list your job title and describe your job duties at the regional center.

Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		☐ Executive Director☐ Employee/Other
2	Do you or a family member ² work for any entity or organization that is a regional center program of the entity or organization and describe what see regional center or regional center consumers. If the provider or contractor is a state or provide the specific name of the state or local governmental entity and describe your job of governmental entity.	ervices it provides for the post of the provinces it provides for the province of the province
	This Board member is a consumer who is employed by a provider of employment services a & Institutions Code section 4626(c), which provides: "A person with a developmental disabili- employment services through a regional center provider shall not be precluded from serving board oa regional center based solely upon receipt of these employment services." - Continu	ties who receives
3.	Do you or a family member own or hold a position ³ in an entity or organization that is a recontractor? yes no If yes, provide the name of the entity or organization, or provides for the regional center or regional center consumers, and describe your or your fainterest.	lescribe what services it
	I work approximately 20 - 29 hours per week for We care a Lot as a Peer Inst	tructor.
4.	Are you a regional center advisory committee board member?	o the regional center or
	If you are a regional center advisory committee board member and answered yes to all the cabove, do any of the following apply to you: (a) are you an officer of the regional center boapurchasing services from a regional center provider; or (c) do you vote on matters where you interest?	ard; (b) do you vote on
	N/A	
	pro-up-1998-A-salahanani in-salahan -upani-up-na	

Governing Board Member ☐ Vendor Advisory on Board

² Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

³ For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

		☐ Employee/Other
e	Do any of the decisions you make when performing your job duties with the regional cerfinancially benefit you or a family member⁴? [Note: Governing board members do not have question if the financial benefit would be available to regional center consumers or their fall yes ☑ no If yes, please explain.	ve to answer "ves" to this
7.	Are you responsible for negotiating, making, sexecuting or approving contracts on behalf center? very no If yes, please explain. I am a voting member of the Board of Directors pursuant to Welfare & Institutions Code section provisions.	
8.	yes allow in	f yes, did you negotiate, f yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional cent If yes, did you negotiate, make, execute or approve the contract on behalf of the regional cent If yes, please explain.	er? □ yes ☑ no :er? □ yes □ no

Governing Board Member Vendor Advisory on Board

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

California Code of Regulations, title 17, sections 54528(b)(2) describes the types of conduct which constitute involvement in

the making of a contract.

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

		☐ Executive Director☐ Employee/Other
 Do you evaluate employment applications or contract bids yes ☑ no If yes, please explain. 	that are submitted by your fami	ly member(s)?
11. Your job duties require you to act in the best interests of the have any circumstances or other financial interests not alread in the best interests of the regional center or its consumers.	ady discussed above that would	prevent you from acting
8. ATTESTATION		
William Battles (print page) HE		
regional center's Conflict of Interest Policy and that my response	REBY CONFIRM that I have rea	ad and understand the
Statement are complete, true, and correct to the best of my inf	ormation and belief. I agree that	at if I become aware of
any information that might indicate that this statement is not a center's Conflict of Interest Policy or the applicable conflict or the applica	erest laws, I will notify the regio	nal center's designated
individual immediately. I understand that knowingly providing Statement shall subject me to a civil penalty in an amount up to f	false information on this Conflic ifty thousand dollars (\$50,000) p	t of Interest Reporting ursuant to Welfare and
Institutions Code section 4626.		
ALIEN AND STATE OF THE STATE OF		
Signature William Settle	Date 05/17/201	8
INTERNAL USE ONLY		contracting the State of the Contracting C
Date this Statement was received by Reviewer:		
a proof and a second a second and a second a	e a 🛘 present 🖵 potential confli	ict of interest
Signature of Designated Reviewer	Date Review	
& Bonch Dever	5/24	/18

☐ Governing Board Member☐ Vendor Advisory on Board

May 17, 2018

Board of Directors and Laura Larson, Executive Director Far Northern Regional Center P.O. Box 492418 Redding, CA 96049-2418

Re: Proposed Conflict of Interest Resolution Plan –
Board of Directors Member William Battles

TO THE BOARD OF DIRECTORS AND EXECUTIVE DIRECTOR OF FAR NORTHERN REGIONAL CENTER:

I am a member of Far Northern Regional Center's Board of Directors, a consumer representative for People First.

I have completed my annual Conflict of Interest Reporting Statement for 2018-19 disclosing a potential conflict of interest I may have as a member of the Board of Directors. The purpose of this letter is to propose a plan to resolve the potential conflict, and to ask for approval of a conflict waiver.

I work for We Care A Lot Foundation approximately 20 - 29 hours per week as a Peer Instructor. We Care A Lot is a regional center provider of employment services.

Welfare and Institutions Code section 4626(c) provides: "A person with a developmental disability who receives employment services through a regional center provider shall not be precluded from serving on the governing board of a regional center based solely upon receipt of these employment services."

Aside from my employment with We Care A Foundation, I do not have any other involvement that would present a possible conflict. I do not have any other involvement that would present a possible conflict.

However, under the circumstances, there may be situations when a conflict of interest could come up. As a result, I have prepared a plan to avoid any actual conflict:

- 1. As a member of FNRC's Board of Directors I will not make any recommendation, participate in any discussion or vote on any contract regarding We Care A Lot Foundation;
- 2. Should these issues arise during the course of a FNRC Board of Directors' meeting, I will excuse myself from the room;

Proposed Conflict of Interest Resolution Plan – William Battles May 17, 2018 Page 2

3. I will not access any files kept by FNRC relating to We Care A Lot Foundation;

4. I will not participate individually, or as part of a group, in the preparation, presentation, formulation or approval of plans, policies, analyses, or recommendations pertaining to We Care A Lot Foundation.

I believe that this plan will resolve or mitigate any potential conflict of interest.

Thank you for your assistance,

WILLIAM BATTLES

Member, FNRC Board of Directors



Executive Director

Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives.

May 17, 2018

Allan Smith Regional Center Operations Section Department of Developmental Services 1600 Ninth Street, Room 320 (MS 3-9) Sacramento, CA 95814

Re: Request for Waiver of Potential Conflict of Interest -

FNCC Board Member William Battles

Dear Mr. Smith:

FNCC Board member William Battles has disclosed a potential conflict of interest under California Code of Regulations, Title 7, sections 54500, et seq. He is requesting a conflict waiver.

Mr. Battles serves on the Board of Directors as a consumer representative for People First. In his annual Conflict of Interest Reporting Statement for 2018-19, he disclosed that he works approximately 20 - 29 hours per week as a Peer Instructor for We Care A Lot Foundation. We Care A Lot Foundation is a regional center provider of employment services.

Under Welfare and Institutions Code section 4626(c): "A person with a developmental disability who receives employment services through a regional center provider shall not be precluded from serving on the governing board of a regional center based solely upon receipt of these employment services. Aside from his employment with We Care A Lot, Mr. Battles has not disclosed any other potential conflict.

As a FNCC Board member, Mr. Battles has not had the opportunity or authority to approve any contract with We Care A Lot. However, in light of the potential conflict of interest raised by his employment, Mr. Battles has prepared a plan to avoid or mitigate an actual conflict of interest. (See proposed conflict resolution plan, attached).

The Board Chairperson, with support from the remaining Board members, will be responsible for ensuring that the conditions stated in the plan are applied.

www.farnorthernrc.org

Allan Smith May 17, 2018 Page 2

This letter, with its attachments, serves as a Request for Waiver as prescribed by California Code of Regulations, Title 7, section 54533.

Please contact Executive Director Laura Larson if you have any questions concerning this matter.

Thank you for your assistance.

Sincerely,

LAURA L. LARSON

Executive Director

RONDA DEVER

Chairperson, FNCC Board of Directors

Encls: Conflict of Interest Reporting Statement - William Battles

Proposed Conflict of Interest Resolution Plan - William Battles

cc: State

State Council on Developmental Disabilities

FNCC Executive Committee

William Battles



Executive Director

Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives.

May 29, 2018

STATE COUNCIL ON DEVELOPMENTAL DISABILITIES

State Council on Developmental Disabilities 1507 21st Street, Suite 210 Sacramento, CA 95811

Attention: Aaron Carruthers, Executive Director

Re: Conflict of Interest, FNCC Board Member, Adam Beals

Dear Mr. Carruthers:

Enclosed, please find the following documents regarding the potential Conflict of Interest of FNRC/FNCC Board Member, Adam Beals:

- Request for Waiver of Potential Conflict of Interest
- Proposed Conflict of Interest Resolution Plan
- Conflict of Interest Reporting Statement

These documents have been sent to the Department of Developmental Services for review.

Thank you for your consideration in this matter.

Sincerely,

Inthia Presidio
Executive Assistant

Enclosures

cc: Allan Smith, Department of Developmental Services

FNCC Executive Committee

Adam Beals

www.farnortheinrc.org

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

Reset Form

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

Name: Adam Beals		Regional Center: Fa	r Northern
Regional Center Position/Title:	Governing Boo U Vendor Adviso U Contractor	ard Member ory Committee sitting on Board \(\sum \) Agent	☐ Executive Director☐ Employee☐ Consultant
Reporting Status:	Annual Change of Stat	☐ New Appointment (date):	

1. Please list your job title and describe your job duties at the regional center.

¹ Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		☐ Executive Director ☐ Employee/Other
2	Do you or a family member ² work for any entity or organization that is a regional center produce of the entity or organization and describe what s regional center or regional center consumers. If the provider or contractor is a state or leprovide the specific name of the state or local governmental entity and describe your job or governmental entity.	ervices it provides for the ocal governmental entity
	This Board member is a consumer who is employed by a provider of employment services a & Institutions Code section 4626(c), which provides: "A person with a developmental disability employment services through a regional center provider shall not be precluded from serving board oa regional center based solely upon receipt of these employment services." - Continu	ti who receives
3.	Do you or a family member own or hold a position ³ in an entity or organization that is a recontractor? very yes no If yes, provide the name of the entity or organization, deprovides for the regional center or regional center consumers, and describe your or your fainterest.	escribe what services it
	I work approximately 12 hours per week for Siskiyou County Opportunity Cen	ter.
4.	Are you a regional center advisory committee board member?	the regional center or
5.	If you are a regional center advisory committee board member and answered yes to all the cabove, do any of the following apply to you: (a) are you an officer of the regional center boa purchasing services from a regional center provider; or (c) do you vote on matters where you interest?	rd; (b) do you vote on
	N/A	

☐ Governing Board Member☐ Vendor Advisory on Board

² Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

Sections 54505(f).

For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

		☐ Executive Director☐ Employee/Other
(5. Do any of the decisions you make when performing your job duties with the regional cen financially benefit you or a family member ⁴ ? [Note: Governing board members do not hav question if the financial benefit would be available to regional center consumers or their far \(\sigma\) yes \(\sigma\) no \(-\) If yes, please explain.	e to answer "ves" to this
7,	Are you responsible for negotiating, making, sexecuting or approving contracts on behalf center? yes no If yes, please explain. I am a voting member of the Board of Directors pursuant to Welfare & Institutions Code section provisions.	-
8.		yes, did you negotiate, yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional center of yes, did you negotiate, make, execute or approve the contract on behalf of the regional center of yes, please explain.	er? □yes ☑no er? □yes □no

☑ Governing Board Member☑ Vendor Advisory on Board

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

⁵ California Code of Regulations, title 17, configure 5453(b)(2) departs the configure of the configure for the configuration for the configure for the configuration for the conf

the making of a contract.

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

	Employee/Other
 Do you evaluate employment applications or contract bids that are submitted yes ✓ no If yes, please explain. 	d by your family member(s)?
11. Your job duties require you to act in the best interests of the regional center a have any circumstances or other financial interests not already discussed abo in the best interests of the regional center or its consumers? ☐ yes ☑ no	ve that would prevent you from acting
B. ATTESTATION	A Service and the service and
Adam Beals (print name) HEREBY CONFIRM to	nat I have read and understand the
regional center's Conflict of Interest Policy and that my responses to the questions Statement are complete, true, and correct to the best of my information and believe any information that might indicate that this statement is not accurate or that I center's Conflict of Interest Policy or the applicable conflict of interest laws, I will not individual immediately. I understand that knowingly providing false information of Statement shall subject me to a civil penalty, in an amount up to fifty thousand dollars.	in this Conflict of Interest Reporting ef. I agree that if I become aware of have not complied with the regional otify the regional center's designated on this Conflict of Interest Reporting
Institutions Code section 4626.	
Signature Da	te 05/17/2018
INTERNAL USE ONLY	
Date this Statement was received by Reviewer:	
The reporting individual	potential conflict of interest
Signature of Designated Reviewer	Date Review Completed
& Ronda Dever	5/24/18

☐ Governing Board Member☐ Vendor Advisory on Board

May 17, 2018

Board of Directors and Laura Larson, Executive Director Far Northern Regional Center P.O. Box 492418 Redding, CA 96049-2418

Re: Proposed Conflict of Interest Resolution Plan –
Board of Directors Member Adam Beals

TO THE BOARD OF DIRECTORS AND EXECUTIVE DIRECTOR OF FAR NORTHERN REGIONAL CENTER:

I am a member of Far Northern Regional Center's Board of Directors, a consumer representative for Siskiyou County.

I have completed my annual Conflict of Interest Reporting Statement for 2018-19, disclosing a potential conflict of interest I may have as a member of the Board of Directors. The purpose of this letter is to propose a plan to resolve the potential conflict, and to ask for approval of a conflict waiver.

I work in the mail center of the Siskiyou County Opportunity Center approximately 12 hours per week.

Welfare and Institutions Code section 4626(c) provides: "A person with a developmental disability who receives employment services through a regional center provider shall not be precluded from serving on the governing board of a regional center based solely upon receipt of these employment services."

Aside from my employment with Siskiyou County Opportunity Center, I do not have any other involvement that would present a possible conflict.

However, under the circumstances, there may be situations when a conflict of interest could come up. As a result, I have prepared a plan to avoid any actual conflict:

- 1. As a member of FNRC's Board of Directors I will not make any recommendation, participate in any discussion or vote on any contract regarding Siskiyou County Opportunity Center;
- 2. Should these issues arise during the course of a FNRC Board of Directors' meeting, I will excuse myself from the room;

Proposed Conflict of Interest Resolution Plan – Adam Beals May 17, 2018 Page 2

3. I will not access any files kept by FNRC relating to Siskiyou County Opportunity Center;

4. I will not participate individually, or as part of a group, in the preparation, presentation, formulation or approval of plans, policies, analyses, or recommendations pertaining to Siskiyou County Opportunity Center.

I believe that this plan will resolve or mitigate any potential conflict of interest.

Thank you for your assistance,

ADAM BEALS

Member, FNRC Board of Directors



Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives.

May 18, 2018

Allan Smith Regional Center Operations Section Department of Developmental Services 1600 Ninth Street, Room 320 (MS 3-9) Sacramento, CA 95814

Re: Request for Waiver of Potential Conflict of Interest -

FNCC Board Member Adam Beals

Dear Mr. Smith:

FNCC Board member Adam Beals has disclosed a potential conflict of interest under California Code of Regulations, Title 7, sections 54500, et seq. He is requesting a conflict waiver.

Mr. Beals serves on the Board of Directors as a consumer representative from Siskiyou County. In his annual Conflict of Interest Reporting Statement for 2018-19, he disclosed that he works in the mail center of the Siskiyou County Opportunity Center approximately 12 hours per week.

Under Welfare and Institutions Code section 4626(c): "A person with a developmental disability who receives employment services through a regional center provider shall not be precluded from serving on the governing board of a regional center based solely upon receipt of these employment services." Aside from his employment with Siskiyou County Opportunity Center, Mr. Beals has not disclosed any other potential conflict.

As a FNCC Board member, Adam Beals has not had the opportunity or authority to approve any contract with Siskiyou County Opportunity Center. However, in light of the potential conflict of interest raised by his employment, Mr. Beals has prepared a plan to avoid or mitigate an actual conflict of interest. (See proposed conflict resolution plan, attached).

The Board Chairperson, with support from the remaining Board members, will be responsible for ensuring that the conditions stated in the plan are applied.

www.farnorthernrc.org

Allan Smith May 18, 2018 Page 2

This letter, with its attachments, serves as a Request for Waiver as prescribed by California Code of Regulations, Title 7, section 54533.

Please contact Executive Director Laura Larson if you have any questions concerning this matter.

Thank you for your assistance.

Sincerely,

LAURA L. LARSON

Executive Director

RONDA DEVER

Chairperson, FNCC Board of Directors

Encls: Conflict of Interest Reporting Statement - Adam Beals

Proposed Conflict of Interest Resolution Plan - Adam Beals

cc: State Council on Developmental Disabilities

FNCC Executive Committee

Adam Beals



Executive Director

Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives.

June 4, 2018



State Council on Developmental Disabilities 1507 21st Street, Suite 210 Sacramento, CA 95811

Attention: Aaron Carruthers, Executive Director

Re: Conflict of Interest, FNCC Board Member, Roger Hatton

Dear Mr. Carruthers:

Enclosed, please find the following documents regarding the potential Conflict of Interest of FNRC/FNCC Board Member, Roger Hatton:

- Request for Waiver of Potential Conflict of Interest
- Proposed Conflict of Interest Resolution Plan
- Conflict of Interest Reporting Statement

These documents have been sent to the Department of Developmental Services for review.

Thank you for your consideration in this matter.

Sincerely,

ynthia Presidio Executive Assistant

Enclosures

cc: Allan Smith, Department of Developmental Services

FNCC Executive Committee

Roger Hatton

www.farnorthernic org

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

Reset Form

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

Name: Roger Hatton		Regional Center: Fa	ar Northern
Regional Center Position/Title:	☐ Governing Board ☐ Vendor Advisory ☐ Contractor	Member Committee sitting on Board ☐ Agent	☐ Executive Director ☐ Employee ☐ Consultant
Reporting Status:	Annual Change of Status	New Appointment (date):	
If a change in status, date and	circumstance of char	nge in status:	

1. Please list your job title and describe your job duties at the regional center.

Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		☐ Employee/Other
2	Do you or a family member ² work for any entity or organization that is a regional center pr yes no If yes, provide the name of the entity or organization and describe what s regional center or regional center consumers. If the provider or contractor is a state or provide the specific name of the state or local governmental entity and describe your job or governmental entity.	services it provides for the
	This Board member is a consumer who is employed by a provider of employment services & Institutions Code section 4626(c), which provides: "A person with a developmental disabil employment services through a regional center provider shall not be precluded from serving oa regional center based solely upon receipt of these employment services." - Continue to #	iti who receives
3.	Do you or a family member own or hold a position ³ in an entity or organization that is a re contractor? yes no If yes, provide the name of the entity or organization, or provides for the regional center or regional center consumers, and describe your or your fainterest.	describe what services it
	I am currently employed by CPE Food Pantry. I work approximately 30 hours delivery vehicles for this business	s per week driving
4.	Are you a regional center advisory committee board member?	o the regional center or
	If you are a regional center advisory committee board member and answered yes to all the cabove, do any of the following apply to you: (a) are you an officer of the regional center boapurchasing services from a regional center provider; or (c) do you vote on matters where you interest? yes no If yes, please explain.	ard: (b) do you yote on
	N/A .	
Eem	ily morphor includes your engage days and a second	

Governing Board Member ☐ Vendor Advisory on Board

² Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

³ For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

			☐ Employee/Other
	6.	Do any of the decisions you make when performing your job duties with the regional cerfinancially benefit you or a family member ? [Note: Governing board members do not have question if the financial benefit would be available to regional center consumers or their fair yes no — If yes, please explain.	in to anomal thing! I all the
7		Are you responsible for negotiating, making, sexecuting or approving contracts on behalf center?	
8.	<u>[</u>	Do you have a financial interest in any contract ⁶ with the regional center?	yes, did you negotiate, yes, please explain.
9.	IT	o any of your family members have a financial interest in any contract with the regional centers, yes, did you negotiate, make, execute or approve the contract on behalf of the regional centers, please explain.	er? □ yes ☑ no er? □ yes □ no

Governing Board Member Vendor Advisory on Board

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

the making of a contract.

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. Catifornia Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

	☐ Governing Board Member☐ Vendor Advisory on Board☐ Executive Director☐ Employee/Other
10. Do you evaluate employment applications or contract bids that are submitted i ☐ yes ☑ no If yes, please explain.	by your family member(s)?
11. Your job duties require you to act in the best interests of the regional center and have any circumstances or other financial interests not already discussed above in the best interests of the regional center or its consumers? ☐ yes ☐ no —	d regional center consumers. Do you that would prevent you from acting If yes, please explain.
B. ATTESTATION	A let a securitation popul
Roger Hatton (print name) HEREBY CONFIRM that regional center's Conflict of Interest Policy and that my responses to the questions in Statement are complete, true, and correct to the best of my information and belief. any information that might indicate that this statement is not accurate or that I have center's Conflict of Interest Policy or the applicable conflict of interest laws, I will notified individual immediately. I understand that knowingly providing false information on Statement shall subject me to a civil penalty in an amount up to fifty thousand dollars (Institutions Code section 4626.	I this Conflict of Interest Reporting I agree that if I become aware of ye not complied with the regional by the regional center's designated
Signature 12 Total Date	5-19-18
INTERNAL USE ONLY	The second secon
Date this Statement was received by Reviewer:	
The reporting individual	ential conflict of interest
Signature of Designated Reviewer	ite Review Completed
& Ronch D. Dever	5/3///8

May 17, 2018

Board of Directors and Laura Larson, Executive Director Far Northern Regional Center P.O. Box 492418 Redding, CA 96049-2418

Re: Proposed Conflict of Interest Resolution Plan –
Board of Directors Member Roger Hatton

TO THE BOARD OF DIRECTORS AND EXECUTIVE DIRECTOR OF FAR NORTHERN REGIONAL CENTER:

I am a member of Far Northern Regional Center's Board of Directors, a consumer representative for Butte County.

I have completed my annual Conflict of Interest Reporting Statement for 2018-19, disclosing a potential conflict of interest I may have as a member of the Board of Directors. The purpose of this letter is to propose a plan to resolve the potential conflict, and to ask for approval of a conflict waiver.

I work approximately 30 hours per week driving delivery vehicles for CPE Food Pantry.

Welfare and Institutions Code section 4626(c) provides: "A person with a developmental disability who receives employment services through a regional center provider shall not be precluded from serving on the governing board of a regional center based solely upon receipt of these employment services."

Aside from my employment with CPE Food Pantry, I do not have any other involvement that would present a possible conflict.

However, under the circumstances, there may be situations when a conflict of interest could come up. As a result, I have prepared a plan to avoid any actual conflict:

1. As a member of FNRC's Board of Directors I will not make any recommendation, participate in any discussion or vote on any contract regarding CPE Food Pantry.

2. Should these issues arise during the course of a FNRC Board of Directors' meeting, I will excuse myself from the room;

Proposed Conflict of Interest Resolution Plan - Roger Hatton

May 17, 2018 Page 2 30.

3. I will not access any files kept by FNRC relating to CPE Food Pantry;

4. I will not participate individually, or as part of a group, in the preparation, presentation, formulation or approval of plans, policies, analyses, or recommendations pertaining to CPE Food Pantry.

I believe that this plan will resolve or mitigate any potential conflict of interest.

Thank you for your assistance,

ROGER HATTON

Member, FNRC Board of Directors

Roger Hoth

May 31, 2018

Allan Smith Regional Center Operations Section Department of Developmental Services 1600 Ninth Street, Room 320 (MS 3-9) Sacramento, CA 95814

Re:

Request for Waiver of Potential Conflict of Interest -

FNCC Board Member Roger Hatton

Dear Mr. Smith:

FNCC Board member Roger Hatton has disclosed a potential conflict of interest under California Code of Regulations, Title 7, sections 54500, et seq. He is requesting a conflict waiver.

Mr. Hatton serves on the Board of Directors as a consumer representative for Shasta County. In his annual Conflict of Interest Reporting Statement for 2018-19, He disclosed that he works approximately 30 hours per week driving delivery vehicles for both CPE Food Pantry.

Under Welfare and Institutions Code section 4626(c): "A person with a developmental disability who receives employment services through a regional center provider shall not be precluded from serving on the governing board of a regional center based solely upon receipt of these employment services." Aside from his employment with CPE Food Pantry, Mr. Hatton has not disclosed any other potential conflict.

As a FNCC Board member, Roger Hatton has not had the opportunity or authority to approve any contract with CPE Food Pantry. However, in light of the potential conflict of interest raised by his employment, Mr. Hatton has prepared a plan to avoid or mitigate an actual conflict of interest. (See proposed conflict resolution plan, attached).

The Board Chairperson, with support from the remaining Board members, will be responsible for ensuring that the conditions stated in the plan are applied.

Allan Smith May 31, 2018 Page 2

This letter, with its attachments, serves as a Request for Waiver as prescribed by California Code of Regulations, Title 7, section 54533.

Please contact Executive Director Laura Larson if you have any questions concerning this matter.

Thank you for your assistance.

Sincerely,

LAURA L. LARSON

Executive Director

RONDA DEVER

Chairperson, FNCC Board of Directors

Encls: Conflict of Interest Reporting Statement - Roger Hatton

Proposed Conflict of Interest Resolution Plan - Roger Hatton

cc: State Council on Developmental Disabilities

FNCC Executive Committee

Roger Hatton



Executive Director

Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives.

May 29, 2018

State Council on Developmental Disabilities 1507 21st Street, Suite 210 Sacramento, CA 95811

Attention: Aaron Carruthers, Executive Director

Re: Conflict of Interest, FNCC Board Member, Susan Hess

Dear Mr. Carruthers:

Enclosed, please find the following documents regarding the potential Conflict of Interest of FNRC/FNCC Board Member, Susan Hess:

- Request for Waiver of Potential Conflict of Interest
- Proposed Conflict of Interest Resolution Plan
- Conflict of Interest Reporting Statement

These documents have been sent to the Department of Developmental Services for review.

Thank you for your consideration in this matter.

Sincerely,

Cynthia Presidio Executive Assistant

Enclosures

cc: Allan Smith, Department of Developmental Services FNCC Executive Committee

Susan Hess

www.farnorthernrc.org

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)

Reset Form

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

Name: Susan Hess		Regional Center: F	ar Northern
Regional Center Position/Title:	☐ Governing Board ☐ Vendor Advisory ☐ Contractor	Member Committee sitting on Board ☐ Agent	☐ Executive Director☐ Employee☐ Consultant
Reporting Status:		☐ New Appointment (date):	- An appropri

1. Please list your job title and describe your job duties at the regional center.

¹ Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		☐ Executive Director☐ Employee/Other
2.	Do you or a family member ² work for any entity or organization that is a regional center program yes one— If yes, provide the name of the entity or organization and describe what s regional center or regional center consumers. If the provider or contractor is a state or provide the specific name of the state or local governmental entity and describe your job or governmental entity.	ervices it provides for the
	This board member is a consumer who is employed by a provider of employment services a & Institutions Code section 4627(c), which provides: "A person with a developmental disabi employment services through a regional center provider shall not be precluded from serving of a regional center based solely upon receipt of these employment services" - Continue to	on the serieuring beginning
3.	Do you or a family member own or hold a position ³ in an entity or organization that is a recontractor? yes no - If yes, provide the name of the entity or organization, or provides for the regional center or regional center consumers, and describe your or your fainterest.	escribe what services it
	I am currently employed at Little Red Hen, a regional center provider, approxi- hours per week. My husband, Ted Hess, is also employed four (4) hours per Hen. The Little Red Hen provides employment services to regional center cli- retail environments.	Week at Little Ded
	Are you a regional center advisory committee board member? \square yes \square no $-$ If yes, an governing board or owner or employee of an entity or organization that provides services to regional center consumers? \square yes \square no $-$ If yes, provide the name of the entity or organization that provides for the regional center or regional center consumers.	the regional center or
i	If you are a regional center advisory committee board member and answered yes to all the cabove, do any of the following apply to you: (a) are you an officer of the regional center board ourchasing services from a regional center provider; or (c) do you vote on matters where you nterest?	ird: (b) do you vote on
	N/A	
Fami	ly member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children	stanchildran

☑ Governing Board Member ☐ Vendor Advisory on Board

Family member includes your spouse, domestic partner, parents, stepparents, grandparents, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

The purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

		☐ Executive Director ☐ Employee/Other
ť	5. Do any of the decisions you make when performing your job duties with the regional cer financially benefit you or a family member ⁴ ? [Note: Governing board members do not have question if the financial benefit would be available to regional center consumers or their family yes on If yes, please explain.	ve to answer "ves" to this
7.	center? ves no - If yes, please explain.	_
	I am a voting member of the Board of Directors pursuant to Welfare & Institutions Code section provisions.	on 4625.5 and related
8.	Do you have a financial interest in any contract ⁶ with the regional center? ☐ yes ☐ no — if make, execute or approve the contract on behalf of the regional center? ☐ yes ☐ no — if	f yes, did you negotiate, f yes, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional cent If yes, did you negotiate, make, execute or approve the contract on behalf of the regional cent If yes, please explain.	er? □ yes ☑ no eer? □ yes □ no

☑ Governing Board Member Vendor Advisory on Board

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

the making of a contract.

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can be purposed of financial loss irrespective of the dollar amount. cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

			☐ Vendor Advisory on Board☐ Executive Director☐ Employee/Other
10.	Do you evaluate employment applications or contract bids that are submitted yes ☐ yes ☐ no — If yes, please explain.	d by your famil	y member(s)?
11.	Your job duties require you to act in the best interests of the regional center	and regional co	nter consumer. D
	any circumstances of other financial interests not already discussed about	ove that would	prevent you from acting
B. A	TESTATION		
Sus	an Hess		
any in center individ Statem	(print name) HEREBY CONFIRM to all center's Conflict of Interest Policy and that my responses to the question nent are complete, true, and correct to the best of my information and beliformation that might indicate that this statement is not accurate or that I is Conflict of Interest Policy or the applicable conflict of interest laws, I will not all immediately. I understand that knowingly providing false information and ent shall subject me to a civil penalty in an amount up to fifty thousand dollations Code section 4626.	in this Conflice. I agree that have not comportify the region	t of Interest Reporting t if I become aware of blied with the regional al center's designated
	Signature Day Day	ce 05/17/2018	
	INTERNAL USE ONLY		
	Date this Statement was received by Reviewer:	and the same of th	
_	The reporting individual □ does □ does not have a □ present □ p	otential conflic	t of interest
	Signature of Designated Reviewer	Date Review C	
accu.	& Honch Dever	5/24/	18

Governing Board Member

May 17, 2018

Board of Directors and Laura Larson, Executive Director Far Northern Regional Center P.O. Box 492418 Redding, CA 96049-2418

Re: Proposed Conflict of Interest Resolution Plan –
Board of Directors Member Susan Hess

TO THE BOARD OF DIRECTORS AND EXECUTIVE DIRECTOR OF FAR NORTHERN REGIONAL CENTER:

I am a member of Far Northern Regional Center's Board of Directors, a consumer representative for Butte County.

I have completed my annual Conflict of Interest Reporting Statement for 2018-19, disclosing a potential conflict of interest I may have as a member of the Board of Directors. The purpose of this letter is to propose a plan to resolve the potential conflict, and to ask for approval of a conflict waiver.

I work at Little Red Hen approximately four (4) hours per week. My husband, Ted Hess, also works at Little Red approximately four (4) hours per week.

Welfare and Institutions Code section 4626(c) provides: "A person with a developmental disability who receives employment services through a regional center provider shall not be precluded from serving on the governing board of a regional center based solely upon receipt of these employment services."

Aside from my employment with Little Red Hen, I do not have any other involvement that would present a possible conflict.

However, under the circumstances, there may be situations when a conflict of interest could come up. As a result, I have prepared a plan to avoid any actual conflict:

- 1. As a member of FNRC's Board of Directors I will not make any recommendation, participate in any discussion or vote on any contract regarding Little Red Hen;
- 2. Should these issues arise during the course of a FNRC Board of Directors' meeting, I will excuse myself from the room;

Proposed Conflict of Interest Resolution Plan - Susan Hess May 17, 2018 Page 2

3. I will not access any files kept by FNRC relating to Little Red Hen;

4. I will not participate individually, or as part of a group, in the preparation, presentation, formulation or approval of plans, policies, analyses, or recommendations pertaining to Little Red Hen.

I believe that this plan will resolve or mitigate any potential conflict of interest.

Thank you for your assistance,

Member, FNRC Board of Directors



Executive Director

Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives.

May 18, 2018

Allan Smith Regional Center Operations Section Department of Developmental Services 1600 Ninth Street, Room 320 (MS 3-9) Sacramento, CA 95814

Re: Request for Waiver of Potential Conflict of Interest -

FNCC Board Member Susan Hess

Dear Mr. Smith:

FNCC Board member Susan Hess has disclosed a potential conflict of interest under California Code of Regulations, Title 7, sections 54500, et seq. She is requesting a conflict waiver.

Mrs. Hess serves on the Board of Directors as a consumer representative for Butte County. In her annual Conflict of Interest Reporting Statement for 2016-17, she disclosed that she works approximately four (4) hours per week at Little Red Hen. Her husband, Ted Hess, also works approximately four (4) hours per week at Little Red Hen. Little Red Hen is a regional center provider of employment services.

Under Welfare and Institutions Code section 4626(c): "A person with a developmental disability who receives employment services through a regional center provider shall not be precluded from serving on the governing board of a regional center based solely upon receipt of these employment services." Aside from her and her husband's employment with Little Red Hen, Mrs. Hess has not disclosed any other potential conflict.

As a FNCC Board member, Susan Hess has not had the opportunity or authority to approve any contract with Little Red Hen. However, in light of the potential conflict of interest raised by her employment and the employment of her husband, Mrs. Hess has prepared a plan to avoid or mitigate an actual conflict of interest. (See proposed conflict resolution plan, attached).

The Board Chairperson, with support from the remaining Board members, will be responsible for ensuring that the conditions stated in the plan are applied.

www.farnorthernrc.org

Allan Smith May 18, 2018 Page 2

This letter, with its attachments, serves as a Request for Waiver as prescribed by California Code of Regulations, Title 7, section 54533.

Please contact Executive Director Laura Larson if you have any questions concerning this matter.

Thank you for your assistance.

Sincerely,

LAURA L. LARSON

Executive Director

RONDA DEVER

Chairperson, FNCC Board of Directors

Encls: Conflict of Interest Reporting Statement - Susan Hess

Proposed Conflict of Interest Resolution Plan - Susan Hess

cc: State Council on Developmental Disabilities

FNCC Executive Committee

Susan Hess



Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives.

May 29, 2018

State Council on Developmental Disabilities 1507 21st Street, Suite 210 Sacramento, CA 95811

Attention: Aaron Carruthers, Executive Director

Re: Conflict of Interest, FNCC Board Member, Colleen Ryberg

Dear Mr. Carruthers:

Enclosed, please find the following documents regarding the potential Conflict of Interest of FNRC/FNCC Board Member, Colleen Ryberg:

- Request for Waiver of Potential Conflict of Interest
- Proposed Conflict of Interest Resolution Plan
- · Conflict of Interest Reporting Statement

These documents have been sent to the Department of Developmental Services for review.

Thank you for your consideration in this matter.

Sincerely,

Cynthia Presidio Executive Assistant

Enclosures

cc: Allan Smith, Department of Developmental Services FNCC Executive Committee

Colleen Ryberg

www.farnoithernrc.org

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)



The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

Name: Colleen Sue Ryberg	5	Regional Center:	For Northern
		megional center.	I at Mouthfull
	☐ Governing Board☐ Vendor Advisory☐ Contractor	Member Committee sitting on Board Agent	☐ Executive Director☐ Employee☐ Consultant
	☑ Annual☑ Change of Status¹	☐ New Appointment (date)	:

1. Please list your job title and describe your job duties at the regional center.

¹ Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

	2.	Do you or a family member ² work for any or	☐ Vendor Advisory on Board ☐ Executive Director ☐ Employee/Other
		Do you or a family member ² work for any entity or organization that is a regional center pro yes no — If yes, provide the name of the entity or organization and describe what se regional center or regional center consumers. If the provider or contractor is a state or lo provide the specific name of the state or local governmental entity and describe your job degovernmental entity.	ervices it provides for the cal governmental entity, uties at the state or local
		My daughter-in-law, Stacy Neil-Wiseman, is a provider of occupational therapy services to reconsumers.	gional center
	j:	Do you or a family member own or hold a position ³ in an entity or organization that is a reginontractor? 2 yes 1 no - If yes, provide the name of the entity or organization, de provides for the regional center or regional center consumers, and describe your or your fan the second se	onal center provider or scribe what services it nily member's financial
4.	re	e you a regional center advisory committee board member?	effective and the second
•	If you abo puro inte	ou are a regional center advisory committee board member and answered yes to all the que ve, do any of the following apply to you: (a) are you an officer of the regional center board chasing services from a regional center provider; or (c) do you vote on matters where you mest?	estions in Question 4 ; (b) do you vote on ight have a financial
	N/A		
	- 1		

Governing Board Member

5

² Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

³ For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

		☐ Vendor Advisory on Board ☐ Executive Director ☐ Employee/Other
	6. Do any of the decisions you make when performing your job duties with the regional cen financially benefit you or a family member⁴? [Note: Governing board members do not hav question if the financial benefit would be available to regional center consumers or their fan ☐ yes ☐ no — If yes, please explain.	
7	Are you responsible for negotiating, making, executing or approving contracts on behalf center? yes no - If yes, please explain. I am a voting member of the Board of Directors pursuant to Welfare & Institutions Code section provisions.	
8.	make execute or appropriate the any contract with the regional center? Li yes 2 no If	/es, did you negotiate, /es, please explain.
9.	Do any of your family members have a financial interest in any contract with the regional center if yes, did you negotiate, make, execute or approve the contract on behalf of the regional center if yes, please explain.	? □yes ☑ no ? □yes □ no

Governing Board Member

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

the making of a contract.

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

	☐ Vendor Advisory on Board ☐ Executive Director ☐ Employee/Other				
10. Do you evaluate employment applications or contract bids that are submitt ☐ yes ☐ no If yes, please explain.	ted by your family member(s)?				
11. Your job duties require you to act in the best interests of the regional center have any circumstances or other financial interests not already discussed ab in the best interests of the regional center or its consumers? □ yes □ n	and regional center consumers. Do you pove that would prevent you from acting to If yes, please explain.				
B. ATTESTATION	The state of the s				
Colleen Sue Ryberg (print name) HEREBY CONFIRM that I have read and understand the regional center's Conflict of Interest Policy and that my responses to the questions in this Conflict of Interest Reporting Statement are complete, true, and correct to the best of my information and belief. I agree that if I become aware of any information that might indicate that this statement is not accurate or that I have not complied with the regional center's Conflict of Interest Policy or the applicable conflict of interest laws, I will notify the regional center's designated individual immediately. I understand that knowingly providing false information on this Conflict of Interest Reporting Statement shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50,000) pursuant to Welfare and Institutions Code section 4626.					
	ate 05/18/2018				
INTERNAL USE ONLY					
	Date this Statement was received by Reviewer:				
The reporting Individual					
& Ronch Dever	Date Review Completed 5/24/18				

☑ Governing Board Member

May 18, 2018

Board of Directors and Laura Larson, Executive Director Far Northern Regional Center P.O. Box 492418 Redding, CA 96049-2418

Re: Proposed Conflict of Interest Resolution Plan –
Board of Directors Member Colleen Ryberg

TO THE BOARD OF DIRECTORS AND EXECUTIVE DIRECTOR OF FAR NORTHERN REGIONAL CENTER:

I was appointed to Far Northern Regional Center's Board of Directors on January 1, 2013, as the representative from Trinity County.

On May 18, 2018, I completed a Conflict of Interest Report Statement that disclosed a potential conflict of interest I may have as a member of the Board of Directors. The purpose of this letter is to propose a plan to resolve the potential conflict, and to seek approval of a conflict waiver.

My daughter-in-law, Stacy Neill-Wiseman, is a provider of occupational therapy services to regional center consumers. Stacy is an independent adult. She does not live with me, and I do not receive any financial benefit as a result of her employment as an occupational therapist. This issue appears to be a potential conflict of interest. As a result, I propose the following plan to avoid and/or mitigate any conflict:

- 1. I do not currently, nor will I in the future, make any recommendation, participate in any discussion or vote on any vendor contract with FNRC regarding occupational therapy services provided to consumers;
- 2. Should the issue of occupational therapy services arise during the course of a FRNC Board of Directors' meeting, I will excuse myself from the room;
- 3. I will not promote my daughter-in-law, to FNRC staff or consumers;
- 4. I will not discuss FNRC business, activities, or consumers with my daughter-in-law;
- 5. I will not access any files kept by FNRC relating to my daughter-in-law's vendorization, nor will I access any files relating to other providers of occupational therapy services;
- 6. I will not participate individually, or as part of a group, in the preparation, presentation, formulation, or approval of reports, plans, policies, analyses, opinions or recommendations pertaining to my daughter-in-law any other FNRC vendor of occupational therapy services.

Proposed Conflict of Interest Plan - Colleen Ryberg May 18, 2018 Page 2

I believe that this plan will resolve or mitigate any perceived or potential conflict of interest.

Thank you for your assistance.

Colleen Ryberg

Member, FNRC Board of Directors



Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives.

May 18, 2018

Allan Smith Regional Center Operations Section Department of Developmental Services 1600 Ninth Street, Room 320 (MS 3-9) Sacramento, CA 95814

Re:

Request for Waiver of Potential Conflict of Interest – FNCC Board Member Colleen Ryberg

Dear Mr. Smith:

FNCC Board member Colleen Ryberg has disclosed a potential conflict of interest under California Code of Regulations, Title 7, sections 54500, et seq. She is requesting a conflict waiver.

Mrs. Ryberg's daughter-in-law, Stacy Neil-Wiseman, is a provider of occupational therapy services to regional center consumers. Stacy is an independent adult. She does not live with Mrs. Ryberg, and Mrs. Ryberg does not receive any financial benefit as a result of Ms. Neill-Wiseman's employment as an occupational therapist.

As a FNCC Board member, Mrs. Ryberg has not had an opportunity or authority to approve any contract with Stacy Neil-Wiseman. However, in light of the potential conflict of interest raised by her involvement, she has prepared a plan to avoid or mitigate any actual conflict of interest. (See proposed conflict resolution plan, enclosed)

The Board Chairperson, with support from the remaining Board members, shall be responsible for ensuring that the conditions stated in the plan are applied. This letter with its attachments, serves as a Request for Waiver as prescribed by California Code of Regulations, Title7, section 54533.

www.farnorthernrc.org

Allan Smith May 18, 2018 Page 2

Please contact Executive Director, Laura Larson, if you have any questions concerning this matter.

Thank you for your assistance,

Sincerely,

LAURA L. LARSON Executive Director

RONDA DEVER

Chairperson, FNCC Board of Directors

Enclosures

Conflict of Interest Report Statement – Colleen Ryberg, May 18, 2018 Proposed Conflict of Interest Resolution Plan – Colleen Ryberg

cc: State Council on Developmental Disabilities FNCC Executive Committee Colleen Ryberg



Executive Director

Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives.

May 29, 2018



State Council on Developmental Disabilities 1507 21st Street, Suite 210 Sacramento, CA 95811

Attention: Aaron Carruthers, Executive Director

Re: Conflict of Interest, FNCC Board Member, Suzette Serrano

Dear Mr. Carruthers:

Enclosed, please find the following documents regarding the potential Conflict of Interest of FNRC/FNCC Board Member, Suzette Serrano:

- Request for Waiver of Potential Conflict of Interest
- Proposed Conflict of Interest Resolution Plan
- Conflict of Interest Reporting Statement

These documents have been sent to the Department of Developmental Services for review.

Thank you for your consideration in this matter.

Sincerely,

Zynthia Presidio Executive Assistant

Enclosures

cc: Allan Smith, Department of Developmental Services FNCC Executive Committee Suzette Serrano

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)



The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTI	NG INDIVIDUAL		My . A large manage of materials and manage of the same of the sam
Name: Suzette Serrano		Regional Center:	Far Northern
Regional Center Position/Title:	☐ Governing Board ☐ Vendor Advisory ☐ Contractor	Member Committee sitting on Board ☐ Agent	☐ Executive Director☐ Employee☐ Consultant
Reporting Status: If a change in status, date and	Annual Change of Status circumstance of chan	New Appointment (date):	
 Please list your job title and de Board Member 	escribe your job duties	s at the regional center.	

¹ Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

2. Do you or a family meant and a set of	☐ Vendor Advisory on Board ☐ Executive Director ☐ Employee/Other
2. Do you or a family member ² work for any entity or organization that is a regional center pro yes or no If yes, provide the name of the entity or organization and describe what se regional center or regional center consumers. If the provider or contractor is a state or lo provide the specific name of the state or local governmental entity and describe your job degovernmental entity.	ervices it provides for the
This Board member is a consumer who is employed by a provider of employment services are a Institutions Code section 4626(c), which provides: "A person with a developmental disabilities employment services through a regional center provider shall not be precluded from serving of a regional center based solely upon receipt of these employment services." Continue to #3	nd falls within Welfare ty who received on the governing board 3 below -
 Do you or a family member own or hold a position³ in an entity or organization that is a region contractor? yes no If yes, provide the name of the entity or organization, de provides for the regional center or regional center consumers, and describe your or your far interest. 	
I am currently employed by ARC of Butte County, a FNRC employment provide approximately six hours per week. I am, also, employed by We Care A Lot Forguide. My hours vary, but I am, usually, there four times per week.	er, and work undation as a life
4. Are you a regional center advisory committee board member? ☐ yes ☐ no If yes, are governing board or owner or employee of an entity or organization that provides services to regional center consumers? ☐ yes ☐ no If yes, provide the name of the entity or organization that provides for the regional center or regional center consumers.	-
5. If you are a regional center advisory committee board member and answered yes to all the quabove, do any of the following apply to you: (a) are you an officer of the regional center board purchasing services from a regional center provider; or (c) do you vote on matters where you minterest? yes no If yes, please explain.	1 (1) 1
N/A	
² Farnily member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepsiblings, children, stepsiblings, children, stepsiblings, children, stepsiblings, stepsiblings, children, stepsiblings, children, stepsiblings, stepsiblings, child	tions, title 17

Governing Board Member

Page 2 of 4

	☐ Vendor Advisory on Board ☐ Executive Director ☐ Employee/Other
menerally betteric you of a faililly i	when performing your job duties with the regional center have the potential to member ⁴ ? [Note: Governing board members do not have to answer "yes" to this uld be available to regional center consumers or their families generally].
center: 2 yes 2 no - it yes, pre	r, making, ⁵ executing or approving contracts on behalf of the regional ase explain. f Directors pursuant to Welfare & Institutions Code section 4625.5 and related
8. Do you have a financial interest in an make, execute or approve the contra	y contract ⁶ with the regional center?
 Do any of your family members have a If yes, did you negotiate, make, execut If yes, please explain. 	financial interest in any contract with the regional center? ☐ yes ☐ no e or approve the contract on behalf of the regional center? ☐ yes ☐ no

☑ Governing Board Member

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in

For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

				☐ Vendor Advisory on Board ☐ Executive Director ☐ Employee/Other
 Do you evaluate emp yes no If yes, 	loyment applications or contra please explain.	ct bids that are submitt	ed by your famil	y member(s)?
the seattly chicanistance	you to act in the best interest s or other financial interests n the regional center or its consi	or aiready discussed ab	ove that would a	
B. ATTESTATION	Marie Committee of the	TO A STATE OF THE		
Suzette Serrano	(print nam	e) HEREBY CONFIRM	that I have	- Company (Company) (Compa
any information that might center's Conflict of Interest F individual immediately. I un	increast roncy and that my re- ine, and correct to the best of r indicate that this statement is Policy or the applicable conflict derstand that knowingly prove to a civil penalty in an amount up	sponses to the question my information and be not accurate or that it of interest laws, I will indicate false information	ns in this Conflict lief. I agree that I have not compli- notify the regions	of Interest Reporting if I become aware of lied with the regional al center's designated
Signature <u>MART</u>	te de har	. Da	ote 05/17/2018	
INTERNAL USE ONLY		in the second		
	as received by Reviewer:			
The reporting individu Signature of Designate		have a 🔾 present 🔾	potential conflict	of interest
Signature of Designate			Date Review Co	•
6		THE STREET WASHINGTON	- /27//8	

☑ Governing Board Member

May 17, 2018

Board of Directors and Laura Larson, Executive Director Far Northern Regional Center P.O. Box 492418 Redding, CA 96049-2418

Re:

Proposed Conflict of Interest Resolution Plan – Board of Directors Member Suzette Serrano

TO THE BOARD OF DIRECTORS AND EXECUTIVE DIRECTOR OF FAR NORTHERN REGIONAL CENTER:

I am a member of Far Northern Regional Center's Board of Directors, a consumer Member-at-Large representative.

I have completed my annual Conflict of Interest Reporting Statement for 2018-19, disclosing a potential conflict of interest I may have as a member of the Board of Directors. The purpose of this letter is to propose a plan to resolve the potential conflict, and to ask for approval of a conflict waiver.

I am currently employed by ARC of Butte County, a FNRC employment provider, and work approximately six hours per week. I am, also, employed by We Care a Lot Foundation as a life guide. My hours vary, but I am, usually, there four times per week..

Welfare and Institutions Code section 4626(c) provides: "A person with a developmental disability who receives employment services through a regional center provider shall not be precluded from serving on the governing board of a regional center based solely upon receipt of these employment services."

Aside from my employment with ARC of Butte County and We Care A Lot Foundation, I do not have any other involvement that would present a possible conflict.

However, under the circumstances, there may be situations when a conflict of interest could come up. As a result, I have prepared a plan to avoid any actual conflict:

- 1. As a member of FNRC's Board of Directors I will not make any recommendation, participate in any discussion or vote on any contract regarding ARC of Butte County or We Care A Lot Foundation.
- 2. Should these issues arise during the course of a FNRC Board of Directors' meeting, I will excuse myself from the room;

Proposed Conflict of Interest Resolution Plan – Suzette Serrano May 17, 2018 Page 2

Sylthe Servar 5/18/18

- 3. I will not access any files kept by FNRC relating to ARC of Butte County or We Care A Lot Foundation;
- 4. I will not participate individually, or as part of a group, in the preparation, presentation, formulation or approval of plans, policies, analyses, or recommendations pertaining to ARC of Butte County or We Care A Lot Foundation.

I believe that this plan will resolve or mitigate any potential conflict of interest.

Thank you for your assistance,

SUZETTE SERRANO Member, FNRC Board of Directors



Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives.

May 18, 2018

Allan Smith Regional Center Operations Section Department of Developmental Services 1600 Ninth Street, Room 320 (MS 3-9) Sacramento, CA 95814

Re:

Request for Waiver of Potential Conflict of Interest – FNCC Board Member Suzette Serrano

Dear Mr. Smith:

FNCC Board member Suzette Serrano has disclosed a potential conflict of interest under California Code of Regulations, Title 7, sections 54500, et seq. He is requesting a conflict waiver.

Ms. Serrano serves on the Board of Directors as a consumer representative as a Member-at-Large. In her annual Conflict of Interest Reporting Statement for 2018-19, she disclosed that she works for We Care A Lot Foundation four times per week, with varying hours. Ms. Serrano also is employed by ARC of Butte County, a FNRC employment provider, and works approximately six hours per week.

Under Welfare and Institutions Code section 4626(c): "A person with a developmental disability who receives employment services through a regional center provider shall not be precluded from serving on the governing board of a regional center based solely upon receipt of these employment services." Aside from her employment with We Care A Lot Foundation and ARC of Butte County, Ms. Serrano has not disclosed any other potential conflict.

As a FNCC Board member, Suzette Serrano has not had the opportunity or authority to approve any contract with We Care A Lot Foundation or ARC of Butte County. However, in light of the potential conflict of interest raised by her employment, Ms. Serrano has prepared a plan to avoid or mitigate an actual conflict of interest. (See proposed conflict resolution plan, attached).

The Board Chairperson, with support from the remaining Board members, will be responsible for ensuring that the conditions stated in the plan are applied.

www.farnorthernrc.org

Allan Smith May 18, 2018 Page 2

This letter, with its attachments, serves as a Request for Waiver as prescribed by California Code of Regulations, Title 7, section 54533.

Please contact Executive Director Laura Larson if you have any questions concerning this matter.

Thank you for your assistance.

Sincerely,

LAURA L. LARSON

Executive Director

RONDA DEVER

Chairperson, FNCC Board of Directors

Encls: Conflict of Interest Reporting Statement - Suzette Serrano

Proposed Conflict of Interest Resolution Plan - Suzette Serrano

cc: State Council on Developmental Disabilities

FNCC Executive Committee

Suzette Serrano

COUNCIL AGENDA ITEM DETAIL SHEET

ISSUE: Regional Center Conflict of Interest (COI)

SUMMARY: Amendments to the Lanterman Act made by AB 1595 resulted in redefining the Area Boards into Regional Advisory Committees, changing their appointment authority and scope. Under its current process, the State Council on Developmental Disabilities (Council) is presented with a recommendation on the below conflict of interest resolution plan.

BACKGROUND: The Lanterman Act requires regional centers to submit conflict of interest statements and proposed resolution plans to the Department of Developmental Services (DDS) and the Council. (W&I Code 4626(I).) Under the Act, as amended by AB 1595, the Director of DDS may waive conflict of interest requirements of a contracting agency (i.e., a regional center) with approval of the State Council. (See W&I Code 4628.)

The Council is being presented with information reviewed under the existing SCDD criteria and process approved by the Council. This approach provides for staff analysis of regional center conflict of interest issues.

FACTS - IRC Board Member Sheela Stark: Sheela Stark is a member of the Inland Regional Center (IRC) board. Ms. Stark's adult sister works for In-Roads Creative Home Programs, Inc. (In-Roads), an IRC vendor. Her sister is the preferred provider for their brother who is a regional center client and provides services only to their brother.

IRC has a public member recruitment and appointment process which is subject to public review and engagement. The regional center recruits prospective board members by advertising within the agency, with their community partners, and using public forums (i.e. newspaper, and mass mailings). In addition, the regional center has an ad hoc nominations committee to address future board needs. Final appointment decisions are made by the membership committee of the Board of Directors.

Ms. Stark previously received approved waivers in 2016 and 2017.

Conflict of Interest Sheela Stark Page 2

DISCUSSION:

<u>Conflict of Interest</u>: DDS Regulation 54520 provides, in part, that a conflict of interest exists when the board member, or a family member of a regional center board member, holds any of the below positions for a provider:

- (1) a governing board member;
- (2) a board committee member;
- (3) a director:
- (4) an officer;
- (5) an owner;
- (6) a partner;
- (7) a shareholder;
- (8) a trustee;
- (9) an agent;
- (10) an employee;
- (11) a contractor;
- (12) a consultant;
- (13) a person who holds any position of management; or
- (14) a person who has decision or policy making authority.

(See 17 CCR 54520(a).)

With regard to Ms. Stark's sister's employment with In-Roads, Ms. Stark has a conflict of interest. (See Regulation 54520(a)(10).) She therefore submits the below Conflict of Interest Resolution Plan. Ms. Stark shall:

- Fully disclose the existence and nature of the conflicting financial interest to the IRC board and have it noted in the official board records;
- Not interact with In-Roads, or with those who do interact with In-Roads, on any matter regarding In-Roads' business matters;
- Not participate in any discussions of and abstain from voting on any matter pertaining to In-Roads or any matter which could impact In-Roads' services;

Conflict of Interest Sheela Stark Page 3

- Not use her position as a board member of IRC to exert influence on decision-making regarding In-Roads;
- Not participate in the preparation, presentation, formulation or approval of reports, plans, policies, analyses, opinions, or recommendations regarding her conflict of interest when the exercise of judgment is required and the purpose is to influence the decision; and
- Not be involved in the negotiation, obligation or commitment of IRC to a course of action involving his/her conflict of interest.

The staff recommendation is to approve Ms. Stark's waiver request, provided the IRC board president and members will be responsible for ensuring that the plan and its safeguards are applied and monitored, since IRC engages in a public board member recruitment process and the proposed plan mitigates the opportunity for a resulting conflict of interest.

COUNCIL STRATEGIC PLAN OBJECTIVE: None

PRIOR COUNCIL ACTIVITY: The Council previously approved waiver requests from Ms. Stark in 2015 (effective January 2016) and 2017.

STAFF RECOMMENDATION: The staff recommendation is to approve Ms. Stark's waiver request provided the IRC board president and members will be responsible for ensuring that the plan and its safeguards are applied and monitored.

ATTACHMENT: Stark COI reporting statement and resolution plan.

PREPARED BY: Legal Counsel Natalie Bocanegra, July 17, 2018.



Enhancing Lives

P. O. Box 19037, San Bernardino, CA 92423

Telephone: (909) 890-3000 Fax: (909) 890-3001

June 20, 2018

Brian Winfield, Deputy Director
California Department of Developmental Services
Regional Center Operations Section
1600 9th Street, Room 340, MS 3-12
Sacramento, CA 95814



Dear Mr. Winfield,

The Board of Trustees of Inland Regional Center, Inc. is requesting a Conflict of Interest waiver renewal for Sheela Stark.

Conflict of Interest: Ms. Stark's adult sister, Janet Ahmadzai works for In-Roads Creative Home Programs, Inc., a vendor of IRC. Ms. Ahmadzai is the preferred provider for their brother Shaw Ahmadzai, a regional center client.

The following safeguards will remain in place:

- Ms. Stark shall fully disclose the existence and nature of the conflicting financial interest to the IRC board and have it noted in the official board records;
- Ms. Stark shall not interact with In-Roads, or with those who do interact with In-Roads, on any matter regarding In-Roads' business matters;
- 3. Ms. Stark shall not participate in any discussions of and abstain from voting on any matter pertaining to In-Roads or any matter which could impact In-Roads' services;
- 4. Ms. Stark shall not use her position as a board member of IRC to exert influence on decision-making regarding In-Roads;
- Ms. Stark shall not participate in the preparation, presentation, formulation or approval of reports, plans policies, analyses, opinions or recommendations regarding her conflict of interest when the exercise of judgement is required and the purpose is to influence the decision;
- Ms. Stark shall not be involved in the negotiation, obligation or commitment of IRC to a course of action involving his/her conflict of interst; and,

Enclosure C

State of California-Health and Human Services Agency

Department of Developmental Services

CONFLICT OF INTEREST REPORTING STATEMENT DS 6016 (Rev. 08/2013)



The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

Name: Sheela Stark		Regional Center:	Inland Regional Center
Regional Center Position/Title:	S Governing Board U Vendor Advisory Contractor	Member Committee sitting on Board ☐ Agent	☐ Executive Director ☐ Employee ☐ Consultant
Reporting Status: If a change in status, date and	Annual Change of Status circumstance of char	New Appointment (date):	
. Please list your job title and do	escribe your job duties	at the regional center.	

¹ Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

		☐ Vendor Advisory on Board ☐ Executive Director ☐ Employee/Other
6	Do any of the decisions you make when performing your job duties with the regional certinancially benefit you or a family member*? (Note: Governing board members do not hat question if the financial benefit would be available to regional center consumers or their factors are the properties.)	
	I would recuse myself from voting or discussing anything pertaining to in-Roads Creative Programs, Inc. so as to not have any conflict.	
7.	Are you responsible for negotiating, making, executing or approving contracts on behalicenter? X yes I no - if yes, please explain.	f of the regional
	Board Members approve contracts over \$250,000.	
8.	Do you have a financial interest in any contract ⁵ with the regional center?	if yes, did you negotiate, if yes, please explain.
	Do any of your family members have a financial interest in any contract with the regional centifyes, did you negotiate, make, execute or approve the contract on behalf of the regional centifyes, please explain. As previously stated, my sister works in a limited capacity for an IRC vendor. I will a discussions and contracts regarding inRoads Creative Programs, Inc.	ter? Dyes Mino

Governing Board Member

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

California Code of Regulations, title 17, sections 54523(b)(2) and 64528(b)(2) describes the types of conduct which constitute involvement in

the making of a contract.

For purposes of questions 5 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount.

California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

INLAND REGIONAL CENTER

CONFLICT OF INTEREST RESOLUTION PLAN

	Shoota Stark
	Sheela Stark Board member for the Inland Counties
leclare 1	Center Board of Trustees. AsBoard Chair(job title) I hereby
ieciare i	inat:
1.	I have read and I understand the Conflict of Interest Standards Statement for Regional
	Center Board members.
11.	I have reviewed my current situation in relation to the Conflict of Interest Standards
	Statement for Regional Center Board member and have determined the following (Place a check mark next to the applicable lettered subparagraph below.)
	a I have a conflict of interest, which currently exists.
	bxI have a potential conflict of interest based upon circumstances reasonably
	expected to occur in the future which may result in a conflict of interest.
III.	Please describe the complete nature of the conflict of interest or potential conflict of
	interest: My sister, Janet Ahmadzai works for In-Roads Creative Home Programs,
	Inc., a respite agency vendored with IRC. My sister is the preferred provider for our brother
	who happens to be a client of IRC.
IV.	You may request a waiver of the conflict of interest or potential conflict of interest. If you
	do not request a waiver of your request for a waiver is denied, your employment with the
	regional center cannot continue unless the conflict of interest or potential conflict of
	interest is eliminated.

BD 22 (05/16/13)

INTERNAL USE ONLY	
The reporting individual: does does not	t have \square present $igate{igathing{1}}$ potential conflict of Inte
Signature of Executive Director:	Date Review Completed
The reporting individual: Obes Obes obes not	have present potential conflict of Inte
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Signature of Board President:	Date Review Completed

BD 22 (05/16/13)

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SELECTION OF NOMINATING COMMITTEE

COUNCIL AGENDA ITEM DETAIL SHEET

ISSUE: Selecting the Nominating Committee.

BACKGROUND: The California State Council on Developmental Disabilities (Council) bylaws state that election of its officers shall occur once every two years. The Nominating Committee is part of that process.

ANALYSIS/DISCUSSION:

Council Bylaws Article IX, Section 3 state that the nominating committee shall provide advice to the Council relative to the bi-annual election of Council officers. The Committee shall:

- (a) Be composed of at least three (3) and not more than five (5) Council Members.
- (b) Be elected by the Council at the September Council meeting from a slate of nominations presented by the Executive Committee.
- (c) Serve for one year. Be elected at least forty-five (45) days prior to the annual election.

On May 31, 2018, SCDD Council bylaws were amended as follows:

ARTICLE VII. OFFICERS SECTION 5. Vacancies:

- (a) If the Chairperson resigns or is permanently unable to serve during the term of office pursuant to Article V Section 4, the Vice-Chairperson shall become the Chairperson for the remainder of such term. Upon the vacancy of the Vice-Chairperson's term of office or if the Vice-Chairperson resigns or is permanently unable to serve during the term of office, the Chairperson shall appoint an interim Vice-Chairperson to serve until an election is conducted. The Chairperson shall also appoint a nominating committee of at least three (3) but not more than five (5) Council members that will provide a slate of nominations for the election of Vice-Chairperson during the next appropriate Council meeting.
- (b) If both the Chairperson and the Vice-Chairperson at the same time resign or are otherwise permanently unable to serve in their respective offices:

- (1) The Council shall take a vote to appoint by a simple majority vote an interim Chairperson and an interim Vice-Chairperson from among the self and family advocate members pursuant to Welfare and Institutions Code Section 4535(b) at the next Council meeting.
 - [a] The interim Chairperson and interim Vice-Chairperson shall serve as the officers of the Council until the voting procedure established in Article VII, Section 3 can be carried out to elect a permanent Chairperson and permanent Vice-Chairperson.
- (2) The Council shall direct that the voting procedure established in Article VII, Section 3 and Article IX, Section 3 be carried out immediately and according to an appropriate timeline, which may be different from what is specified in those sections.
- (2) The Council shall resume the voting procedure and timeline outlined in Article VII, Section 3 and Article IX, Section 3 after the permanent Chairperson and permanent Vice-Chairperson are elected under this Subsection (b).
- (c) The voting procedure established in Article VII, Section 3 shall be used for the election process of a permanent Chairperson and/or permanent Vice-Chairperson.

ARTICLE VII. OFFICERS SECTION 4. Term of Office:

- (a) The Chairperson and Vice-Chairperson shall be elected for a term of two years. Individuals may be elected to these positions for no more than two consecutive terms. Their term of office shall begin the first day of the new calendar year.
- (b) The term of office of each permanent Chairperson and permanent Vice-Chairperson who is elected under Article VII, Section 5, Subsection (b) due to vacancies shall run from the date the permanent Chairperson or permanent Vice-Chairperson is elected due to the vacancies until December 31, 2018. The next permanent Chairperson or Vice-Chairperson assumes office in January of the next calendar year under the voting procedure and timeline described in Article VII, Section 3 and Article IX, Section 3.

On June 19, 2018, the Executive Committee developed a slate of nominees for the Council's consideration. Those nominees are, in alphabetical order:

- Sandra Aldana, self-advocate representative
- Stephen Bell, family advocate representative
- Jeana Eriksen, self-advocate representative
- Robin Hansen, federal partner representative
- Janelle Lewis, non-profit representative

A recommendation was made for Councilmember Lewis to serve as Chair of the Nominating Committee.

Once selected, the Nominating Committee will meet to develop a slate of nominees for Chair and Vice Chair of the Council for the remaining of 2018, beginning in September 2018. In addition, the Nominating Committee will meet to develop a slate of nominees for Chair and Vice Chair of the Council for two-year terms beginning in January 2019.

COUNCIL STRATEGIC PLAN GOAL/OBJECTIVE: None

PRIOR COUNCIL ACTIVITY: There has not been prior Council activity on the current officer election cycle.

STAFF RECOMMENDATION: Adopt the recommendation of the Executive Committee to appoint the following individuals to serve on the Nominating Committee: Sandra Aldana, Stephen Bell, Jeana Eriksen, Robin Hansen, and Janelle Lewis; and establish Councilmember Janelle Lewis as the Chair of the Nominating Committee.

ATTACHMENTS(S): None

PREPARED BY: Aaron Carruthers, July 18, 2018

APPROVAL OF NON-SUBSTANTIVE CHANGE OF THE FORMATTING TO THE 2017-18 STATE PLAN



2017-21 State Plan: Proposed Non-substantive change to formatting. (Consolidation of Policy Objectives to align with LPPC)

information and supports to advocate for civil and service rights to achieve self-determination, integration and inclusion in Goal 1: Self-Advocacy - Californians with I/DD and their families reflecting the diversity of the state will have increased all areas of community life

1. The Council will increase knowledge about self-determination and person-centered planning by monitoring, supporting and actively engaging in the implementation of the Self-Determination Program

statewide self-advocacy organization and by supporting self-advocates; b) within cross-disability leadership coalitions; and The Council will promote self-advocates in leadership roles in statewide networks a) through the strengthening of a c) in training other self-advocates to become leaders 9 2

Goal 2: Employment - Californians with I/DD and their families reflecting the diversity of the state will have increased information to obtain competitive, integrated employment 1. The Council will increase and promote culturally competent strategies and resources that facilitate competitive, integrated employment (CIE) of people with I/DD

2. The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase CIE for people with I/DD

- Goal 3: Housing Californians with I/DD and their families reflecting the diversity of the state will have increased access to affordable, accessible, safe, and fully integrated housing that provides choice and flexibility regarding where and with whom they live
 - The Council will work with housing entities to increase the development and/or provision of community housing for -
- The Council will identify and decrease barriers to housing for people with I/DD તં
- The Council, in consultation with its federal partmers, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase housing for people with I/DD

Goal 4: Health and Safety - Californians w/ I/DD and their families reflecting the diversity of the state will have increased information to access health, public safety, and related services that meet their needs and health care choices

- The Council and its federal partners will increase knowledge and awareness for people with I/DD and their families about the availability of and access to health and public safety-related services and supports
 - The Council, its federal partners, and self-advocates will increase information and training to law enforcement, court personnel, health care providers, and/or other care professionals about disability-related health and safety issues 7
- legislative, regulatory, policy, procedure and/or practice changes to increase accessibility to health care and public safety 3. The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of services for people with I/DD and their families

families reflecting the diversity of the state will have increased information, in order to obtain inclusive education services Goal 5: Early Intervention, Education, Transition & Post-Secondary Education - Californians with I/DD and their throughout the lifespan

- The Council and its federal partners will increase knowledge and awareness of developmental milestones and intervention services for families of young children and professionals. 1
- The Council, in consultation with its federal partners and other stakeholders, will increase awareness and knowledge for families and self-advocates about the availability of and access to services which support inclusive education. ri

- The Council, in consultation with its federal partners and in collaboration with educators and stakeholders, will increase individualized transition plans that lead to employment, post-secondary education &/or independent living options & information and technical assistance to prepare and empower students, families and professionals in developing 3
- The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase access to quality education services throughout the lifespan for people with UDD.

Goal 6: Formal & Informal Community Supports - Californians with I/DD and their families reflecting the diversity of the state will have increased information and supports to access community-based services available to the general population

- The Council, in collaboration with our federal DD partners, will reduce service access barriers and decrease the disparity in available information, which describes services and supports that may be purchased throughout California's Regional Center system, by translating and providing that information in Spanish and tracking statewide POS disparity data for Spanish-speaking self-advocates and families. -
- The Council will increase the knowledge and skills of people with L/DD to move from institutional to community settings and to increase their ability to self-advocate. 7
- including (but not limited to) Regional Centers, education, transportation, public benefits, child care, and recreation for The Council will increase outreach, training, and technical assistance to improve the quality of and access to services, people with I/DD and their families. 3
- legislative, regulatory, policy, procedure and/or practice changes to increase access to quality and inclusive communitybased services for people with VDD and their families – including competitive, integrated employment, housing, health The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of and public safety, and education throughout the lifespan.

8

APPROVAL OF INCREASED FUNDING FOR THE 2017-18 BUDGET



State Council on Developmental Disabilities

State Council Budgeted Base Fiscal Year 2017-18 Budget

Categories	B	iginal BSG Approved udget May 2017		evised BSG Budget	THE REAL PROPERTY.	TOTAL	Methodology
	Fe	deral Funds	Fe	ederal Funds			
1. Personal Services:							
Net Salaries & Wages	\$	3,497,568	\$	3,497,568	\$	-	
Temporary Help / Honorarium	\$	66,500	\$	66,500	\$	-	
Staff Benefits	\$	1,735,701	\$	1,735,701	\$		-
Total Personnel Services	\$	5,300,000	\$	5,300,000	\$	_	
2. Operating Expense and Equipment:							
General Expense	\$	62,000	\$	50,000	\$	(12,000)	Line items were increased/decreased based on a
Printing	\$ \$	61,000	\$ \$	45,000 40,000	\$ \$	(36,000)	
Communications Postage	φ \$	76,000 33,000	\$	20,000	Ф \$	(13,000)	
Travel-in-State :	\$	327,000	\$	335,000	\$	8,000	1 min 111
Out-of-State Travel	\$	3,000	\$	20,000	\$	17,000	based on 8 months of actual
Training (Tuition and Registration)	\$	5,000	\$	10,000	\$	5,000	expenditures.
Facilities Operations (Rent)	\$	643,000	\$	583,000	\$	(60,000)	
Utilities	\$	6,000	\$	6,000	\$	_	
Interdepartmental Services:	\$	411,000	\$	411,000	\$	_	
External Contract Services	\$	67,000	\$	75,000	\$	8,000	
Data Processing (Software, licenses, etc.)	\$	19,000	\$	15,000	\$	(4,000)	
Statewide Cost Allocation Plan (SWCAP)	\$	25,000	\$	25,000	\$	-	
Other Items of Expense	\$	5,000	\$	5,000	\$		es
Total Operating Expense and Equipment	\$	1,743,000	\$	1,640,000	\$	(103,000)	
3. Community Grants	\$	260,000	\$	260,000	_\$		e:
4. Total Council Budget (1 + 2 + 3)	\$	7,303,000	\$	7,200,000	\$	(103,000)	
5. Total Basic State Grant Award	\$	6,480,000	\$	7,499,029	\$	1,019,029]
6. Difference Between Council Budget & BSG Award	\$	(823,000)	\$	299,029	\$	1,122,029	
Prior-Year Unexpended BSG Savings	\$	699,112					

^{*}Based on Federal Grant Funds Received

STAFF REPORTS



Deputy Director of Administration Report July 31, 2018

The Deputy Director of Administration exercises broad policy-making authority over the SCDD's administrative functions including: personnel, fiscal, contracts, information technology and customer services to fulfill the strategic goals of the SCDD. Below is a summary of activities since the last Council meeting on May 31, 2018.

Policy

- Continued to worked with the Subcommittee on Policies and Procedures and staff to draft an Administrative Policies and Procedures Manual.
- Presented an update to the Administration Committee on the Administrative
 Policies and Procedures Manual progress and estimated completion date.
- Released the Council's Record Retention Policy in preparation for the Headquarters and Sacramento Regional Office relocation to Natomas on August 6th.

Administrative

- Continued attending weekly meetings with the Department of General Services, Department of Social Services and Lessor in preparation for the Headquarters and Sacramento Regional Office relocation to Natomas on August 6th.
- Provided the Administration Committee with enhanced monthly and year-todate expenditure data and a status report on the implementation of the Council's Structural Deficit Recommendations.
- Attended Financial Information System for California (Fi\$Cal) training sessions with budget and purchasing staff and transitioned with 65 other state departments to Fi\$Cal on July 9, 2018.
- Worked with the Department of Technology to update the Council's website to meet state accessibility standards.

- Presented the Executive Committee with an update on the timeline and scope of the 2017/18 Executive Director performance evaluation.
- Worked with the Executive Director and Budget Officer to incorporate the additional federal funding into the 2017/18 operating budget and obtain approval from the Administration Committee.
- Continued to work collaboratively with the Executive Director, Deputy
 Directors of Policy & Planning and Regional Office Operations, and the
 Personnel Officer to allocate staff and work assignments to the Policy &
 Planning Branch.
- Continued supervision of the Quality Assurance and Clients' Rights
 Advocacy/Volunteer Advocacy Services programs.
- Continued to meet with Department of Social Services information technology managers and staff to provide IT support to Council staff statewide.
- Collaborated with the Executive Director and Deputy Directors on the review and preparation of an application for the Statewide Family Engagement Centers federal grant opportunity offered by the U.S. Department of Education.
- Worked with the Deputy Director of Regional Office Operations and UC Davis on the development of a reimbursement contract for the provision of a twoday developmental disabilities awareness training for Community Care Licensing Bureau License Program Analysts statewide in 2018/2019.

Personnel

- Worked with the Deputy Director of Policy & Public Affairs and Personnel
 Officer on the organizational structure of the Policy and Public Affairs Branch
 and created/revised seven duty statements to support the new
 organizational structure.
- Met with the Deputy Director of Regional Office Operations and individual Regional Managers, as necessary.
- In coordination with Executive Team, addressed on-going training and personnel needs.
- Continued working with the Personnel Officer and Deputy Director of Regional Office Operations to discuss reclassifying all Office Technicians stationed in the Regional Offices to the Program Technician classification or equivalent classification that would provide them with an upward mobility pathway within the organization.

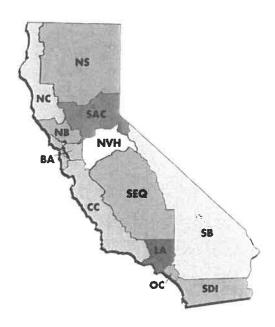


REPORT FROM Vicki L. Smith DEPUTY DIRECTOR, REGIONAL OFFICE OPERATIONS TIME PERIOD: May 01, 2018 to June 30, 2018

The Deputy Director of Regional Office Operations (DDROO) provides leadership and supervision to the twelve (12) SCDD regional offices and staff support to the State Plan Committee.

Regional Office Operations:

- The twelve regional SCDD offices: Managers continue to work on carrying out the activities of the state plan, participated in the scoring panel for Cycle 41 Grants (including the reposting of the RFP for 2 regional offices), and are currently conducting their Portrait of Services Assessments (POSA) with staff and Regional Advisory Committees. The POSA looks at the status of each objective of the state plan as well as barriers to implementation, recommended activities that would lead to successfully implementing each objective and any other information we need to know about that objective from their region. The POSAs are then compiled together to give a broad view of the State of California in terms of the State Plan and to prepare for adjustments to the WorkPlan portion of the State Plan for the coming years.
- We are currently recruiting and interviewing for the following regional office vacancies: North Valley Hills Regional Office for an AGPA/Community Program Specialist II (BSG); San Bernardino Regional Office for an AGPA/Community Program Specialist II (QA), and; San Diego Imperial Regional Office for an Office Technician (BSG/QA).



Highlights of State Plan Activities (full version posted at www.scdd.ca.gov) REGIONAL OFFICE AND HEADQUARTER ACTIVITIES FOR:

REGIONAL OFFICE (RO)	MANAGER
North Coast (NCRO)	Sheli Wright
North State (NSRO)	Sarah May
Sacramento (SACRO)	Sonya Bingaman
North Bay (NBRO)	Lisa Hooks
Bay Area (BARO)	Sheraden Nicholau
North Valley Hills (NVHRO)	Dena Hernandez
Central Coast (CCRO)	David Grady
Sequoia (SEQRO)	Yolanda Cruz
Los Angeles (LARO)	Christofer Arroyo
Orange County (OCRO)	Scarlett VonThenen
San Bernardino (SBRO)	Tamica Foots-Rachal
San Diego/Imperial (SDIRO)	MaryEllen Stives

GOAL #1.1: The Council will increase knowledge about self-determination and person-centered planning by monitoring, supporting and actively engaging in the implementation of the Self-Determination Program.

• SCDD staff provided training on 5/24/2018 for a group of vendors. The primary topic was self-determination. 11 people attended. These were mostly residential providers who are trying to understand the possible impact on their businesses once SD is in effect. SCDD staff emphasized to them that this type of service access will be available as soon as June 11 and that the law will not be able to be dispensed with. (They indicated at the beginning of the meeting that they might try to reverse the law.) They had many questions about facilitation and several expressed interest in upcoming training being planned by SCDD LA for potential facilitators. On the pre/post, on a scale of 1 - 3, the average increase in knowledge was by one half to one point in each sub area. (5/24/2018) (11 Other)

GOAL #1.2: The Council will promote self-advocates in leadership roles in statewide networks a) through the strengthening of a statewide self-advocacy organization and by supporting self-advocates; b) within cross-disability leadership coalitions; and c) in training other self-advocates to become leaders.

• SCDD staff provided a presentation to and led a discussion with the San Mateo Commission on Disabilities covering People First Language, Ableism, Inspo-Porn, and leadership / board service training for self-advocates. See materials attached. After the presentation and discussion, BARO staff answered questions from the Commissioners and county staff in attendance, and agreed to come back to speak with more county staff on these topics. Most commissioners are regional leaders and also self-advocates, and this session was also meant to assist them in the training of others in the community (in the public sector and in the broader community). (5/24/2018) (19 SA/5 Other)

GOAL #2.1: The Council will increase and promote culturally competent strategies and resources that facilitate competitive, integrated employment (CIE) of people with I/DD.

 SCDD staff participated in the 3rd Annual 2018 Disability Summit at Chapman University on May 7, 2018. SCDD was referenced in the publication/program

provided to all attendees (approximately 225) as a community partner and collaborating agency in providing education and training for families, caregivers and professionals in the field focusing on disability, educational policy and inclusive practices at schools. The Thompson Policy Institute presented on three main issues: Benefits of Least Restrictive Environment and Universal Design; Mapping Access to General Education for those with I/DD and Autism; and Research on Connection, Collaboration and Co-Creation among stakeholders designed to identify gaps and barriers in the current educational system. There was encouragement to continue to provide suggestions to and solicit input from one another as stakeholders in the Orange County community. The Thompson Policy Institute will continue to collect data and provide analysis to help stakeholders determine proper and effective areas of focus for further research and policy recommendations. SCDD OCRO will continue to work with the Chapman TPI Associate Director on collaborations and improving LRE in OC schools, including at the upcoming meeting on 5/14/18, as well as participating in the Multi-Agency LRE Collaborative and WeCAN Speaker Series. (5/7/2018) (5 SA/15 FA/200 Other)

GOAL #2.2: The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase CIE for people with I/DD.

• SCDD staff participated and is monitoring the Mendocino and Lake CIE Local Partnership Agreement (LPA) Committee hosted at the County Office of Education in Ukiah. The committee continue to work on the (LPA) supporting the California Blueprint for CIE. The committee members felt that progress had been made and reviewed the revised draft policies of the Mendocino LPA. Once Mendocino's plan is completed the group will move toward creating the Lake, Humboldt & Del Norte LPA. This local partnership agreement (LPA) is a collaboration to foster preparation for, and achievement of, competitive integrated employment with well sequenced support for transition aged youth (16-22 years) with an intellectual or developmental disability, during and following public school enrollment. The collaboration will also promote Employment First options to working age adults with intellectual and developmental disabilities by ensuring seamless transitions, between funding sources, as participants access the discovery process, paid internships, and a full range of competitive integrated employment options. (5/21/2018) (1 FA/6 Others)

GOAL #3.1: The Council will work with housing entities to increase the development and/or provision of community housing for people with I/DD.

• SCDD staff and self-advocate, Tyson Whitman, offered a training on Housing and Living Options in the Community at the 23rd Annual Self-Advocacy Conference in Sacramento. 50 self-advocates 2 family advocates and 8 staff attended. 23 completed Before-After Surveys. "What I know about housing options" increased from 3.26 to 4.61. "What I know about what to do if I want to move" increased from 3.09 to 4.7. Overall satisfaction with the training was 4.7. Comments included "The importance of planning. It is so important to plan to avoid the crisis! Shock of forced situations is horrifying." "They emphasized the important points like creating a plan." "Good Training!" Someone liked "that the training was interactive with the group." "Great overall information as a parent, a lot to learn!" "Very well organized!" Handout to all attendees was "Low-income housing options flyer." (5/5/2018) (50 SA/2 FA/8 Other)

GOAL #3.2: The Council will identify and decrease barriers to housing for people with I/DD.

SCDD staff participated in the Yolo County Office of Education SELPA & WarmLine Transition to Adult Services for Youth with Disabilities Resource Fair. There were over 25 vendors, 30 students and 60 family members and 15 district staff in attendance. Person Centered Planning and Supported Decision Making were breakout sessions offered. SCDD staff provided a resource table with the following handouts: CalABLE, Transition to College, The difference between a HS Diploma and Certificate, The difference between a 504 Plan and an IEP, Person Centered Planning, Things to know about college disability servies, Department of Rehabilitation Student Services and Federal Law vs. State Law. (5/23/2018) (30 SA/60 FA/40 Other)

GOAL #3.3: The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase housing for people with I/DD.

• SCDD staff attended Cupertino City Council Meeting. In collaboration with Housing Choices Coalition and the Cupertino Housing Task Force. Staff testified about the need to include extremely low income housing development in the local housing policy. The number of members of the public in the City Hall meeting was approximately 30. The purpose of attending the meeting and offering testimony was to promote housing for people with developmental disabilities to continue collaboration among housing organizations, and to build disability awareness among policymakers at the local level. Nine members of the City Council heard CCRO staff's testimony. (5/1/2018) (3 SA/6 FA/32 Other)

GOAL #4.1: The Council and its federal partners will increase knowledge and awareness for people with I/DD and their families about the availability of and access to health and public safety-related services and supports.

• SCDD staff assisted in organizing and presenting at the Office of Emergency Services and Health and Human Services Disaster Planning Workshop. The purpose of this workshop is to introduce the elements of disaster preparedness and facilitate the disaster planning process for non-profit, faith-based, tribal and other community-based organizations. The goal was through a combination of presentations, a collaborative exercise and technical assistance participants will increase knowledge and awareness for people with I/DD and their families about the availability of and access to health and public safety-related services and supports for disaster preparedness. NCRO staff presented the DDS Feeling Safe, Being Safe Materials and the In Case of Emergency Cards, 75 booklets were handed out. There were several requests from agencies for NCRO staff to provide future workshops on these materials. A total of 27 distinct agencies or community partners attended. There were 27 surveys completed. (5/15/2018) (2 SA/ 4 FA/36 Other).

GOAL #4.2: The Council, its federal partners, and self-advocates will increase information and training to law enforcement, court personnel, health care providers, and/or other care professionals about disability-related health and safety issues.

 SCDD staff along with RAC chairperson gave a presentation to the Santa Clara Valley Medical Clinic nurses staff meeting about medical care and disability awareness. The presentation included an overview of the definition of developmental disability, communication, behavior biases, as well as best practices in working with men and women who have developmental disability. The RAC member Co-presented with staff and was able to share insider point of view from that of a person with a disability. 28 medical professionals attended the training. Staff used a modified PowerPoint on disability awareness to help facilitate the discussion during the training. Purpose of this training was to improve disability awareness among Healthcare professionals. (5/14/2018) (1 SA/28 Other)

GOAL #4.3: The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase accessibility to health care and public safety services for people with I/DD and their families.

• SCDD participated in the Orange County Health Care Agency Mental Health Board Study Session Meeting on May 8, 2018 in Santa Ana, CA. SCDD Orange County staff shared information regarding those diagnosed with both Autism and Mental Illness who are being denied services from MHSA-funded agencies in spite of receiving and benefitting from services prior to the diagnosis of Autism. The Board discussed the following topics: Mental Health Board Annual Report; Review of public comments from the April meetings including MHSA plan oversight, disparity in ethnic community services and supportive housing options. (5/8/2018) (8 FA/11 Other)

GOAL #5.1: The Council and its federal partners will increase knowledge and awareness of developmental milestones and intervention services for families of young children and professionals.

• SCDD provided training 1-3 of 7 in the Project Leadership series of trainings at the San Bernardino Office. This training was a collaboration between the SBRO and the Early Start Family Resource Network (ESFRN). The purpose of the training is to increase knowledge and awareness of developmental milestones and intervention services for families of young children and professionals. The SBRO and ESFRN will train parents and professionals how to advocate for improvements to the service systems and policies that impact those with intellectual/developmental disabilities and special health care needs. This first series focused on the history of disabilities and their importance, key legislation and policies that impact persons with disabilities

Respectfully Submitted: Vicki L. Smith, Deputy Director, Regional Office Operations

and their families, the disability rights movement and advocacy. The goal is to educate the participants, so that they learn from the past to provide systemic change for the future of people with disabilities and the systems that support them. Project leadership training not only provides local and statewide mentoring after the training series concludes, but also online advocacy support, monthly and annual meetings and opportunities to "Tell their story" to support systems change. Participants also receive incentives that are leveraged through the ESFRN for gas, gift cards and other support items (i.e...notebooks, materials, etc.). The next class in the series will take place on 5/11/2018 in the San Bernardino office. (5/4/2018)(7 FA)

GOAL #5.2: The Council, in consultation with its federal partners and other stakeholders, will increase awareness and knowledge for families and self-advocates about the availability of and access to services which support inclusive education.

• SCDD staff participated in the Statewide Self-Advocacy Conference in Sacramento providing a resource and information table with handouts on: SCDD vision and mission, Person Centered Planning (English and Spanish), Self-Determination (English and Spanish), College Students and Professionals with Disabilities Video Library, In Home Supportive Services (IHSS), Things to Know About College Disability Services, Steps for Easing the Transition to College, Competitive Integrated Employment (CIE) Overview, DDS Paid Internship Program, Department of Rehabilitation (DOR) Student Services: School Fact Sheet, CalABLE, What is influencing changes to the Developmental Disability System in California?, Emergency Go Kits Check List, Emergency Plan Checklist and Voter's Choice Act (for Sacramento Residence only). (5/4/2018) (75 SA/25 FA/25 Other)

GOAL #5.3: The Council, in consultation with its federal partners and in collaboration with educators and stakeholders, will increase information and technical assistance to prepare and empower students, families and professionals in developing individualized transition plans that lead to employment, post-secondary education &/or independent living options & opportunities.

 SCDD conducted outreach at the Supported Employment/Living Interagency Team (SELIT) in San Joaquin County. The SELIT meeting was held on May 3, 2018 at the San Joaquin County Office of Education. The SELIT is a

Respectfully Submitted: Vicki L. Smith, Deputy Director, Regional Office Operations

group of teachers and agencies that discuss option for soon to be graduating or exiting the special education world. VMRC Transition Service Coordinators attend as well. NVHRO shared upcoming activities regarding Transition planning and fairs. Most times - students exiting will attend a typical day program in the area. NVHRO will highlight the need to explore employment options as well as college classes opposed to just "putting" people into day programs. SELIT is held 3 times a year in San Joaquin County. (5/3/2018) (2 FA/35 Other)

GOAL #5.4: The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase access to quality education services throughout the lifespan for people with I/DD.

 SCDD staff participated in and provided technical assistance at a three-and a-half hour meeting of a newly convened group, the Office of Administrative Hearings' (OAH) Special Education Stakeholder Workgroup. The group was created by OAH for the purpose of making recommendations for regulations they will develop in the coming months. LARO staff participated with advocates, attorneys, and family members for students in special education and attorneys for law firms representing school districts in discussions to create regulations that were fair to all who are part of due process, the special education appeals process. Topics included how legal documents are mailed, timelines for when items are due, pre-hearing conferences, consistency in judges for pre-hearing conferences and due process hearings, and how requests for security should be handled. LARO staff provided technical assistance and feedback based on field experience and reports from attorneys, families, and students with developmental disabilities. (5/23/2018) (23 Other) OAH indicated that the recommendations they were provided at this meeting will be considered and regulations will be proposed based upon them.

GOAL #6.1: The Council, in collaboration with our federal DD partners, will reduce service access barriers and decrease the disparity in available information, which describes services and supports that may be purchased throughout California's Regional Center system, by translating and providing that information in Spanish and tracking statewide POS disparity data for Spanish-speaking self-advocates and families.

• SCDD, DRC, UCEDD USC and UCEDD Davis planned a joint meeting with two advisory groups that represent un/under-served communities of people with developmental disabilities to gather input on the formatting style of the plain-language descriptions of services that may be purchased through the CA regional center system. SCDD and DRC met face-to-face with Japanese Speaking Parents Association of Children with Challenges (JSPACC) and Chinese Parents Association for the Disabled (CPAD) to present a draft of the formatting/style of the plain-language descriptions. The group will next meet with a focus-team out of the North through UCDavis to gather their input to be able to finalize the document.

GOAL #6.2: The Council will increase the knowledge and skills of people with I/DD to move from institutional to community settings and to increase their ability to self-advocate.

• SCDD staff provided training to 28 residential staff on the topic of client rights, choices and supporting individuals at home, in the community, at meetings and other self-advocacy events. The training began with an activity that engaged the audience in a conversation about likes and dislikes and shifted to a conversation on how do you know or find out what the people you support like and dislike. Incorporated throughout the training was a discussion on identifying the barriers or things that get in the way of the supporting clients' success at activities. The discussion then presented strategies and solutions to work through those barriers. All attendees received three handouts that provided tools about how to staff support individuals' choices even options that may be harder for clients to reach. (5/30/2018) (28 Other)

GOAL #6.3: The Council will increase outreach, training, and technical assistance to improve the quality of and access to services, including (but not limited to) Regional Centers, education, transportation, public benefits, child care, and recreation for people with I/DD and their families.

 SCDD staff presented and hosted an information booth at the "Wounded Eagle Conference" in Redding, CA. NSRO staff presented at this conference to reach members of under-served populations in our region and shared materials to educate the Native American Indian community regarding resources available. The event was hosted by the Native

Respectfully Submitted: Vicki L. Smith, Deputy Director, Regional Office Operations

American Training & Technical Assistance (NATTA) which is a Native American Consultant Group. The community disparity in the North State is predominately Caucasian followed by Hispanic, Asian, and Native American Indian. Staff used a PowerPoint for the presentation and provided information on the State Council on Developmental Disabilities and handed out several resources at the information booth including the following: "SCDD brochure," "SCDD State Plan," "SCDD Info Handout," "SCDD Legislative Platform," "NSRO Legislators Handout with Links," "Understanding Self-Determination Handout," "SDP One Pager," "NSRO Presentation List," "HCBS Final Rule," "DDS Feeling Safe Being Safe," "SCDD EFC Flyer English," "SCDD EFC Flyer Spanish," "WIOA One Page Doc," "SCDD NSRO RAC Application Flyer," "SCDD Council Application Flyer." and the "Understanding SCDD Power Point Presentation." The following agencies represented: Native American Training and Technical Assistance (NATTA), Far Northern Regional Center (FNRC), State Council on Developmental Disabilities (SCDD), Redding Sports Therapy, Restore Orthotics and Prosthetics, Disability Action Center, Shasta County Health and Human Services, Disability Rights California, Rowell Family Empowerment of Northern California, In Home Health Services (IHHS) SEIU, Spotted Eagle Day Program, California Telephone Access Program. Greenville Rancheria, Redding Rancheria, Shasta Union High School District, Title VI Indian Education, and Win-River Triable Council. (5/8/2018) (5 SA/20 FA/23 Other)

GOAL #6.4: The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase access to quality community-based services for people with I/DD and their families.

SCDD staff met with Assemblymember Marie Waldron's staff, Tom Stinson and Kristy MacDougall. The RAC chair attended this meeting also. During the course of the meeting, SDIRO staff discussed Employment First, CIE, the changes to the DD System in CA, and current legislative bills. Staff also provided Assemblymember Waldron's staff with the SCDD fact sheet and information on the SCDD's QA program. The upcoming Voter Summit in October was also shared with these staff members. Assemblymember Waldron is also the Vice Chair of the Regional Transportation and Interconectivity Solutions, so transportation issues related to CIE were discussed also. (5/25/2018) (1 SA/3 Other)



Clients' Rights Advocate and Volunteer **Advocacy Services**

Developmental Center Closure Update July 31, 2018

Community Transition Numbers 2018

2018	Jan	Feb	Mar	Apr	May	June	TOTAL number of transitions to community for year	July 1 2018 census
Canyon Springs*	0	1	0	0	1	0	1	49
Fairview	3	3	5	2	8	6	27	108
Porterville*	4	3	6	5	2	10	30	259
Sonoma	15	14	13	18	13	21	94	78

^{*}Canyon Springs Community Facility and Porterville Developmental Center Secure Treatment Area are not scheduled for closure. The Porterville Developmental Center General Treatment Area is scheduled to close in 2021. Sonoma DC is scheduled to close by December 31, 2018. Fairview Developmental Center is scheduled to close by 2021.

Developmental Center Closures – Past and Present

Facility Sonoma Developmental Center

December 31, 2018

located in Eldridge

Fairview Developmental Center

December 2021 (unofficially Oct 2019)

Projected Closure Date and Land Use

located in Costa Mesa

December 2021 (General Treatment Area only)

Porterville Developmental Center located in Porterville

No proposed closure date

Canyon Springs Community Facility located in Cathedral City

Lanterman Developmental Center

Agnews Developmental Center

Sierra Vista Community Facility

Camarillo Developmental Center

Closed 2014 (Site is now part of Cal Poly, Pomona) Closed 2009 (Sold to corporations and City of San Jose)

Closed 2009

Closed 1997 (Site is now Cal State Univ, Channel

Islands)

Stockton Developmental Center

Closed 1996 (Site is now part of Cal State, Stanislaus)

SCDD Clients' Rights Advocate (CRA) current observations inside the DC/CF

Canyon Springs	Transition activity is increasing. Seven clients in the process of transition. Two writs of habeas corpus filed this period.
Fairview	First round of FDC staff layoffs to occurred June 30. Inconsistency in implementation in client programs noted as unfamiliar staff float on other units.
Porterville	Placement from general treatment area is steady. PDC closed two units on GTA due to census reduction. Increased anxiety noted for individuals who will be transitioning. Recruitment is underway to fill the CRA position. VAS Coordinator hired Feb 1 2018 is covering CRA duties.
Sonoma	Community transition is at a rapid pace. CRA is advocating forestalling unit closure to prevent clients from moving repeatedly before final move to community. Some ICF units have single digit populations. Skilled nursing program consolidated units soon due to faster than anticipated transition of individuals. Lack of familiar staff proves difficult to deliver meaningful IPP information to community vendors.

SCDD Volunteer Advocacy Services (VAS) community transition current trends

The VAS Project serves approximately 25% of the individuals residing in the DC/CFs. A volunteer advocate or the VAS Project Coordinator provides direct advocacy services for twelve months post placement.

Canyon Springs	VAS serves 42 individuals at CS. VAS Coordinator working with CS social worker on obtaining CA identifications prior to transition. Monitoring continues. VAS noted some community providers are not sufficiently prepared for client admission: short staff or staff not properly trained, lack of work site. VAS collaborating with DDS by communicating observations and requesting IPP meetings. Recruitment activities increased as more clients
	request VAS assistance.
Fairview	VAS Coordinator and advocates attended twenty-two meetings with clients for transition activity. Coordinator and advocates continue to be left off notifications of special incidents of people in the community. Monitoring continues.
	VAS Coordinator hired June 29 2018.
Porterville	Transition activity is steady out of general treatment area. Consolidation of two units occurred in reporting period. VAS Coordinator recruited one advocate. VAS is increasing self-advocacy training options.
Sonoma	Advocates attended 39 transition meetings this period both at DC and in the community. Fifteen VAS consumers transitioned this reporting period. With more SDC staff separating, for many clients VAS advocates retain longest historical knowledge of the client. Lack of consistent behavioral data logging noted as unfamiliar staff float on the campus.

California Department of Public Health (CDPH) and Centers for Medicare & Medicaid Services (CMS)

Canyon Springs	CDPH surveyed CS in August 2017. No conditions of participation
	out pending final findings.
Fairview	CMS settlement agreement extended to December 31 2018
	CDPH surveyed ICF on November 1, 2017. CDPH found no
	conditions of participation out pending final results. Plan of
	corrections submitted on November 11, 2017.
Porterville	CMS settlement agreement extended to December 31 2018
Sonoma	CMS notified DDS of cancellation of settlement agreement with
	SDC on 05/13/2016. ICF units were decertified by CMS as of
	07/01/2016. CDPH surveyed ICF in December 2017. All
	conditions met. Plan of corrections submitted.

Porterville and Fairview Certified Unit Population Projections

The projections below establish the maximum permissible client census eligible for federal funding in the PDC and FDC certified units as of the first calendar day of the listed month. Federal Financial Participation is only permissible for clients on the Client List as of June 27, 2016. No Federal Financial Participation can be sought for the number of clients that exceed the projections below, even if the clients that exceed the census limits below are on the Client List as of June 27, 2016.

Monthly Census	Porterville DC –	Actual ICF	Fairview DC	Actual ICF
Maximum Per	General	Census	ICF	Census
CMS Agreement	Treatment Area			
-	ICF			
July 2016	105	104	136	128
July 2017	82	80	106	91
July 2018	61	57	57	56
July 2019	39		5	
October 2019			0	
July 2020	18			
July 2021	0			

Clients' Rights Advocate / Volunteer Advocacy Services

SCDD AT WORK

SCDD provides comprehensive clients' rights advocacy services advocacy services (VAS) for persons with I/DD who and the state operated community facility through

Project Staff

Connie Wilson, Office Tech

Laurie St. Pierre, CRA

Robbin Puccio, CRA

Holly R. Bins



Census as of July 1, 2018

Sonoma DC 78

Porterville DC 259

Fairview DC 108

Canyon Springs CF 49

Total 494

Project Activity for May and June 2018

Sonoma Developmental Center

SDC placed thirty-four people into the community this reporting period. Loss of seasoned staff impacts ability to cross train community vendors. SDC administration is attempting to slow the consolidation of units to decrease number of moves for clients. VAS and CRA attended 17 IPPs and 43 transition meetings at SDC and 39 transition meetings in the community this period, two HRC/BMC meetings, attended court for one individual, attended Regional Project meeting, reviewed two denial of rights, provided two employee trainings. CRA serves all clients in the STAR unit.

Porterville Developmental Center

VAS volunteer advocates and Coordinator attended 14 transition and 9 IPPs. Acting CRA reviewed 18 denial of rights reviews, 13 escort reviews, 12 human rights/ behavior review meetings, 8 restricted access reviews, 7 postural restraint reviews, 6 self advocacy meetings, conducted 3 PDC employee trainings. SCDD conducted denial of rights training for professional groups.

Fairview Developmental Center

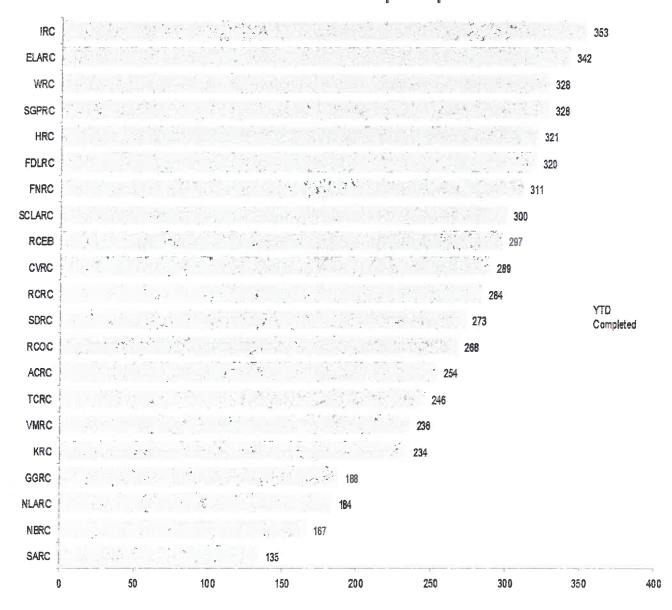
FDC Staff continue to separate due to impending closure. First layoffs occurred June 30. CRA and VAS attended 20 transition mtgs, 15 IPPs or special team meetings; 8 denial of rights meetings, conducted 5 new employee rights trainings; 5 acute crisis meetings; Four human rights/behavior support meetings, conducted. CRA serves all clients in the STAR unit. We welcomed our new VAS Coordinator, Tanzim Arastu, on June 29 2018.

Canyon Springs Community Facility

VAS Coordinator and volunteers attended attended 44 IPP/special team meetings; 10 transition meetings; 2 self advocacy meetings, 4 denial of rights, 2 Human rights, 3 court appearances with clients, 2 People First meetings, 4 CS employee trainings. reviewed 4 denial of rights; 2 human rights/ behavioral meetings, 4 highly restricted reviews, participated in 5 IPP meetings, 3 transition meetings, attended 2 human rights meetings, facilitated 8 self-advocacy meetings. processed 2 writ of habeas corpus, attended 8 Emerging Risk Notification meetings; assisted 7 clients in court communication, provided 4 rights trainings staff.

IN-PERSONSURVEY (IPS)

In-Person Survey (IPS) Year-To-Date Complete April 2018



To view reports of past cycles as well as Regional Center specific reports, go to:

Health and Healthcare Access among Adults with Autism Spectrum Disorder and Intellectual Disability 2015-2016

Policy Data Brief November 2017

Henan Li, PhD
The Lurie Institute for Disability Policy



Key Findings

- Adults with ASD and ID reported poorer general health than the general adult population of the United States.
 - About 29% of adults with ASD and ID reported at least one chronic health condition such as diabetes, hypertension or high cholesterol.
 - More than half of the respondents reported at least one diagnosis of mental illness/psychiatric condition (anxiety disorders, mood disorders, schizophrenia etc.). Among those, three out of five took medication to treat those conditions.
- Most respondents had access to primary care doctors, annual health exams, dental care, and vision care.
 However, access to different types of preventive health screenings were uneven.

To read more go to:

http://lurie.brandeis.edu/pdfs/
FINAL HealthcareAccessASDandIDD LurieDataBrief 2018

Scan QR Code to go directly to



QA Coordinator Regional Center Assignments

Deborah Kindley Support Staff: Theresa Ladao

Alta California Regional Center Far Northern Regional Center North Bay Regional Center Redwood Coast Regional Center

Ron Usac - Angel Wiley Support Staff: Valerie Buell Golden Gate Regional Center Regional Center of the East Bay San Andreas Regional Center

George Lewis
Support Staff: Marigene Tacan-Regan

Central Valley Regional Center Valley Mountain Regional Center Kern Regional Center

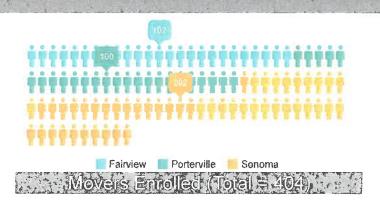
Melody Goodman = Tom Hamlett = Julie Eby-McKenzie = Jenny Villanueva

Support Staff: Dinah Pio de Roda - Marina Bchtikian East Los Angeles Regional Center Frank D. Lanterman Regional Center Harbor Regional Center North Los Angeles Regional Center San Gabriel Pomona Regional Center South Central Los Angeles Regional Center Westside Regional Center

Nancy Dow Support Staff: Katie Bgatov Regional Center of Orange County San Diego Regional Center

Vacant Inland Regional Center Tri-Counties Regional

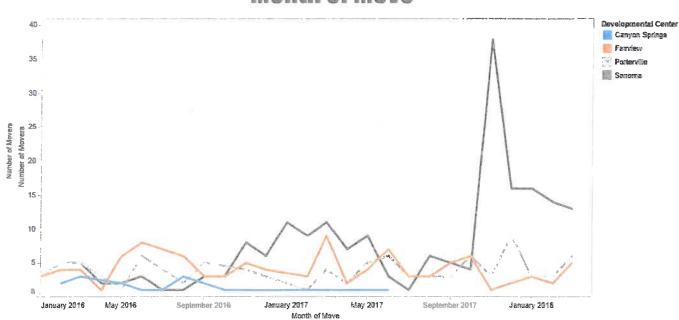




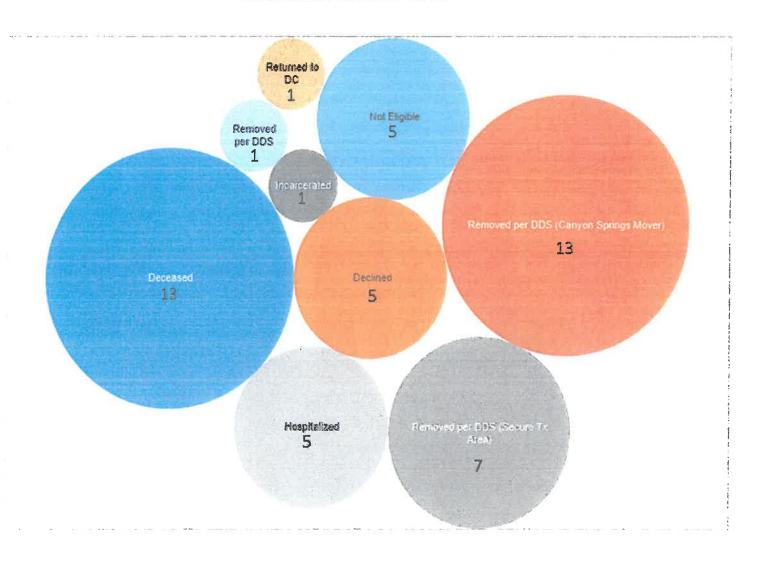


Surveys Completed Over Time

Month of Move

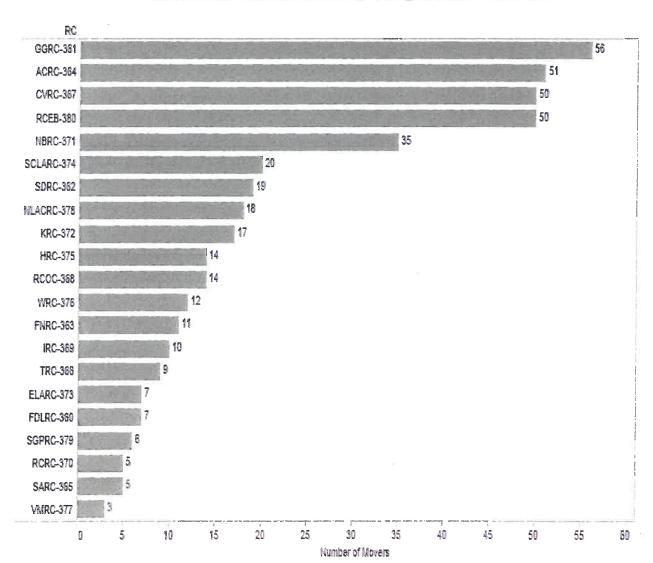


Removed from MLS



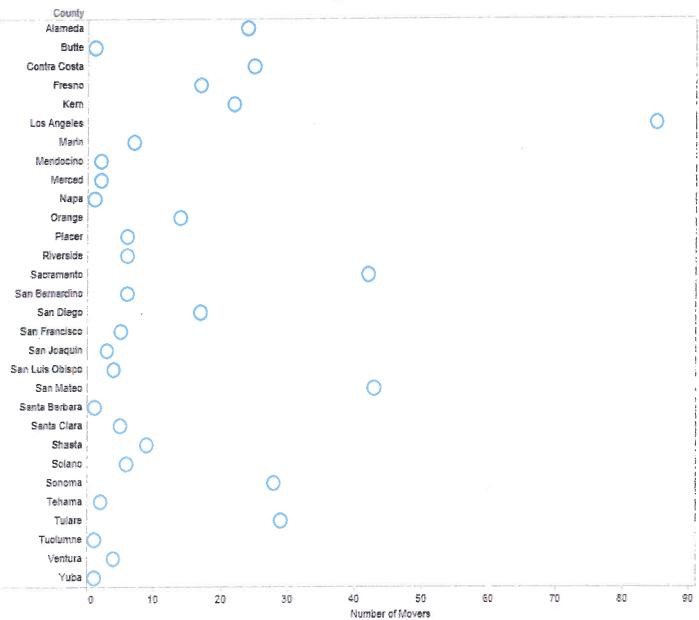
To view reports of past cycles as well as Regional Center specific reports, go to:

Movers Enrolled by Regional Center



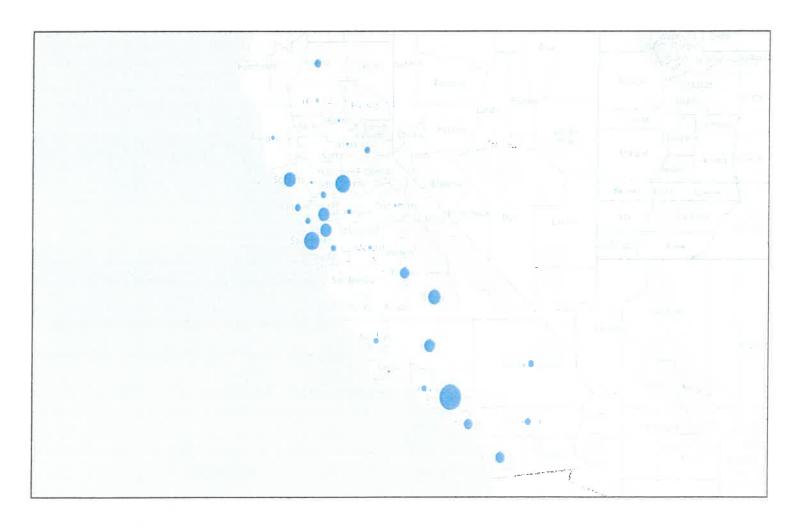
To view reports of past cycles as well as Regional Center specific reports, go to:

Where People Are Moving



To view reports of past cycles as well as Regional Center specific reports, go to:

Map of Where People Are Moving



To view reports of past cycles as well as Regional Center specific reports, go to:

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EMPLOYMENT FIRST ANNUAL REPORT

The State of Employment for Californians with Developmental Disabilities



2017

Introduction

In 2013, the State of California adopted an Employment First Policy that ensures the state's highest priority is to provide Competitive Integrated Employment (CIE) as a real choice for all people with developmental disabilities.

In plain language, CIE has been described as "Real Work for Real Pay in the Real World." The term means working for pay, at minimum wage or above, in the community alongside people with and without disabilities. Work can be full-time, up to 40 hours per week, or part-time with the same level of benefits and opportunities for advancement as other employees.

In 2017, California has seen minimal growth in the employment rate for persons with intellectual and developmental disabilities (I/DD) despite continued economic growth since the recession.

People without disabilities are, as a default, presumed to be employed in CIE. However, people with disabilities are all too frequently still provided employment services and supports that places them in 'jobs' that are actually segregated programs specifically designed for them. People with I/DD are also frequently paid sub-minimum wage as a result of the Fair Labor Standards Act of 1938.

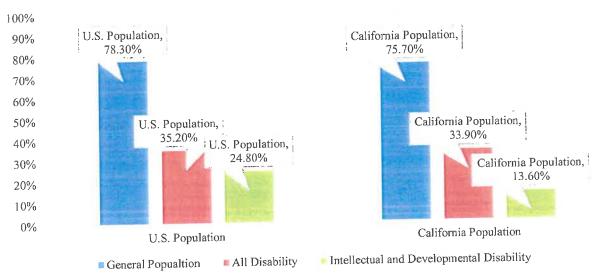
The State Council on Developmental Disabilities (SCDD) Employment First Committee (EFC) identifies best practices and recommends legislative, regulatory, and policy changes for increasing the number of individuals with I/DD in CIE, self-employment, and microenterprises. Overall, the Members of the EFC believe the biggest change to date has been in attitudes. CIE is now the expectation for most people with I/DD, individuals with disabilities, their families, service providers, and other stakeholders. Stakeholders

now want to ensure the services and supports are in place to ensure a successful transition from segregated and/or subminimum wage employment to CIE. However, the essential changes to the service delivery system have yet to yield results. It would be expected that we will begin to see results from recent policy changes in the next few years. The labor force participation remains extremely low.

It is incumbent that the State of California continue to ensure that policy and programmatic barriers to CIE are removed and that the funding is available to implementing true systemic change in California. For people to become employed in or retain CIE, barriers to economic self-sufficiency, accessible and affordable transportation, and housing needs must be addressed. For any Californian, a real job for real pay produced by the job facilitates social and economic empowerment. We must also recognize that many people with disabilities also want careers with potential for promotion and growth, not just jobs. The SCDD EFC respectfully submits the 2017 report.

Employment Data and Trends

Table 1: 2015 Comparison of United States to the California Participation Employment Rates of Working-Age People



Employment rates for the population of California closely mirrors that of the U.S. population (See Table 1¹). The employment rate of people with I/DD across California at 13.6 percent and the United States at 28.4 percent greatly lags behind that of the general population at 75.5 percent and disability community at large at 33.90 percent in California. In 2015, the employment rate for people with I/DD in California was 13.6 percent whereas it was 24.8 percent in the United States

¹California Department of Developmental Services. (n.d.). California Employment Reports. Retrieved May 17, 2018, from https://www.dds.ca.gov/RCOversight/Employment_CA.cfm

Table 2: Number of DDS Clients Receiving Supported Employment Program Services

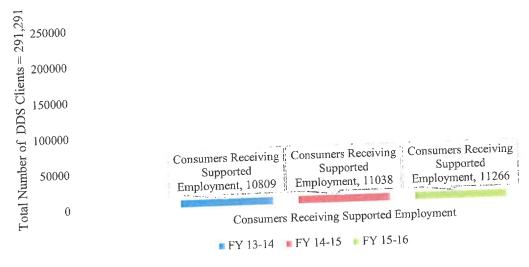
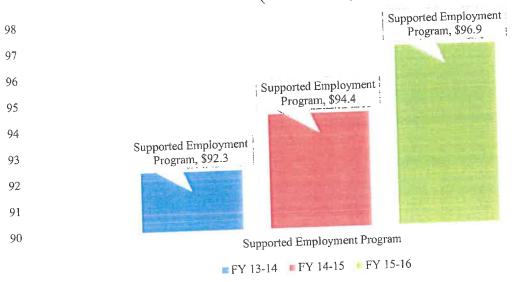


Table 3: Supported Employment Program Expenditures (In Millions)



While the overall employment rate for people with I/DD remains low both within California, and across the United States, the State of California continues to expand the number of Regional Center clients receiving Supported Employment Program services (See Table 2²) and the amount of Supported Employment Program expenditures (See

² California Department of Developmental Services, Information Technology Division. (2017, June). Fact Book Fourteenth Edition. Retrieved May 17, 2018, from https://www.dds.ca.gov/FactsStats/docs/factBook_14th.pdf

Table 33). Supported Employment (SE) services through the Department of Rehabilitation (DOR) and Regional Centers can be provided either through the vocational rehabilitation program or the Habilitation Services Program (HSP).

SE services are aimed at finding competitive work in a community integrated work setting for persons with severe disabilities who need ongoing support services to learn and perform the work. SE placements can be individual placements, or group placements (called enclaves), or work crews, such as landscaping crews. Support is usually provided by a job coach who meets regularly with the individual on the job to help him or her learn the necessary skills and behaviors to work independently. As the individual gains mastery of the job, the support services are gradually phased out. From FY15 to FY16, the California Department of Developmental Services (DDS) spent an additional \$2.5 million in Supported Employment programming. Moreover, the average monthly Regional Center clients' wages have continued to steadily increase over time.

While there is much more work to be done, the EFC remains steadfast in its commitment to support the continuation these positive trends.

Notes on Employment Data:

1) Working age is defined as ages 16-64.

2) Data sources are: The California Employment Development Department (EDD); 2015 Disability Status Report: United States. Ithaca, NY: Cornell University Employment and Disability Institute (EDI).

3) EDD data reflects the average monthly wages and average number of clients reported for the purpose of unemployment insurance. It excludes certain individuals such as contractors.

Policy Changes Impacting Implementation of CIE in California

The ongoing implementation of recent policy changes at the state and federal level are hopefully driving California to realize an improved employment participation rate for people with I/DD that is similar to the employment participation rate of persons without disabilities in the near future.

- In 2013, California adopted an Employment First Policy. The policy states that regardless of severity of the disability, people with I/DD will be given the priority of CIE.
- In 2013, the Governor signed into law a Self-Determination Program (SDP). The program is to be launched in the summer of 2018. It will provide people with I/DD receiving Regional Center services the option to choose their service providers. The SDP is about people with disabilities exerting their sense of agency and

³ California Department of Developmental Services, Information Technology Division. (2017, June). Fact Book Fourteenth Edition. Retrieved May 17, 2018, from https://www.dds.ca.gov/FactsStats/docs/factBook_14th.pdf 4

power of choice in determining their services.

- In 2014, the Centers for Medicaid and Medicare Services (CMS) published a final settings rule for Home and Community Based Services (HCBS). California received initial approval for its plan in 2018. The HCBS rule states that residential and non-residential programs must provide services in the most integrated setting. The rule requires 1) that services be individually tailored through a person-centered planning process; 2) that all settings where services are provided are integrated into the greater community; and, 3) that all states submit a plan for CMS approval detailing how they will transition and monitor services to ensure integration by March 2022.
- In 2014, President Obama signed into law the Workforce Innovation and Opportunity Act (WIOA). WIOA creates a federal presumption of employability. It also focuses on ensuring youth in transition from school to employment or post-secondary education are provided services to retain a position in CIE. It also works to ensure that adults receive counseling about options to become employed in CIE. Additionally, WIOA requires that services be targeted to helping individuals to achieve CIE with wages, benefits, and promotional opportunities comparable to employees without disabilities. WIOA establishes strict requirements that must be met in order for individuals under age 25 to enter the subminimum wage employment and the career exploration services that must be provided to all workers earning subminimum wages. DOR has been making changes to its programs in response to these mandates. Individuals with I/DD often rely on services provided by DOR for initial job matching and support and receive longer-term employment support services from Regional Centers once stabilized in employment.
- In 2014, President Obama signed into law the Achieving a Better Life Experience (ABLE) Act. California is in the process of launching its program, but residents of California can also enroll in other states' programs. ABLE allows people with disabilities under the age of 26 and their families to set up a special savings account for disability-related expenses. Earnings on an ABLE account would not be taxed and account funds would generally not be considered for the Supplemental Security Income (SSI) program, Medicaid, and other federal means-tested benefits. This will remove a disincentive to employment for people with I/DD by allowing these individuals to earn competitive wages without threatening their disability benefits.
- In 2017, the Department of Education (CDE), Department of Developmental Services (DDS), and Department of Rehabilitation (DOR) issued a CIE Blueprint that is providing a roadmap on how best to implement CIE across all three agencies.

The state and federal changes in policies impacting CIE are in the process of being implemented in California. Taken together, the federal and state policy environment is very promising for improving outcomes for Californians with I/DD.

Side Bar: Supporting Local Communities to Implement CIE: Understanding New Federal and State Policies

- SCDD's Central Coast Regional Office collaborated with the Regional Centers in their area to host a CIE/Employment First Conference. At the conference, presenters spoke to individuals, families, transition teachers, and staff from other day and employment programs about best practices to convert services into a CIE/Employment First Model.
- SCDD's Sequoia Regional Office developed an employment collaborative/taskforce with the Regional Center and a local non-profit provider that is focusing on increasing and promoting culturally competent strategies and resources that facilitate CIE of people with I/DD within the Inland Empire.
- SCDD's Orange County Regional Office is a committee member on Chapman University Thompson Policy Institute's Local Partnership Agreement (LPA). This LPA is the first approved in California! The purpose of the Orange County Local Partnership Agreement (OCLPA) is to enhance partnerships that promote preparation for and achievement of CIE for youth/adults, 14 years old and older, with disabilities as well as related "At Risk" populations including individuals with intellectual disabilities and developmental disabilities (ID/DD).
- SCDD's North State Regional Office developed plain language PowerPoint
 presentations about WIOA and ABLE to educate people with I/DD, their families
 and service providers. The Office also created a plain language PowerPoint
 presentation on Understanding Employment First to present to the Regional
 Center Board and staff, self-advocates, service providers, and the community.
- SCDD's San Bernardino Regional Office was recognized for its work hosting the 1st Coachella Valley Employment Summit at the Braille Institute in Rancho Mirage in collaboration with key stakeholders in the community to educate selfadvocates and their families about CIE.
- The San Diego People First Annual Conference included a workshop on CIE for self-advocates. The conference was collaboratively planned by a team made up of self-advocates and representatives from local service providers. The 2017 conference brought in 340 individuals to the event. The workshops that were offered focused on the conference theme "Dare to Dream". While many of the topics included employment as an example of working toward your dreams, one session specifically addressed employment. The workshop on employment was entitled "Your Right to Competitive Integrated Employment" and was given by two presenters from Disability Rights California. The presenters used a Star

Wars themed galactic journey to educate the audience about their rights and the agencies and services that will help them reach their goal of CIE.

Progress in 2017: California Competitive Integrated Employment (CIE): Blueprint for Change

One of the most promising and significant developments in California in 2017 was the California Competitive Integrated Employment (CIE): Blueprint for Change issued in May 2017 ("Blueprint"). The Blueprint is a proactive interagency plan between the CDE, DOR, and DDS to jointly identify ways to increase CIE opportunities for individuals with ID/DD over a five-year period using existing resources. Utilizing person-centered planning, the Blueprint was developed to describe innovation, what is working, and what is possible in creating an individual's pathway to CIE.

The CIE Blueprint outlines the collaborative efforts between the three Departments on a statewide level. To follow the Blueprint progress, in July 2017, the Local Partnership Agreement (LPA) Template was released by the CDE, DOR, and DDS. A LPA will identify how local educational agencies (LEAs), DOR Districts, and Regional Centers will work together to streamline service delivery and engage their communities to help individuals retain or maintain CIE. Each LPA will be built around the core partners of one or more LEA, one or more DOR District, and one or more Regional Center, and can include any number of additional local community partners.

The LPAs will include the following:

- A plan to expand the capacity of the pathways to employment in supporting individuals to achieve CIE, including those in underserved regions and populations.
- Linkages to local and regional resources to include in conversations about transition, service delivery, and accommodations and supports (including assistive technology as appropriate).
- Coordination of mandatory services and documentation for youth and adults related to limitations on subminimum wage employment.

Each LPA will be tailored to meet the needs of the local community and represents the specific activities the core partners have agreed to implement. To date, the only LPA to be approved is the LPA for Orange County.

Side Bar: Pathways to CIE

As agencies work to remove barriers to CIE, it is critical that persons with disabilities and their families are provided information to understand the different paths to CIE.

SCDD's San Diego Imperial Office provided a training on Service Projects.

National service is an excellent pathway to learn skills needed for CIE. The participants were given information on how volunteering on service projects can connect you to your community, build your resume, and develop good work skills and habits. Following the October training, the students in attendance took their new knowledge back to their advocacy groups and a few of the advocacy groups created their own service projects.

- SCDD's Central Coast Regional Office collaborated with Palo Alto Unified School District to support a volunteer Workability student. Staff from SCDD's Central Coast Regional Office have met with the students, and the students have gone on to Project Search Internships at Stanford Hospital. One of the former students was hired on to work for the hospital!
- SCDD's North State Regional Office developed the Butte-Glenn Disability
 Resource Network in collaboration with community providers to promote
 meaningful transition from school to work. A college student who receives
 services designed the logo for the Network for work experience and was inspired
 to pursue employment in graphic design.

EFC Priorities in 2017

The EFC adopted the goals and objectives of the California Employment Consortium for Youth and Young Adults with Intellectual and Developmental Disabilities (CECY). This is a project of national significance funded by the Administration on Intellectual and Developmental Disabilities and awarded to the Tarjan Center at UCLA: This project puts forth recommendations to improve employment for people with I/DD. The CECY goals and objectives are not something that the EFC can achieve alone, but rather the CECY goals and objectives serve as a way to prioritize what needs to happen across systems for Employment First to be fully implemented and achieved systemically.

CECY was a time-limited collaboration of 45 representatives of 25 state agencies, associations, organizations, as well as families and self-advocates with responsibilities for the education, rehabilitation, employment, and support of youth with disabilities. CECY's mission was to stimulate policy change and build capacity in California state systems and local communities to increase the number of youth and young adults with I/DD in CIE.

In 2016, the EFC adopted the following three priorities for its work in 2016-2017:

Passage of Data-Sharing Legislation

DDS data was limited. The department did not have access to employment outcomes by geography, type of disability, ethnicity, race, age or other demographic factors. Without a better understanding of the demographics that lead to successful employment outcomes, California cannot know how well it is doing to implement the Employment First policy. In 2017, Governor Brown signed AB 1696 (Insurance Omnibus: Developmental Services). Included in AB 1696 was SB 433 (Mendoza) which SCDD sponsored. SB 433 required the Employment Development Department to share data

with the DDS regarding the wages of persons with I/DD accessing CIE. This bill is needed to examine trends based on geography, disability type, severity of disability, ethnicity, or age. The data sharing legislation is currently being implemented, and the EFC will provide input into the process of implementation as it moves forward in 2018.

Inform and Train Individuals with I/DD and their Families on the Employment First Policy

Individuals with I/DD and their families need information as soon as possible on about the Employment First Policy, the possibilities for CIE for all individuals, and the services and supports that can help individuals and their families retain or maintain CIE. Having the knowledge about the possibilities for CIE will lead to people asking for the necessary service and supports to get to CIE. SCDD tasked its staff in its Regional Offices this past year to educate and train people in the local communities about the Employment First Policy. Furthermore, SCDD can contribute to this work through expansion of its Employment First Data Dashboard webpages to include stories of success, best practices, employment resources, and training materials. With passage of the data sharing legislation in 2017, SCDD in 2018 will continue to examine options for expanding the Data Dashboard. SCDD's Regional Offices will also continue to take a lead role in educating persons with I/DD, their families, service providers, and other stakeholders about the Employment First Policy and opportunities for CIE.

Convene and Organize a Stakeholder Process on Measurements and Goals It was agreed by the EFC in 2017 that once the data sharing legislation was signed into law, there needed to be a stakeholder process for deciding: (1) The most important measures of employment; (2) determining goals for improvement within those measures over time; and (3) goals, if appropriate, for target populations and geographic areas. Consideration of employment measures could include: income earned, hourly earnings, hours worked, level of integration, type of job, access to employer benefits, duration of employment, advancing on the job, and other employment measures. Since the data legislation was signed in 2018, the EFC continues to work to identify how best to analyze the data once it is transmitted from EDD to DDS for analysis.

CECY's full list of goals and priorities for 2018 and future years include:

EFC Goals and Priorities					
Activity Number Priority					
	Goal 1: Improve Data on CIE				
1	Establish goals, benchmarks, and measurable outcomes for the implementation of the Employment First Policy				
2	Pass Data sharing legislation				
3	Convene and organize a stakeholder process on measurements and goals				
Goal 2: Create Financial Incentives for CIE					
4	Align and incentivize funding for CIE				
5	Incentivize CIE by increasing the rate for Individual Placement in Supported Employment Programs (SEP)				

Go	oal 3: Transition from Segregated Programs to CIE				
6	Phase out sheltered work and subminimum wage				
7	DDS should commit to stop new placements of individuals with I/DD in sheltered work				
8	Establish bridge funding for sheltered work facilities to transition to CIE				
9	Repeal trailer bill language prohibiting Regional Center day services for students 18-22 years old				
10	Address barrier in the trailer bill language prohibiting Regional Center day services for students 16-22 to access work experience and/or internship services.				
Goal 4: Suppo	rt Families and Individuals with I/DD to Better Understand CIE				
11	Raise and align expectations toward CIE				
12	Inform and train individuals with I/DD and their families in the Employment First Policy				
13	Develop model training curriculum for special education students that includes Employment First				
	Goal 5: Improve Benefits Planning				
14	Improve availability of benefits planning information				
15	Develop a tool on benefits planning resources (Accomplished)				
Goal 6: Assure	Goal 6: Assure Qualified Employment Related Services for Persons with I/DD and Families				
16	Increase qualified providers for career pathways				
17	Create pipelines for developing professionals				
18	Created pathways for career advancement				
19	Integrate CIE Priority with broader workforce system				
	Goal 7: Evaluation of Employment First Policy				
20	Fund an evaluation of implementation				
	4.				

Next Steps for Employment First in California

Much of California's efforts to increase CIE in the coming years will result from implementation of the Blueprint. Aside from the Blueprint, the EFC provides a forum for a unique group of state agencies and organizations to explore other mechanisms that can be used to guide the system into fully supporting CIE. This includes making

extensive policy recommendations and working to move them to implementation. The EFC can play a pivotal role in the implementation of the Blueprint and tracking of outcomes, but it also can and should endeavor to advance CIE on parallel fronts. The work of the EFC began long before the Blueprint was created and will continue well past the Blueprint's 5-year implementation timeline. The EFC's quarterly meetings provide an opportunity to review, evaluate and assist in areas related to policies and programs committed to promoting CIE.

The EFC's ongoing work will include serving as a forum for stakeholders, SCDD and relevant Departments to clarify roles and responsibilities related to employment for people with I/DD and to collaborate on practices and policies to further CIE in California. The EFC will continue to identify relevant employment data from different systems to make recommendations for improved use, collection, and dissemination of data that can measure the state's success in supporting the growth of CIE.

Furthermore, more work needs to be done to ensure that employment services are truly person-centered. Far too often, day and employment programs are not trained to identify or to carve out jobs within the community that better match an individual's interests and skills. Many providers indicate that numerous day and segregated employment programs are moving from center-based to community integrated models. However, there remains many other providers that, due to their clientele and program structure, are less prepared to become fully integrated and provide opportunities for CIE.

Whereas other committees and organizations may address a cross disability focus on employment, the EFC provides a special emphasis on people with I/DD. This provides an opportunity to address the unique barriers faced by individuals with complex support needs, and who typically experience the poorest transition and employment outcomes. California is committed to CIE, and the EFC is uniquely positioned to lead the way in advancing CIE in the years ahead.

Appendix 1: EFC Committee Membership for 2017

- Jenny Yang, Chairperson, Self-Advocate at Large
- Shari Presnall, California Department of Education (CDE)
- Denyse Curtright, Department of Developmental Services (DDS)
- Elena Gomez, Department of Rehabilitation (DOR)
- Sarah Isaacs, Disability Rights California (DRC)
- Olivia Raynor, University Centers for Excellence in Developmental Disabilities (UCEDD), Tarjan Center at University of California, Los Angeles
- Steve Ruder, UCEDD, University of California, Davis Medical Investigation of Neurodevelopmental Disorders (M. I. N. D. Institute)
- Barbara Wheeler, UCEDD, University of Southern California

Appendix 2: Responsibilities of the Employment First Committee

(PLAIN LANGUAGE VERSION)

The Lanterman Act tells the State Council on Developmental Disabilities (SCDD) to have an Employment First Committee. This is what the Lanterman Act says about the Employment First Committee (EFC):

Why the EFC was created:

The Lanterman Act created the EFC to help get more people with developmental disabilities jobs in CIE.

What is Competitive Integrated Employment (CIE):

It means good jobs with good pay (minimum wage or above) - jobs at a typical workplace, where people with disabilities work with other people from their community who do not have disabilities. These are jobs where people with disabilities get the same pay and benefits as people without disabilities doing the same work and where they are paid directly by their employer. Having a good job can also include people who make money with their own small businesses or by working for themselves.

Who is a member of the EFC:

The EFC includes representatives from departments of government and other organizations that help people with developmental disabilities get good jobs.

What the EFC has to do:

- 1. Describe how the government departments work with each other to help people get good jobs with good pay.
- 2. Find what works to help people get good jobs with good pay.
- Find good ways to plan for transition aged students (age 14 and above) to go to work after they are finished with high school, or their education beyond high school.
- 4. Find ways to encourage agencies to support people to get good jobs with good pay.
- 5. Develop partnerships with employers and agencies that help people find good jobs with good pay.
- 6. Find out how many people with developmental disabilities are working and how much money they are earning. Each year, measure if the state is getting better at

- supporting people to get good jobs with good pay.
- 7. Recommend ways the state can improve how they measure progress in helping people get employed.
- 8. Recommend goals for CIE for the state to meet.
- 9. Recommend legislation and other ways that the state can do a better job of supporting people to get good jobs with good pay.
- 10. Recommend ways to improve helping transition age students (age 14 and above) go to work after they are finished with high school, or their education beyond high school.
- 11. Recommend an Employment First Policy that will get a lot more people good jobs with good pay, with the supports they need. This will help people with disabilities to be part of their communities, have jobs, and make money, just like other people their age without disabilities. The policy will make sure people can choose the services they want, like they do now under the Lanterman Act. This policy is now California law.
- 12. Other things the EFC thinks will help.

The EFC has to send an annual report to the Legislature and the Governor. The report makes recommendations to the Legislature and the Governor and describes all the work of the Committee. The SCDD approves the report.

Appendix 3: Statutory Responsibilities of the Employment First Committee WELFARE AND INSTITUTIONS CODE – WIC Section 4868

- (a) The State Council on Developmental Disabilities shall form a standing Employment First Committee consisting of the following members:
- (1) One designee of each of the members of the state council specified in subparagraphs (B), (C), (D), (F), and (H) of paragraph (2) of subdivision (b) of Section 4521.
- (2) A member of the consumer advisory committee of the state council.
- (b) In carrying out the requirements of this section, the committee shall meet and consult, as appropriate, with other state and local agencies and organizations, including, but not limited to, the Employment Development Department, the Association of Regional Center Agencies, one or more supported employment provider organizations, an organized labor organization representing service coordination staff, and one or more consumer family member organizations.
- (c) The responsibilities of the committee shall include, but need not be limited to, all of the following:
- (1) Identifying the respective roles and responsibilities of state and local agencies in enhancing integrated and gainful employment opportunities for people with developmental disabilities.
- (2) Identifying strategies, best practices, and incentives for increasing integrated employment and gainful employment opportunities for people with developmental disabilities, including, but not limited to, ways to improve the transition planning process for students 14 years of age or older, and to develop partnerships with, and increase participation by, public and private employers and job developers.
- (3) Identifying existing sources of employment data and recommending goals for, and approaches to measuring progress in, increasing integrated employment and gainful employment of people with developmental disabilities.
- (4) Identifying existing sources of consumer data that can be used to provide demographic information for individuals, including, but not limited to, age, gender, ethnicity, types of disability, and geographic location of consumers, and that can be matched with employment data to identify outcomes and trends of the Employment First Policy.
- (5) Recommending goals for measuring employment participation and outcomes for various consumers within the developmental services system.
- (6) Recommending legislative, regulatory, and policy changes for increasing the number

of individuals with developmental disabilities in integrated employment, selfemployment, and microenterprises, and who earn wages at or above minimum wage, including, but not limited to, recommendations for improving transition planning and services for students with developmental disabilities who are 14 years of age or older.

This shall include, but shall not be limited to, the development of a policy with the intended outcome of significantly increasing the number of individuals with developmental disabilities who engage in integrated employment, self-employment, and microenterprises, and in the number of individuals who earn wages at or above minimum wage. This proposed policy shall be in furtherance of the intent of this division that services and supports be available to enable persons with developmental disabilities to approximate the pattern of everyday living available to people without disabilities of the same age and that support their integration into the mainstream life of the community, and that those services and supports result in more independent, productive, and normal lives for the persons served. The proposed policy shall not limit service and support options otherwise available to consumers, or the rights of consumers, or, where appropriate, parents, legal guardians, or conservators to make choices in their own lives.

- (d) For purposes of this chapter, the following definitions shall apply:
- (1) "Competitive employment" means work in the competitive labor market that is performed on a full-time or part-time basis in an integrated setting and for which an individual is compensated at or above the minimum wage, but not less than the customary wage and level of benefits paid by the employer for the same or similar work performed by individuals who are not disabled.
- (2) "Integrated employment" means "integrated work" as defined in subdivision (o) of Section 4851.
- (3) "Microenterprises" means small businesses owned by individuals with developmental disabilities who have control and responsibility for decision making and overseeing the business, with accompanying business licenses, taxpayer identification numbers other than social security numbers, and separate business bank accounts. Microenterprises may be considered integrated competitive employment.
- (4) "Self-employment" means an employment setting in which an individual works in a chosen occupation, for profit or fee, in his or her own small business, with control and responsibility for decisions affecting the conduct of the business.
- (e) The committee, by July 1, 2011, and annually thereafter, shall provide a report to the appropriate policy committees of the Legislature and to the Governor describing its work and recommendations. The report due by July 1, 2011, shall include the proposed policy described in paragraph (4) of subdivision (c).

(Amended by Stats. 2013, Ch. 677, Sec. 3. Effective January 1, 2014.)

Appendix 4: Definition: Developmental Disability

In California, a developmental disability is defined as occurring before the age of 18 and includes specific categories of eligible conditions: mental retardation, epilepsy, cerebral palsy, autism, and "conditions requiring services similar to those required for persons with mental retardation." California law requires that the individual be substantially handicapped by the disability. In March 2004, new regulations took effect that define substantial disability as significant functional limitations in three or more of the major life activities contained in the federal definition below.

The federal definition of developmental disabilities covers persons whose disability occurs before age 22 and includes a mental or physical impairment or a combination of both. There must be a substantial limitation in three or more of these major life areas: self-care; expressive or receptive language; learning; mobility; capacity for independent living; economic self-sufficiency; or self-direction.

EXECUTIVE DIRECTOR EVALUATION TOOL

COUNCIL MEETING AGENDA ITEM DETAIL SHEET

ISSUE: 2018 EXECUTIVE DIRECTOR EVALUATION TOOL

BACKGROUND: In accordance with the federal Developmental Disabilities Assistance and Bill of Rights Act (Federal DD Act), the California State Council on Developmental Disabilities (Council) is required to evaluate the performance of the Council Executive Director on an annual basis.

To meet this requirement, the Council previously approved an evaluation tool to assess the Council's Executive Director. (See Minutes of March 16, 2011, Council Meeting.) The Council decided to use this tool for each annual Executive Director evaluation through 2017, with some administrative changes and providing the Executive Director with the opportunity to provide additional information on significant accomplishments.

At its April 19, 2018, meeting, the Executive Committee:

- Directed staff to develop a new proposed evaluation tool that aligns with the Executive Director's job duty statement and sample evaluation tools received from ITACC;
- Decided that the new proposed evaluation tool is to be presented to the Executive Committee at the June 2018 meeting;
- Directed staff to identify resources that will allow the Council to complete the ED evaluation by the end of September, with a third party completing the collection and tabulation of the data:
- Decided that the evaluation tool will be presented to and considered by the Council at its July 2018 Council meeting;
- Directed staff to develop a revised timeline that will allow the ED evaluation process to be completed by the September Council meeting.

At the May 2018 Council meeting, staff presented a revised timeline which was approved by the Council.

At its June 2018 meeting, the Executive Committee reviewed materials for the 2018 ED Evaluation prepared by staff at the committee's direction and approved evaluation questions based on Executive Director duties. The committee directed staff to convert the questions into plain language as possible.

Also during June, the SCDD Deputy Director of Administration (DDA) contacted Council's designated state agency, the California Health and Human Services Agency (Agency), regarding the ED evaluation. Based on guidance from ITAAC and at the direction of the Executive Committee, the DDA inquired regarding the possibility of Agency performing the third party collection and tabulation of responses to the evaluation questions.

ANALYSIS/DISCUSSION: The Council is being presented with a proposed 2018 evaluation tool that includes the Council's consideration of: 1) Responses to evaluation questions distributed to Council Members, employees, and the Executive Director (for self-evaluation) and 2) "Recommendations and Priorities Over the Next Year" (Recommendations and Priorities) adopted by the Council as part of the prior year's Executive Director Evaluation.

The Executive Director's self-evaluation provides information about what the Executive Director believes he or she achieved during the year in each evaluation area and under the prior year's Recommendations and Priorities. Prior year recommendations are included in the evaluation to provide for continuity and an effective and meaningful evaluation process.

At the direction of the Executive Committee, evaluation questions have been edited to be in plain language.

Additional Information from the Council Chair: The Council is required to complete the evaluation in a timely manner as the annual evaluation of the Executive Director is required by the DD Act. Under the timeline, Council Members must complete their evaluation by August 24, 2018, in order for their input to be considered. A Council Member who needs assistance in completing the evaluation should let the Council Chair know.

Council Members should accurately answer each question. In answering questions, committee chairs can draw on their experience working on committee assignments with staff. Other members may be able to rely on more general information. If a member does not feel that they have adequate information to answer a question, they should select "Do not have enough information to form an opinion."

The Council's protocol will be maintained. Responses to the evaluation questions will be reviewed by the Executive Committee and can be made available to the Council but will not be shared with the evaluee/Executive Director.

Responses to the evaluation questions are confidential, and anonymity of those submitting responses, whether Council Members or staff, will be maintained.

The above information from the Council Chair will be included in the cover memo distributed along with the evaluation questions.

COUNCIL STATE PLAN OBJECTIVE: 6.4

PRIOR COUNCIL ACTIVITY: Prior approval and use of existing Executive Director Evaluation Tool.

RECOMMENDATION(S): Staff recommends Council consideration and approval of the 2018 Executive Director Evaluation Tool developed through review and recommendation of the Executive Committee.

ATTACHMENTS(S): 2018 Executive Director Evaluation Timeline and 2018 Executive Director Evaluation Tool for approval

PREPARED: Deputy Director of Administration Douglas Sale, Personnel Officer Janet Butts, and Legal Counsel Natalie Bocanegra; July 19, 2018.

2018 EXECUTIVE DIRECTOR EVALUATION TIMELINE

DATE	ACTION
May 2018	 Provide proposed E.D. evaluation timeline to the Council for consideration and approval at the May 31, 2018, Council meeting.
June 2018	 Staff presents E.D. evaluation tool, based on Executive Committee direction, to the Executive Committee for consideration at the June 12, 2018, Executive Committee meeting. Executive Committee selects 2018 E.D. evaluation tool and adopts recommendation to be presented to the full Council for approval.
July 2018	 Executive Committee presents its recommended 2018 E.D. evaluation tool to the full Council for consideration and approval. Council considers and approves the 2018 E.D. evaluation tool at the July 31, 2018 Council meeting.
August 2018	 Designated State Agency - Health & Human Services (DSA) or other neutral third-party designee sets up online evaluation survey based on evaluation tool approved by the Council. DSA or designee distributes the evaluation survey electronically and, if requested, via U.S. mail to Councilmembers, staff, and E.D. E.D. completes self-assessment survey. Council Chair meets with E.D.

	T =
	 regarding self-assessment evaluation. Deadline for completion of evaluation survey by Councilmembers and staff is August 24, 2018. DSA or designee collects and tabulates the survey results and aggregates written comments to prepare report based on responses and self-assessment.
September 2018	 DSA or designee presents report to the Executive Committee for consideration during a closed session at the September 13, 2018, Executive Committee meeting. Executive Committee adopts a recommendation for final draft evaluation to be presented to the full Council. Executive Committee presents its recommendation for a final draft to the full Council during a closed session at the September 18, 2018, meeting. Council considers Executive Committee recommendation and approves final E.D. evaluation at September 18, 2018.



2018 EXECUTIVE DIRECTOR EVALUATION TOOL

For Approval July 2018 Council Meeting

STATE COUNCIL ON DEVELOPMENTAL DISABILITIES 2018 EXECUTIVE DIRECTOR EVALUATION SUMMARY

The Developmental Disabilities Assistance and Bill of Rights Act of 2000 (federal DD act) requires that the Executive Director of the Council be evaluated on an annual basis. The annual evaluation consists of the Council's consideration of: 1) Responses to evaluation questions distributed to Council members, employees, and the Executive Director (for self-evaluation); and 2) Recommendations and Priorities adopted by the Council from the prior year's Executive Director Evaluation.

The process for evaluating the Executive Director is:

- 1. The Chairperson of the Council oversees and manages the Executive Director Evaluation. He/she oversees the distribution of the Evaluation questions to Council members and Council staff.
- 2. Each Council member is required to provide responses to the evaluation questions. Once completed, the form must be returned to the Chairperson or to the third party engaged to collect and compile response data. Staff member responses are voluntary.
- 3. The Executive Director will complete a self-evaluation by providing responses to the evaluation questions and providing a narrative addressing the Recommendations and Priorities from the prior year's evaluation. The Executive Director will discuss her/his self-evaluation with the Chairperson.
- 4. Each Performance Evaluation Form is logged onto a worksheet and summarized by the third party engaged to collect and compile the response data.
- 5. The third party will prepare a summary report of the data with the assistance of the Chairperson.

2018 E.D. Evaluation Tool Page 1

- 6. The Chairperson with the assistance of the third party, if desired, will present the summary report along with evaluation materials, statistical data, the Goals and Priorities from the prior year's evaluation, and all other information to the Executive Committee during a closed session. The Executive Committee will approve a draft 2018 Executive Director Evaluation and recommendation(s) to be considered by the full Council.
- 7. The Executive Director Evaluation will be on the next possible Council Meeting agenda as a closed session item. Executive Committee will present the evaluation and their recommendation(s) to the full Council during the closed session. The Council will approve the final Executive Director Evaluation.
- 8. In open session, the Executive Director and Council then meet to discuss salary/bonus, etc., if applicable.



A1.

State Council on Developmental Disabilities 2018 Executive Director Evaluation Questions July 2017 – July 2018

DIRECTIONS: For each numbered item below, please provide your rating regarding the Executive Director's performance of each task by selecting one of the following:

RATING CATEGORY	MEANING
Exceeds expectation	Performance consistently exceeds standards
Meets expectation	Performance fully meets standards
Needs Improvement	Improvement needed for performance
	to meet standards

You may, but are not required to, provide comments on the Executive Director's performance of each task. If sending your responses in paper form, you may attach additional pages for your comments, if needed.

* PLEASE NOTE YOUR RESPONSES WILL REMAIN ANONYMOUS *

A Council Bupport and Communication

Keeps the Council informed regarding Council responsibilities under

	the Lanterman Act, the Developmental Disabilities Act, and other laws passed by the Legislature and Congress
□ □ □ Com	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion ments:
-	

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A2	 Reports to or requests approval from the Council on regional office activities and needs (for example, staffing, fiscal/budget issues and the State Plan)
Coi	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion mments:
A3.	Notifies the Council of budget, funding, spending, and debt matters and provides the Council with timely and relevant budget and other related reports
Cor	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion mments:
A4.	Highlights budget, funding, spending, and debt issues that may require attention from the Council
□ □ □ Con	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion mments:

A 5	Timely notifies the Council of any pending or emerging issues related to Council programs, policy and administrative matters	
Co	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion emments:	
A6.	Works closely with Council leadership to ensure that Council and Committee meetings are appropriately scheduled, noticed, and planned	
Cor	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion mments:	
A7.	staff structure in a way that is sufficient to carry out the State Plan and Council activities	
	(Staff structure addresses who supervises whom, who is responsible for particular duties and approvals, how agency decisions are made, and how information is shared between levels of staff.)	
□ □ □ Cor	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion nments:	
-		

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B. Policy Development and Implementation

B1.	Develops and carries out policy that positively affects the rights and interests of individuals with intellectual and/or developmental disabilities
Cor	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion mments:
B2.	Provides oversight and direction for development of Council State Plan
Cor	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion nments:
	C. External Relations and Advocacy
C1.	Makes use of policy opportunities (for example with legislation or State Plan goals and objectives) to move the Council's mission and work forward
Con	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion nments:
_	

C2.	Maintains effective relationships with disability groups and other people, organizations and agencies interested in disability issues.
Cor	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion nments:
C3.	•
_	federal, state, and local agencies
	Exceeds expectation Meets expectation
	Needs improvement Do not have enough information to form an opinion nments:
C4.	Maintains effective relationships between the Council and state and federal agencies that provide funding to the Council
	Exceeds expectation
	Meets expectation Needs improvement
	Do not have enough information to form an opinion nments:

2018 E.D. Evaluation Tool Page 7

C5.	Serves as a spokesperson for the Council by taking initiative to contact the media and find ways to raise awareness of the Council's mission and work
	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion ments:
	D. Program Administration and Strategic Planning
D1.	Develops short and long-term strategic goals and identifies what resources are needed (such as staff, funding, training, equipment, etc.) to accomplish those goals.
	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion ments:
D2.	Ensures that Council policies and practices follow the Lanterman Act and federal Developmental Disabilities Act Exceeds expectation
	Meets expectation Needs improvement Do not have enough information to form an opinion ments:
-	2018 E.D. Evaluation Tod

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D3	Makes sure that training on the federal Developmental Disabilities Act requirements and Council member responsibilities is developed and provided to Council members and staff
Col	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion mments:
D4.	Makes sure that orientation/introduction training is provided to staff and orientation/introduction materials: cover program and administrative requirements; and are the same for all staff members working on the same issues or in the same offices;
□ □ □ Cor	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion nments:
:	
D5.	Makes sure that all Council programs follow the laws that apply to the Council because it receives federal money
	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion nments:

D6.	Negotiates and carries out Council contracts according to Council approved plans, goals, activities, and California state government agency rules
Cor	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion nments:
D7.	Manages and receives information about plans and work by headquarters and regional office staff in order to: • Develop a vision (planned and organized idea about the Council's future direction); and • Accomplish the goals of the State Plan
Con	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion ments:
D8.	Uses funds and staff efficiently and effectively toward Council goals, working with staff to balance workload and effort
	Exceeds expectation
	Meets expectation Needs improvement
	Do not have enough information to form an opinion
Com	nments:

E. Fiscal

E1.	Develops, carries out and manages an annual budget that reflects programmatic needs.
Cor	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion nments:
E2.	Makes plans regarding budget, funding, spending, and debt matters for the stability of the Council
Cor	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion nments:
E3.	Develops and maintains effective fiscal internal controls (ways to find, judge, track, and solve any possible budget, funding, spending, or debt problems) to make sure the law is followed
O Con	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion nments:

2018 E.D. Evaluation Tool Page 11

F. Personnel Management

F1.	Develops strategies to attract and retain qualified, skilled employees							
□ □ □ Cor	 Meets expectation Needs improvement 							
_								
F2.	Mentors and builds the skills of Council staff							
 □ Exceeds expectation □ Meets expectation □ Needs improvement □ Do not have enough information to form an opinion Comments: 								
F3.	Encourages all Council staff to work collaboratively toward vision, mission and goals							
Col	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion mments:							

F4.	Demonstrates sensitivity and effective judgment when working with Council staff						
Cor	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion nments:						
F5.	Provides support to supervisors and managers so they can mentor, encourage and motivate other staff						
Con	☐ Meets expectation ☐ Needs improvement						
F6.	Makes sure that staff are trained and supervised effectively in order to carry out the Council's mission and work						
Con	Exceeds expectation Meets expectation Needs improvement Do not have enough information to form an opinion nments:						
-							

FOCUS TOPIC: EMPLOYMENT

2.1 CIE-related issues &/or barriers

North Coast:

- 1. "There should be more public awareness and education about the benefits of hiring people with IDD."
- 2. "We need to know more about careers available and then get skills training so one can actually have an inclusive job with good pay."
- 3. "Provide more job fairs."
- 4. "Kids need to know what opportunities are out there. They have no idea about what skills are needed nor are they getting any training in rural areas for real competitive employment."
- **5.** "We need more job skills training. Also, we need to start talking to employers about the benefits of hiring people with IDD."
- **6.** "Need better paying jobs and more opportunities."
- 7. "Need more career training and opportunities for other kinds of work, not just janitorial, house cleaning, gardening, etc.."
- 8. "Employers need to be made aware of what these people have to offer. I think a lot of it is lack of exposure. Unless you are part of the community, or a parent, you really don't know/understand the amazing things people with special needs are capable of."
- **9.** Provide information to employees and the public about the productive potential of people with disabilities. Dispel disability myths and stigmas which hinder full inclusion of community members who have disabilities.
- 10. Provide Customized Employment training; Meet the skills needed by employers to enhance productivity and competiveness, help business identify skills needed for individual job preparation and the value of job coaching and training and technical assistance to employers and community groups on implementation of the ADA, work place accommodations and assistive technology.
- 11. Continue to provide counseling to individuals and families that have fear of losing Entitlements/Benefits if working: SSI, Medi-Cal/Medicare, information in Spanish
- **12.** Need College Certificate Courses leading to increased economic self-sufficiency; such as Life Skills Program Certificate- Practical Living and Employment Skills.

13. Continue to publicly recognize significant efforts by employers and others to employ people with disabilities.

North State

- **14.** "More job coaches are needed to find what jobs are out there for people with disabilities."
- 15. "Educate consumers in the community and increase the public's knowledge of benefits of hiring people with I/DD.
- 16. "If we don't prepare the community they will reject us."
- 17. "There are not very many jobs that are out there support people with I/DD."
- 18. "Jobs are limited in this community."
- 19. "More training about how to support people with disabilities"
- 20. "Educating employers about disabilities and the positive experience with hiring people with disabilities."
- 21. Transportation
- 22. Benefits Protection
- 23. Education/Outreach
- 24. Employer Education
- 25. Prepare Youth for Adult Opportunities

Sacramento

- 26. Transportation problems
- 27. Lack of job training
- 28. Youth in transition seeking employment
- 29. A person's disability
- 30. HCBS rules
- 31. Wages
- 32. Lack of jobs
- 33. The ability to job carve

North Bay

- 34. Transportation problems
- 35. Lack of job training
- 36. Youth in transition seeking employment
- 37. A person's disability
- 38. HCBS rules
- 39. Wages
- 40. Lack of jobs
- 41. The ability to job carve

Bay Area

- 42. This region has a need for all types of work.
- **43.** There are greater needs and progress to be made within non-English speaking communities, such as some Asian-language communities.
- **44.** Many people experience a lack of capacity to provide CIE services for those with more complicated or involved disabilities, e.g. "We can't do anything for you".
- 45. There are funding restrictions limiting successful CIE.
- 46. There is a need for more benefits counseling and education.
- 47. Cost of Living in the area is prohibitive of new/increase service providers starting up to support CIE
- **48.** LPAs have yet to get rolling in this region (or statewide for the most part)
- **49.** This region is working on a more effective and streamlined working relationship between districts, DOR and Regional Centers for increased outcomes around employment
- 50. More benefits counseling is needed for people with I/DD and families

North Valley Hills

51. A need to develop a partnership with the Employment Development Boards in 5 counties in collaboration with the VMRC Employment Specialist, Dept of Rehab and the Independent Living Center.

Seguoia

- 52. Information on CIE needs to be available throughout the county
- 53. Information on how social security benefits will be impacted.
- 54. Trainings in plain language on how wages impact all benefits.
- 55. Provide more information on paid-internship
- **56.** Department of Rehabilitation needs to start doing more trainings in the community

Central Coast

- **57.** Direct Service Workforce not strongly trained in person centered thinking.
- **58.** Day and Employment models serving clientele requiring higher degrees of support are not prepared or have the program design to adjust polices to greater and fuller community inclusion.
- **59.** Resources not available to address the one to one needs associated with assessment, placement, training, and career identification and advancement as well as non-traditional, non-employee / employer work opportunities.
- **60.** Lack of buy-in from the employer community, particularly the role contract managers and human resource personnel play in promoting hiring people with disabilities.
- 61. Poor vocational training and preparation at the post-secondary level.

Los Angeles

Orange

- **62.** There are also a lot of vocal parent advocates who believe in CIE, but not when it is at a cost of a reduced number of hours worked leaving the RCOC, and/or the parent, to fill in the gap.
- **63.** While RCOC has been supplementing CIE hours with a community based day program, volunteer opportunity, or otherwise (after advocacy on SCDD OCRO part), some parents have reported it is still a struggle to get RCOC to fund two employment-related programs.

San Bernardino

- 64. Department of Rehabilitation needs to be more present for the region.
- **65.** The information provided by Social Security is not in plain language and is hard to understand.
- **66.** There is a need for more trainings in plain language on how wages affect benefits, and the potential loss of public services
- **67.** Increase paid internship program opportunities need to be developed for the Inyo/Mono county areas.
- **68.** There is a need for the Department of Rehabilitation to increase their presence and work with local vendors to develop opportunities in collaboration with Regional Center.
- **69.** Social Security should give more training regarding how they reach calculations of benefits
- **70.** Increase paid internship program opportunities throughout the county, and advertise these opportunities to families.
- **71.** "I have received input from my peers in the community regarding problems with job training." It is not in plain language and there is little assistance from local resources for persons with I/DD.
- 72. My local self-advocacy group in San Bernardino reported to me that often times, when an individual is identified as having I/DD, employers and even job coaches often underestimate their abilities. They treat them differently or do not acknowledge their presence. This behavior causes a lot of depression amongst my peers and anxiety. "They are made to feel different (when they FEEL different already)."

San Diego

- 73. One of the biggest barriers to CIE in the San Diego area is the fear of losing benefits such as SSI and Medi-Cal, so more trainings need to be offered regarding this.
- **74.** The increase in minimum wage in San Diego has caused many employers to reduce the number of staff with disabilities they can employ.
- **75.** There has been little information provided to employment agencies on how to customize employment opportunities to meet the needs of both the employer and the employee, and those agencies that have paid for the ACRE training are rare.

- **76.** Resistance on the part of residential facilities to allow individuals that live in their homes to work a variety of hours, or weekends, as that means they have to staff homes to meet the needs of the residents, and be more flexible with mealtimes, weekend activities, etc.
- 77. Transportation is a continuing issue, as service on weekends and nights is reduced, and the majority of individuals with IDD are dependent on public transportation.
- **78.** Families sometimes fail to recognize their part in identifying employment opportunities, which they typically do for the non-disabled child.
- **79.** Schools are not adequately preparing students for employment, and the number of Workability and volunteer sites are very limited, thus people do not even know what type of job they want, let alone have experience in doing a job.
- 80. Many times agency staff from Department of Rehabilitation and the San Diego Regional Center will meet with an employer about a particular self-advocate, address whatever issues are occurring, and without discussing these matters with the employee, a change in placement occurs without consulting the individual to see if they are in agreement with this change.
- **81.** Clearly, the 30% unemployment rate is the biggest barrier to employment in Imperial County.
- **82.** More opportunities to participate in college level classes or technical training need to be made available, as do certificate programs in various trade industries.

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STATEWIDE SELF-ADVOCACY NETWORK



Report from the Statewide Self-Advocacy Network Highlights from the June SSAN Meeting

The Statewide Self-Advocacy Network (SSAN), a project of the State Council on Developmental Disabilities (SCDD) met on June 27th and 28th at the Crown Plaza Hotel in Sacramento. Here is a summary on their recent activity:

Importance of Self-Advocacy:

Self-advocacy is important because it gives people a sense of worth and dignity, teaches confidence, provides the opportunity to have a seat at the table and leads to becoming active members in the communities.

Focus of Meeting:

DAY 1 of the meeting featured a panel on Autism Spectrum Disorder (ASD)

DAY 2 featured a discussion on mental health related disabilities and resources and strategies to help people maintain their overall wellness.

Updates from SCDD:

SCDD Headquarters New address: 3831 N. Freeway Blvd. Suite 125. Sacramento, CA 95834. Expected move date: August 6th

SCDD received a \$972k increase in their budget

Closure of the Developmental Centers: Sonoma expected to close by December 2018 and Fairview and Porterville scheduled for 2022.

CalABLE: Rebid of Request for Proposal (RFP) will go out soon.

Self-Determination: The next Statewide Self-Determination meeting will be on July 19th at the Crowne Plaza in Sacramento.

Statewide Housing Survey:

https://www.surveymonkey.com/r/IDD-Housing-Survey.

Updates to SCDD Travel Policy:

 Members were provided training on travel policy and procedure for SSAN meeting travel.



SSAN Workgroups:

- Self-Determination
 - o Send us updates on Self-Determination in your local area
 - Meets the second Friday of the month by teleconference at 3:00 PM
- Employment
 - Meets the fourth Wednesday of the month by teleconference at 1:30 PM
- Legislative
 - Meets the second Wednesday of the month by teleconference at 1:30 PM
- Newsletter/Communication
 - o Check out https://scdd.ca.gov/selfadvocacy/ for the latest issue.
 - o Meets as needed
 - Please send articles for the September edition to: rj.levy70@gmail.com

ACTIONS Taken:

- Approved March Meeting Minutes
- Approved 13th Edition of Newsletter

Member Activity: Between the March and June, SSAN members attended 36 events/meetings and gave 32 presentations/trainings, reaching **4128** Californians.

Next Meeting: The next SSAN meeting will be on September 5th and 6th in Sacramento. The meeting will cover issues related to building accessibility, updates to the Americans with Disability Act, and a training on Self-Determination.

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COMMITTEE REPORTS



Executive Committee Meeting Minutes June 19, 2018

Attending Members

Catherine Blakemore
Charles Nutt (SA)
Janelle Lewis (FA)
Maria Marquez (SA)
Sandra Smith (FA)
Stephen Bell (FA)
Wesley Witherspoon (SA)

Members Absent

Kilolo Brodie (FA)
Jackie Nguyen (FA)
Robert Taylor (SA)

Others Attending

Aaron Carruthers
Anthony Zomarron (SAS)
Cindy Smith
Doug Sale
Janet Butts
Natalie Bocanegra
Rihana Ahmad

1. CALL TO ORDER

Chairperson Charles Nutt (SA) called the meeting to order at 1:01PM.

2. ESTABLISH QUORUM

A quorum was established.

3. WELCOME/INTRODUCTIONS

Members and others introduced themselves as indicated

4. PUBLIC COMMENTS

There were no public comments.

5. APPROVAL OF THE MARCH 2018 MEETING MINUTES

Corrections to page 6, line 2 of motion: "June" should be changed to "September. In addition, line 3 of motion: "July" should be changed to "September".

It was moved/seconded (Bell [FA]/Witherspoon [SA]) and carried to adopt the March 12, 2018 meeting minutes with corrections. (unanimous)

6. SCDD BUDGET UPDATE

Executive Director Aaron Carruthers presented the May 2018 SCCD Budget Update outlining the current budget and expenditures for the BSG, QA and CRA/VAS funds.

Committee members discuss the budget and requested a more detailed budget for future meetings. In addition, a request was made for an update on the status of vacancies. Committee member Catherine Blakemore mentioned that the Council had not approved use of funds from the higher budget dollars.

7. SPONSORSHIP REQUEST

Deputy Director of Administration Doug Sale informed committee members that the sponsorship request from the Northwest Committee for the Employment of Persons with Disabilities (NCEPD) had been removed by NCEPD due to no longer needing funds.

8. EXECUTIVE DIRECTOR EVALUATION PROCESS 2017/2018

Deputy Director Doug Sale presented two Evaluation tool options to the Committee. Option 1 lists more general questions compared to option 2 which is more specific. Committee members reviewed the two options presented and had a discussion regarding the tools. Concerns were raised regarding the reading level of the tools.

It was moved/seconded (Blakemore/Witherspoon [SA]) and carried to approve Option 2 with the recommendation for staff to work on reducing the reading level to plain language. Staff is to present the updated tool at the July Council meeting. (Unanimous)

9. SELECTION OF NOMINATING COMMITTEE

It was moved/seconded (Smith [FA]/Nutt[SA]) and carried to recommend to the Council that the following individuals serve on the Nominating Committee: Janelle Lewis, Committee Chair; Sandra Aldana; Stephen Bell; Jeana Eriksen; and Robin Hansen. (Unanimous)

10. COUNCIL MEETING PLANNING CALENDAR AND PROPOSAL FOR CONSENT CALENDAR

Executive Director Aaron Carruthers presented the tentative 2018 Council Planning Calendar to Committee members. Committee member Stephen Bell requested a master calendar be developed for 2019 that would indicate all Council and Committee meetings with details if available.

It was moved/seconded (Bell [FA]/Witherspoon [SA]) and carried to recommend that staff to develop an informational Master calendar for 2019, and to include a footnote on the calendar that indicates "dates are subject to change". (Unanimous)

Legend: SA = Self-Advocate FA = Family Advocate Committee members discussed the option of using a Consent Calendar at future Council meetings in order to save time for other agenda items. This discussion was initiated by Councilmember Pete Sanchez's recommendation at the May Council meeting. Executive Director Carruthers explained the details of a Consent Calendar to Committee members.

It was moved/seconded (Witherspoon [SA]/Marquez [SA]) and carried to establish a Consent Calendar process including detail sheets and supporting documents. (Unanimous)

- 11. RECOMMENDATIONS FROM LPPC ON JUNE 11, 2018
 Committee member Janelle Lewis (FA) informed Committee members there were no recommendations from the Legislative and Public Policy Committee (LPPC) June meeting.
- 12. AIDD LETTER REGARDING MEMBERSHIP NON-COMPLIANCE Executive Director Carruthers presented the AIDD letter and SCDD's response letter to Committee members.

13. NEXT MEETING DATE & ADJOURNMENT

The next Executive Committee meeting will be held on September 13th. Meeting adjourned at 3:27PM.



DRAFT

Legislative and Public Policy Committee Meeting Minutes June 11, 2018

Attending Members

Janelle Lewis (FA)
Evelyn Abouhassan
Diane Ambrose (FA)
Matthew Lagrand (SA)
Andrea Vergne (FA)

Members Absent

Julie Austin (FA)
Connie Lapin (FA)
Alfredo Rubalcava (FA)
Wesley Witherspoon (SA)

Others Attending

Vicki Smith
Cindy Smith
Karen Mulvaney
Robin Maitino
Sandra Aldana

1. CALL TO ORDER

Chairperson Janelle Lewis (FA) called the meeting to order at 10:03 AM.

2. ESTABLISH QUORUM

A quorum was established at 1:15 PM.

3. WELCOME/INTRODUCTIONS

Several new Committee appointments had been made since the Committee last meet. Therefore, Committee Chair Lewis and Deputy Director Cindy Smith welcomed everyone and provided a brief overview of the Committee. Members Julie Austin and Alfredo Rubalcava listened in on the phone for the overview portion of the meeting. Once the overview concluded, Chair Lewis requested that everyone introduce themselves and provide a little background on their interests and what brought them to the Council.

4. PUBLIC COMMENTS

None.

5. APPROVAL OF THE APRIL 2018 MEETING MINUTES

It was moved/seconded (Ambrose [FA]/Lewis [FA]) and carried to adopt the April 18, 2018 meeting minutes as presented. (For: Lewis, Lagrand. Abstain: Ambrose, Vergne, Abouhassan)

6. ALIGNING SCDD'S STATE PLAN WITH LPPC PRIORITIES

Deputy Director Vicki Smith handed out the 2017-21 State Plan Goals and Objectives sheet, stating that the State Plan Workplan drives what is contained within the Goals and Objectives sheet. Committee members talked about different activities that support goal objectives 2.2, 3.3, 4.3, 5.4, and 6.4. and ways that a more targeted approach could be beneficial. Members wanted to ensure that by doing this, they would not be losing the ability to act in other goal areas. Deputy Director Vicki Smith explained that the Committee would in fact be adding to its achievements and not losing anything.

It was moved/seconded (Vergne (FA)/Abouhassan) and carried to recommend that the State Plan Committee amend objective 6.4 to consolidate objectives 2.2, 3.3, 4.3, and 5.4 under 6.4, and account for all prior work tasks under each of those objectives through a planning process at future meetings. (Passed unanimously by those present, see page 1 for attendance)

7. FEDERAL LEGISLATIVE AND REGULATORY UPDATES

Deputy Director Cindy Smith provided brief updates on federal legislative and regulatory issues which included updates on California and the National Association's funding as well as the HCBS Waiver.

8. STATE GOVERNOR'S BUDGET

Deputy Director Cindy Smith provided an update on the Governor's Budget reporting that the restoration of recreational services was dropped from the Governor's Budget even though both the Senate and Assembly voted in favor of restoring these services.

Committee members voiced concern stating that the suspension of services was supposed to be temporary. Committee members further requested that staff write a letter voicing their concern.

9. UPDATE ON SELECT COMMITTEE ON INTELLECTUAL & DEVELOPMENTAL DISABILITIES

Committee members were provided the membership list of the Select Committee members. Deputy Director Cindy Smith gave a brief overview of the Select Committee's purpose. 10. INCLUSION OF TRAINING PROGRAMS IN STATE LEGISLATION
At the March Council meeting, Councilmember Andrea Vergne requested that the Committee look into ways of adding a training component into legislation the Council supports/sponsors. The Committee agreed to consider this at the Strategic Planning meeting in August.

11. STATE LEGISLATIVE UPDATE

Deputy Director Cindy Smith presented the latest bill summary chart to Committee members. The below chart summarizes what was provided to Committee members.

Bill Number	Author	Position	Status Reported
GOAL 2 AB 2171	Frazier (D)	Council Sponsored	6/4/18 In Senate: Read first time. To Com. on RLS. for assignment. Letter. Submitted, SCDD Testified.
AB 2840	Rubio (D)	Watch	Dead
AB 3074	Frazier (D)	Support	Dead
AB 3127	Acosta (R)	Watch	5/25/18 In committee: Held under submission.
SB 1274	McGuire (D)	Council Sponsored	Passed Senate. Hearing in Assembly on 6/12/18 A- HUMAN SERVICES 1:30 p.m State Capitol, Room 437 Letter Submitted, SCDD Testified.
AB 2253	Irwin (D)	LPPC Recommended Support	Dead
GOAL 4	Weber (D)	Support	Dead
HEALTH AB 2331			Letter Submitted
AB 2430	Arambula (D)	DRC Sponsored - Support	5/30/18 In Senate. Read first time. To Com. on RLS. for assignment. Letter Submitted
SB 1011	Roth (D)	Oppose using Delegated Authority	Dead
SB 1190	Skinner (D)	Support	5/31/18 In Assembly. Read first time. Held at Desk.

GOAL 4 SAFETY SB 1191	Hueso (D)	Watch	5/24/18 From committee with author's amendments. Read second time and amended. Re-referred to Com. on Aging & L.T.C.
SB 1320	Stern (D)	Watch	Ordered to the Assembly, in Assembly, Read first time. Held at Desk
AB 1934	Jones-Sawyer (D)	Watch	Passed Assembly, In Senate. Hearing 6/12/18 S-Judiciary 1:30 p.m.
AB 2159	Chu (D)	Watch	Dead
AB 2324	Rubio (D)	Watch	Dead
AB 1985	Ting (D)	Support	6/4/18 Senate amendments
			concurred in. To Engrossing and Enrolling.
AB 3006	Stone (D)	Support using Delegated Authority DRC Sponsored	5/31/18 In Senate. Read first time. To Com. on RLS. for assignment.
GOAL 5 AB 2291	Chiu (D)	Support	6/6/18 S-Education 10 a.m John L. Burton Hearing Room Letter Submitted
AB 2408	Weber (D)	Watch	Dead
AB 2657	Weber (D)	DRC Sponsored - Support	6/4/18 In Senate. Read first time. To Com. on RLS. for assignment. Letter Submitted, SCDD Testified.
AB 2776	Salas (D)	Watch	Dead
AB 3136	O'Donnell (D)	Watch	5/31/18 In Senate Read first time. To Com. on RLS. for assignment.
SB 1224	Glazer (D)	Watch	Dead
SB 1385	Hueso (D)	Watch	Dead '/
SB 354	Portantino (D)	DRC Sponsored	6/13/18 A-Education
		Support (2 year bill)	1:30 p.m State Capitol, Room 4202 O'Donnell, Chair
AB 2704	O'Donnell (D)	Support	Referred to Committee on Rules for assignment

GOAL 6 AB 1909	Nazarian (D)	Support	6/12/18 S-Human Services 1:30 p.m Room 3191 WIENER, Chair
AB 2244	Acosta (R)	Support	Dead Letter Submitted
AB 2522	Chu (D)	Watch	Dead
AB 2587	Levine (D)	Support	6/13/18 S-Labor and Industrial Relations 9:30 a.m Rose Ann Vuich Hearing Room (2040) PAN, Chair
AB 2623	Holden (D)	Support	Dead Letter Submitted
AB 3158	Mathis (R)	Oppose	Dead Letter Submitted, SCDD Testified
AB 3200	Kalra (D)	Support	5/31/18 In Senate. Read first time. To Com. on RLS. for assignment. Letter Submitted
SB 1376	Hill (D)	Watch	Assembly. Read first time. Held at Desk.
AB 3002	Grayson (D)	Support	6/12/18 S-Judiciary 1:30 p.m Room 112 Jackson, Chair

12. UPDATES AND STANDING AGENDA ITEMS

Committee Chair Lewis provided brief updates on the Self-Determination Waiver, CalABLE, and the DDS Safety Net. There was nothing new to report on the funding from DC Properties.

13. MEMBER UPDATES

Committee Chair Lewis provided background on this agenda item for the new members stating that this item is reserved to allow Committee members time to share important information happening in their local areas.

14. 2018 FUTURE MEETING DATES

The next meeting is scheduled for August 13th. The Committee is also scheduled to meet on October 22nd.

15. ADJOURNMENT

Meeting at adjourned at 2:55 PM



DRAFT

Employment First Committee Meeting Minutes June 5, 2018

Attending Members

Michael Clay (DDS)
Wesley Witherspoon (SA)
Olivia Raynor (UCLA)
Steve Ruder (UCD)
Barbara Boyd (CDE)
Cindy Chiu (DOR)

Members Absent

Larry Yin (USC)
Sarah Issacs (DRC)

Others Attending

Aaron Carruthers
Cindy Smith
Robin Maitino
Vicki Smith
Debbie Ball
Vivian Hahn

1. CALL TO ORDER

Chairperson Wesley Witherspoon (SA) called the meeting to order at 10:40 AM.

2. ESTABLISH QUORUM

A quorum was established.

3. WELCOME/INTRODUCTIONS

Members and others introduced themselves as indicated.

4. PUBLIC COMMENTS

Vivian Hahn with the California Charter School Association of Special Education, Manager of Special Education introduced herself to the Committee as a member of the public and stated that she would be listening in remotely.

5. APPROVAL OF THE MARCH 2018 MEETING MINUTES

It was moved/seconded (Raynor/Clay) and carried to approve the March 15, 2018 meeting minutes as presented. (For: Raynor, Boyd, Clay; Abstain: Chiu and Ruder)

6. FEDERAL LEGISLATIVE AND REGULATORY UPDATE

Executive Director Aaron Carruthers and Deputy Director Cindy Smith provided an update on federal legislative and regulatory issues relating to employment. Executive Director Carruthers reported that California received \$972K in increased funding and the National Association received \$76M. With the additional funding, staff will be recommending that the membership of EFC be expanded. Committee members discussed the value in having additional employment experts serving on the Committee and supports staff's recommendation. Deputy Director Smith provided updates on WIOA and HR 5658.

7. UPDATE AND DISCUSSION ON DATA SHARING LEGISLATION IMPLEMENTATION

Committee member Michael Clay provided an update on the status of the next steps on data sharing implementation stating that the draft contract has been back with EDD for approximately a week. DDS is hoping to get the contract back by late summer. Once the contract is signed, DDS expects the first round of data to be available quickly. The Committee also discussed ways the data would be aggregated. Committee member Cindy Chiu recently attended a national conference on data sharing. Committee member Chiu will send the information to staff to email out to the Committee.

8. STATE LEGISLATIVE UPDATES FOR 2018

Deputy Director Cindy Smith provided an update on AB 2840, AB 3074, and AB 3127 stating that the bills have died. She also provided updates on Council sponsored bills AB 2171 and SB 1274.

9. UPDATE ON CIE BLUEPRINT IMPLEMENTATION

Committee members Barbara Boyd and Michael Clay provided updates on the LPA's stating that the goal to have 13 complete by the end of fiscal year 2017/18 will be met. There are webinars scheduled for June 12 and June 20. Cindy Smith offered the use of SCDD's constant contact e-blast services to get the word out about the trainings. Additionally, Committee member Olivia Raynor offered the use of Tarjan's Webinar platform for future training. Tarjan's platform can host up to 500 people. She also offered to add interested members to her 1,200+ CECY distribution list which disseminates great resources and information of Competitive Integrated Employment.

Legend: SA = Self-Advocate FA = Family Advocate

10. REGIONAL IMPLEMENTATION OF COMPETITIVE INTEGRATED EMPLOYMENT (CIE)

Deputy Director Vicki Smith provided a handout to the Committee which listed the CIE related activities from SCDD Regional Offices from the period of October 1, 2017 to April 30, 2018. Members discussed ways they could collaborate with the regional offices throughout the state.

11. CYCLE 40 GRANT OUTCOMES

Deputy Director Vicki Smith introduced Grantee Debbie Ball from Easter Seals. Easter Seals received a program development grant which builds capacity by providing training on a Discovery Fidelity Scale which is designed to track employment outcomes. Ms. Ball provided an overview of the great work thus far.

12. GOALS & PRIORITIES TRACKING CHART FOR THE EMPLOYMENT FIRST POLICY IMPLEMENTATION

Members reviewed and provided input on the latest version of the Goals and Priorities Tracking Chart. Members asked that the Chart be revised to add columns that would allow more information to be tracked. Staff will revise and send out for further input.

13. DRAFT EMPLOYMENT FIRST REPORT 2017

Deputy Director Cindy Smith presented the draft 2017 EFC report to members for review and input. Members did a preliminary review of the report with the understanding that staff would be emailing the Word version of the report to invite review and comment in track changes to all Committee members. Deputy Director Cindy Smith requested that all feedback be received by June 15th.

14. MEMBER UPDATE AND DEBRIEF

Members reported on the CIE activities taking place within the UCEDD, CDE, DDS, and DRC systems.

The next meeting is scheduled for August 23rd with future a meeting scheduled for October 9th.

15. ADJOURNMENT

Meeting at adjourned at 2:50 PM.